



BMS INSTITUTE OF TECHNOLOGY AND MANAGEMENT

YELAHANKA – BANGALORE - 64

DEPARTMENT OF ELECTRONICS & TELECOMMUNICATION ENGINEERING

Course Name:	IMAGE PROCESSING
Course Code:	17TE655
Semester :	6th semester
Prepared by :	Prof. Thejaswini S

CONTENTS

SL. No.	Module	Page No.
1	Module 1	1-25
2	Module 2	26-101
3	Module 3	102-221
4	Module 4	222-360
5	Module 5	361-420

Digital Image Processing

Module 1

11

Syllabus: What is DIP?, Origins of DIP, Examples of Fields that use DIP. Fundamental Steps in DIP. Components of an IP system. Elements of Visual Perception. Image Sensing & Acquisition, Image Sampling & Quantization. Some basic relationships b/w pixels, Linear & Nonlinear operations.

What is Digital Image Processing? (DIP)

An image may be defined as a 2-D function $f(x, y)$ where $x + y$ are spatial (plane) coordinates, & the amplitude of f at any pair of coordinates (x, y) is called the intensity or gray level of the image at that point.

When x, y & intensity values of f are all finite & discrete, we call the image a Digital Image.

DIP:- Processing of digital images by means of a digital computer.

The elements of digital image — pixels, pels or picture elements, or image elements, Pixel is widely used

- 1) Image Processing → I/P & o/p are images.
- 2) Image Analysis (Image Understanding)
- 3) Computer Vision.

2. The origins of DIP :-

One of the first apps of digital images was in the newspaper industry, when pictures were first sent by submarine cable b/w London & New York.

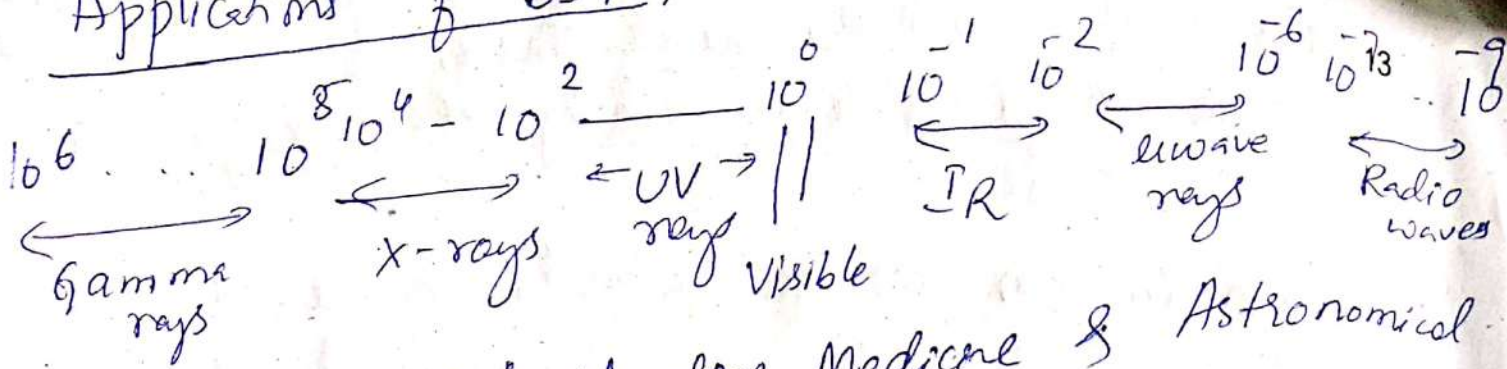
Introduction of the Bactlane cable picture transmission system in the early 1920s reduced the time required to transport a picture across the Atlantic from more than a week to less than 3 hrs.

Specialized printing equipment coded pictures for cable transmission & then reconstructed them at the receiving end.

Some of the initial problems in improving the visual quality of these early digital pictures were related to the selection of printing procedures & the distribution of intensity levels.

Key advances made in the field of computers like, transistors, ICs, s/w like Cobol, Fortran, M/P & VLSI etc helped the advancement in DIP.

Applications



Gamma-Ray Imaging: Nuclear Medicine & Astronomical Observations.

Complete bone scan → bone pathology & Tumors.
 → PET (Positron Emission Tomography) (like X-Ray tomography)

X-ray Imaging: Medical diagnostics, industry
 Angiography → major appn - images of blood vessels (Angiogram)
 CAT - Computerized Axial Tomography.

Imaging in UV band: Lithography, industrial inspection, microscopy, lasers, biological imaging & astronomical observations.

Imaging in the Visible & Infrared Bands:
 Light microscopy, astronomy, remote sensing, industry & law enforcement.

Light microscopy: Pharmaceuticals & microinspection to materials characterization.

Remote sensing: Satellite images - monitoring environmental conditions on the planet, weather observation & prediction also are major appn of multispectral imaging from satellites.

Automated visual inspection of manufactured goods
Pills, unfilled bottles, burned flakes, damaged lens etc
Before packing, Vehicle no. ready etc for traffic monitoring¹⁴

Imaging in the microwave band: Radar \rightarrow waves can
penetrate thro' clouds, ice, dry sand etc.

Imaging in the Radio band: Medicine & astronomy

MRI (Magnetic Resonance Imaging) \rightarrow Medicine
Places a patient in a powerful magnet & passes
radiowaves thro' his/her body in short pulses.

Examples in which other imaging modalities
are used

Acoustic imaging

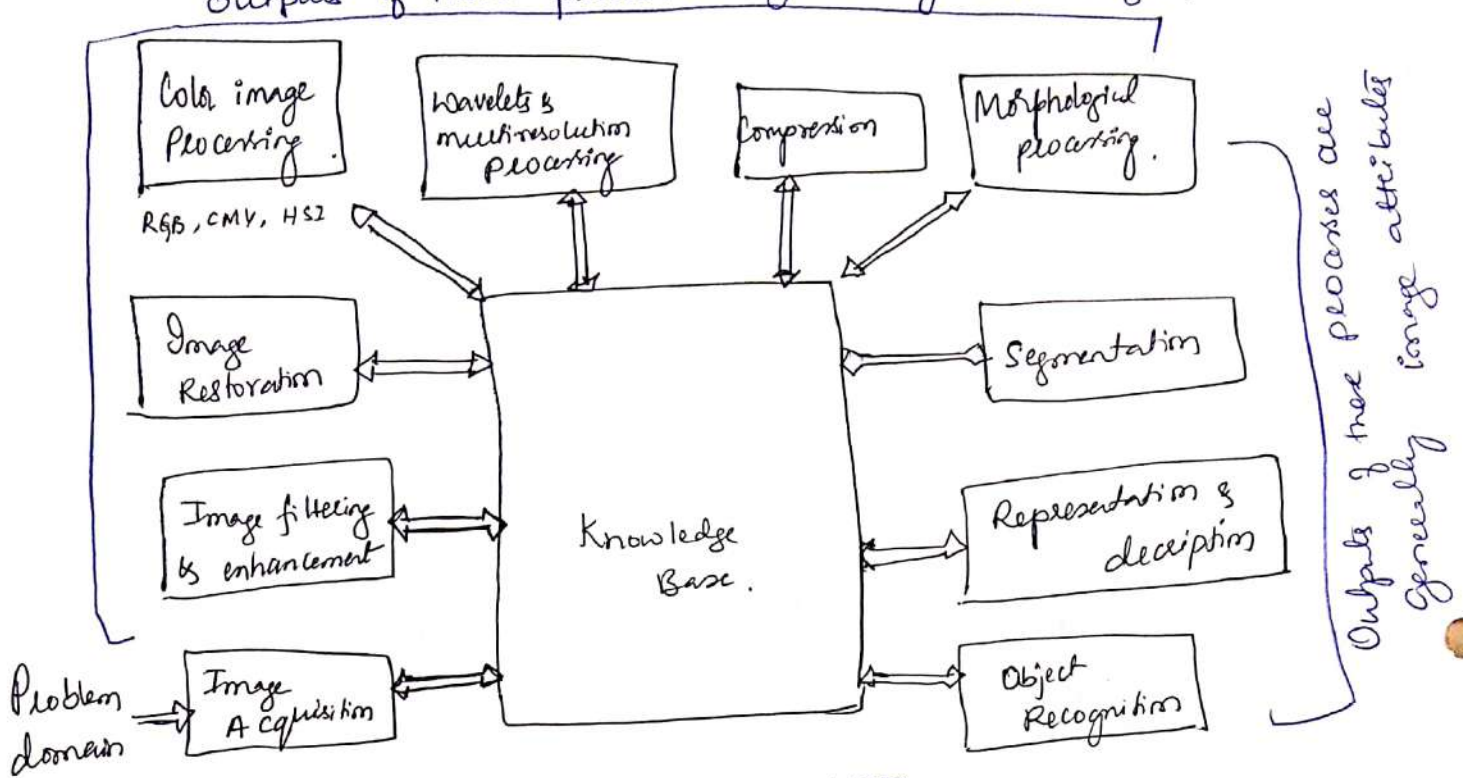
electron microscopy

Synthetic imaging (computer-generated)

Mine & oil exploration

Fundamental Steps in Digital Image Processing.

outputs of these processes generally are images.



Fundamental Steps in DIP

- ① Image acquisition: first process in above fig. (DIP)
It involves Image collection, preprocessing (such as scaling)
- ② Image filtering & enhancement: It is a process of manipulating an image so that more suitable for a specific app.
Specific → Technique which is suitable for X-Ray enhancement is not suitable for satellite image enhancement.
- ③ Image Restoration: Improves the appearance of an image based on mathematical model.
Enhancement → Subjective Restoration → Objective.
- ④ Color image Processing: This area is gaining importance because of significant increase in the use of digital images over the Internet.

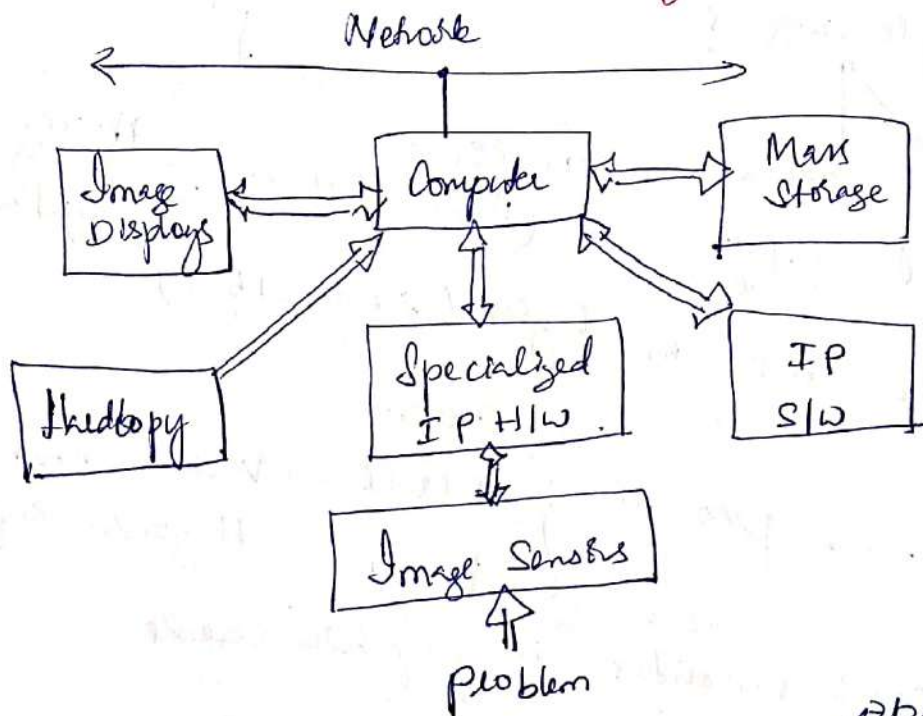
- ⑤ Wavelets (& multiresolution processing) : Representing images in various degrees of resolution. images are subdivided into similar regions.
- ⑥ Compression : Reduces the storage required to save an image or bandwidth required to transmit it. Eg: ZIP, JPEG
- ⑦ Morphological processing : Tools for extracting image components that are useful in the representation & description of shape.
- ⑧ Segmentation : Procedure partitions an image into its constituent parts or objects. Autonomous Segmentation — most imp. tasks in DIP.
- ⑨ Representation & description : o/p of segmentation stage. to raw pixel data constituting either the boundary of a region or all the points in the region itself. Description: also called as feature selection. deals with extracting attributes that result in some quantifiable information of interest.
- ⑩ Recognition : assigns a label to an object based on its descriptors.

Image processing is a method to convert an image into digital form & perform some operations on it, in order to get an enhanced image or to extract some useful info from it. (5)

3 steps:-

- ① Importing an image with optical scanner or by digital photography.
- ② Analyzing & manipulating the image which includes data compression & image enhancement
- ③ Output image — result \leftarrow altered image report based on image analysis.

Components of an IP system



Sensing:- & elements are required $\left\{ \begin{array}{l} \text{physical device is} \\ \text{sensitive to the} \\ \text{energy radiated by} \\ \text{the object we} \\ \text{wish to image.} \end{array} \right.$
 digitize.
 (O/P of device to digital form)

Specialized IP/H/W: Digitizer + ALU (Arithmetic/Logical ops in parallel) (8)

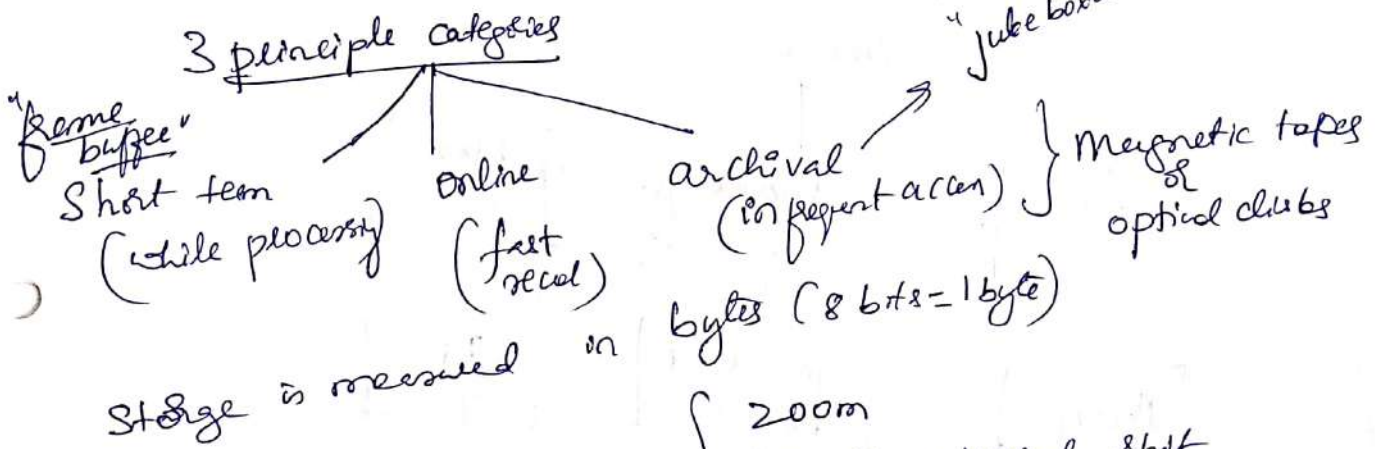
Eg:- Averaging.

Computer :- PC to Super computer.
> offline IP tasks.

Software :- specialized module \rightarrow specific tasks
Matlab, C, Octave, Scilab, Python, Java.

Mass Storage:- is a must

1024 x 1024 Pixels - size in which each pixel is an 8 bit quality.
1 image \rightarrow requires 1 M byte of storage.



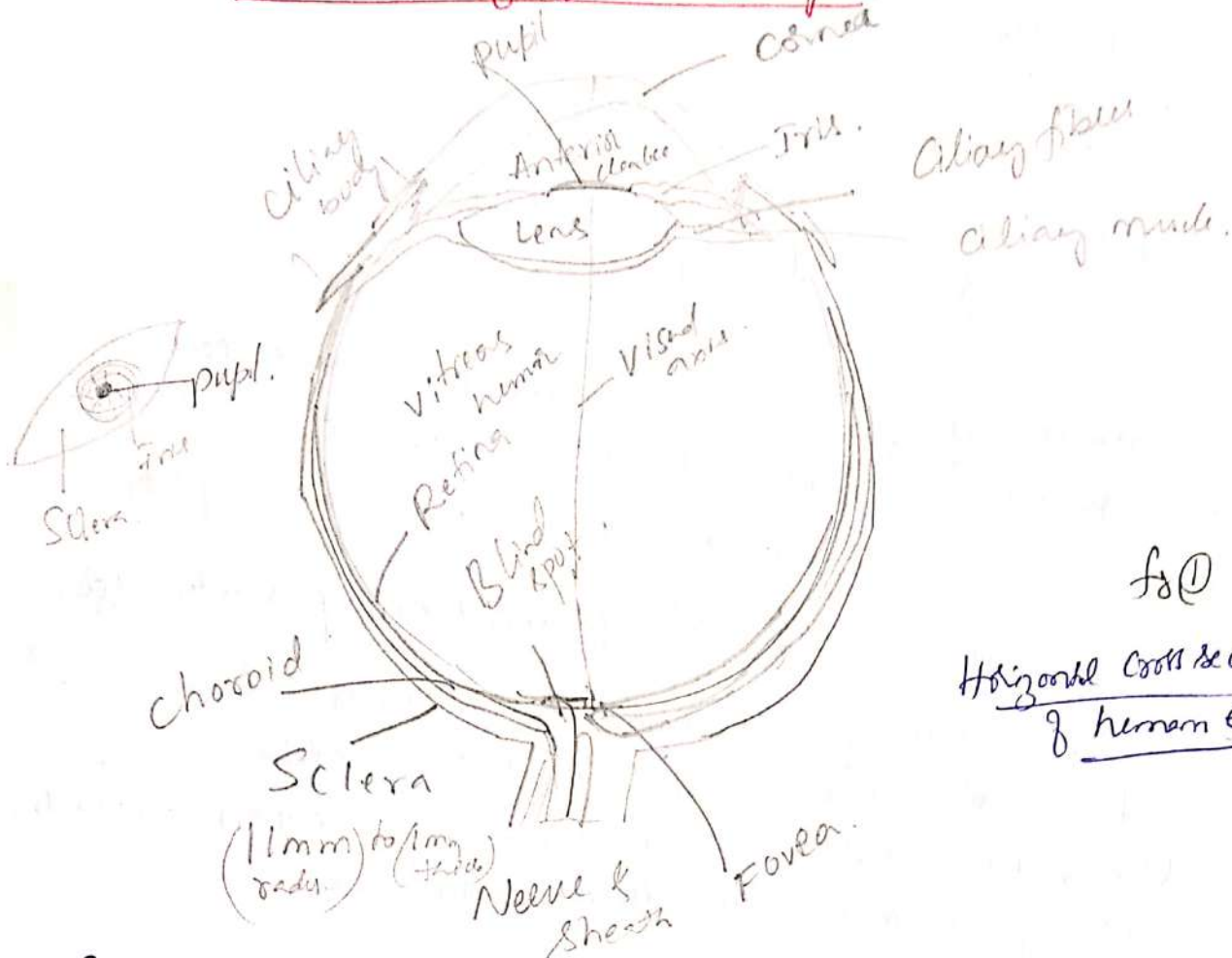
Displays:- color monitors + graphic cards.

Hardcopy devices \rightarrow laser printers, film cameras, heat sensitive devices, inkjet with CD ROM disks

N/W :- image transmission - key consideration is BW.
Optical fibre \rightarrow & broadband.

Elements of Visual Perception

(7)



fo
Horizontal cross section
of human eye

Structure of human eye

- avg diameter 20 mm
- 3 membranes
 - ① Cornea & Sclera outer cover
 - ② Choroid
 - ③ Retina.
- Cornea → tough, transparent tissue
- Sclera → opaque membrane
- choroid → ① below Sclera
 - ② NW of blood vessels → major source of nutrition to the eye.
- ③ even single injury → not serious — blocks blood flow
- ④ heavily pigmented ~~to~~ & helps to reduce the amount of ex. light entering the eye.
- ⑤ choroid
 - ← Iris
 - ← Ciliary body.

Iris contracts & expands to control the amount of light that enters eye.

Pupil - varies in diameter 2 to 8 mm.

Front of the iris → visible pigment of the eye
back → black pigment.

lens → made up of concentric layers of fibrous cells & is suspended by fibres that attach to the ciliary body.
contains 60 to 70% water, 6% fat & more protein.

- colored by a slightly yellow pigmentation of see with age.
- Excessive clouding of the eye → Cataracts lead to poor color discrimination & loss of clear vision.
- UV & IR light are absorbed by proteins within the lens if excessive, can damage the eye.

Retina - innermost membrane of the eye, which lines the inside of the wall's posterior portion.

when light from an object is focused on retina, eye is properly focussed.

Two classes of receptors → cones
rods

Cones - 7-8 million located primarily on the central portion of the retina, called the fovea, are sensitive to color.

cone vision - photopic / bright light vision.

Rods - 75 to 150 million; as many receptors are connected to a single nerve, reduce the amount of detail - receptors.

Scotopic / dim - light vision.

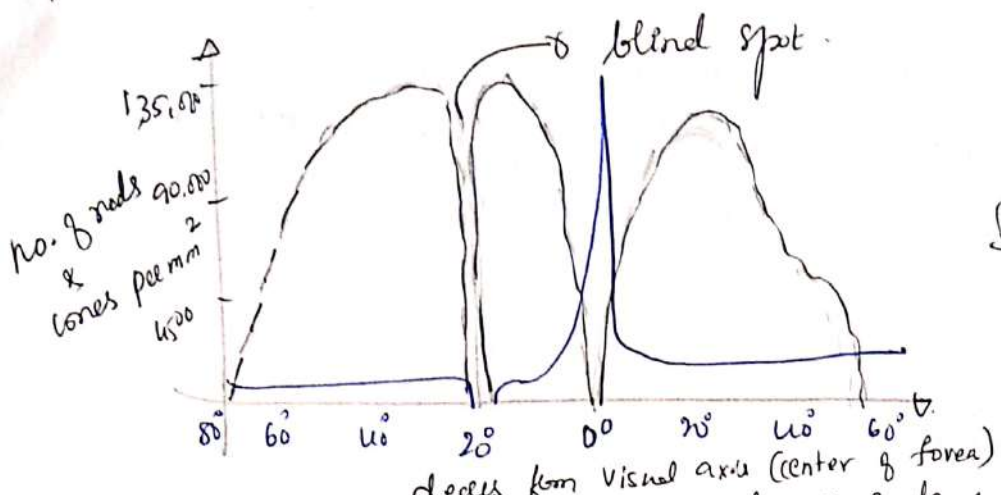


Fig 2 (9)

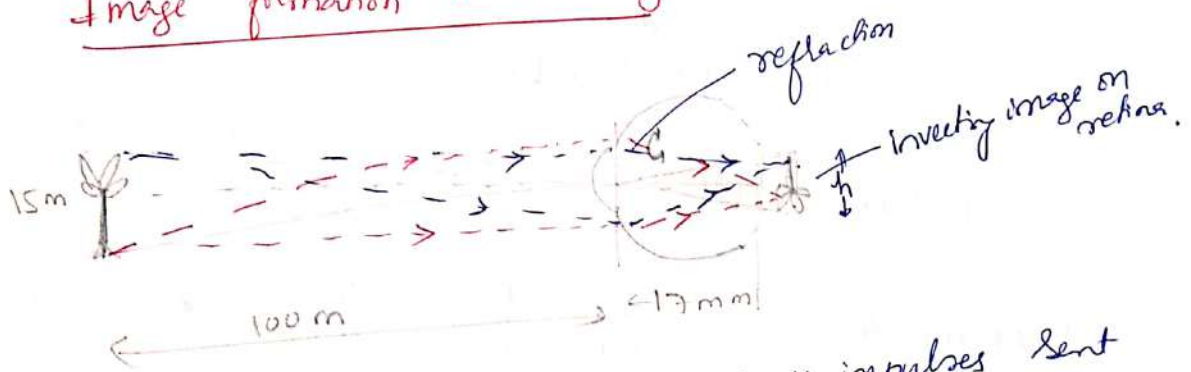
Distribution of rods & cones in the retina

Figure 1 above shows the density of rods & cones for a cross section of the right eye passing through the region of emergence of the optic nerve from the eye.

The absence of receptors — blind spot.

Receptor density is measured in degrees from the fovea. $\frac{15}{100} = \frac{h}{17} \Rightarrow h = 2.55$

Image formation in the eye



Cones & rods → convert light into nerve impulses sent to the brain along the optic nerve.

Images formed anywhere other than on the retina are not transmitted effectively to the brain & hence Visual impairment.

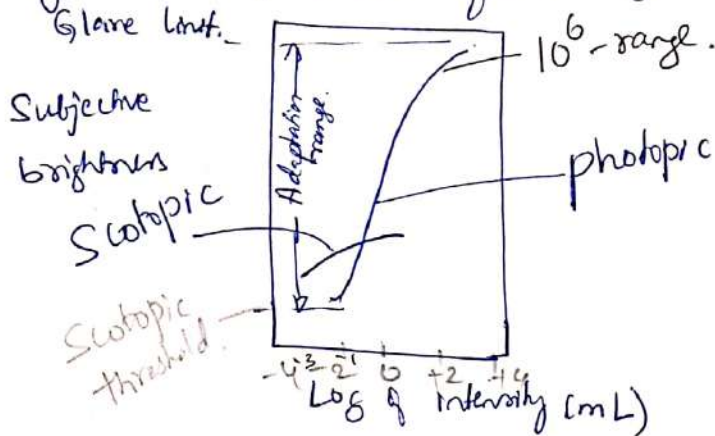
eyesight, vision, seeing → Visual perception.

In human eye, distance b/w the lens & the imaging region (retina) is fixed. & the focal length needed to achieve proper focus is obtained by varying the shape of the lens. The fibres in the ciliary body accomplish this, flattening or thickening the lens for distant or near objects respectively.

Perception then takes place by the relative excitation of light receptors which transfer radiant energy into electrical impulses that are ultimately decoded by the brain.

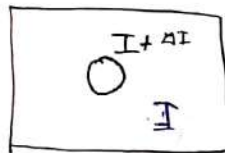
Brightness Adaptation & Discrimination

Experimental evidence indicates that subjective brightness (intensity as perceived by the human visual system) is a logarithmic function of the light intensity incidence on the eye.



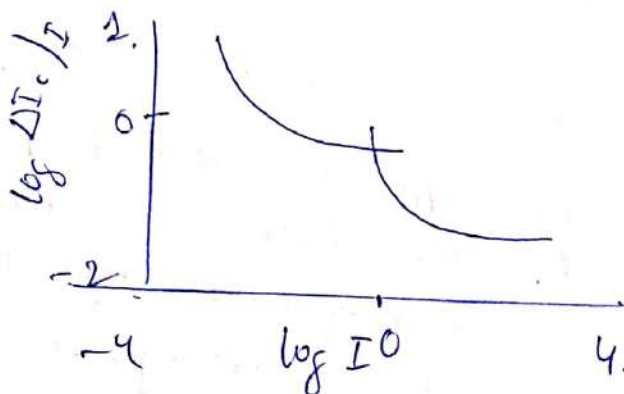
Brightness adaptation - changing its overall sensitivity.

Discrimination:-



$$\frac{\Delta I_c}{I} = \text{Weber ratio.}$$

$\Delta I_c \rightarrow$ increment of illumination discernible 50% of the time with background illumination I



Weber ratio as a fn of intensity.

o o o o o

Linear Vs Nonlinear Operations

One of the most imp. classification of an IP method is whether it is linear or nonlinear.

Linearity = additivity + homogeneity.

$$H[f(x,y)] = g(x,y) \quad H \rightarrow \text{linear operator}$$

$$H[a_i f_i(x,y) + a_j f_j(x,y)] = a_i H[f_i(x,y)] + a_j H[f_j(x,y)] \\ = a_i g_i(x,y) + a_j g_j(x,y).$$

$$\Sigma [a_i f_i(x,y) + a_j f_j(x,y)] = a_i \Sigma f_i(x,y) + a_j \Sigma f_j(x,y) \\ = a_i g_i(x,y) + a_j g_j(x,y).$$

Let $f_1 = \begin{bmatrix} 0 & 2 \\ 2 & 3 \end{bmatrix}$ & $f_2 = \begin{bmatrix} 6 & 5 \\ 4 & 7 \end{bmatrix}$

$a_1 = 1$ & $a_2 = -1$.

$$\max \left\{ 1 \begin{bmatrix} 0 & 2 \\ 2 & 3 \end{bmatrix} + (-1) \begin{bmatrix} 6 & 5 \\ 4 & 7 \end{bmatrix} \right\} = \max \left\{ \begin{bmatrix} 6 & -3 \\ -2 & -4 \end{bmatrix} \right\} = -2$$

$$1 \max \left\{ \begin{bmatrix} 0 & 2 \\ 2 & 3 \end{bmatrix} \right\} + (-1) \max \left\{ \begin{bmatrix} 6 & 5 \\ 4 & 7 \end{bmatrix} \right\} = 3 + (-7) = -4.$$

max is nonlinear.

Linear operations are exceptionally imp.

Image Sensing & Acquisition

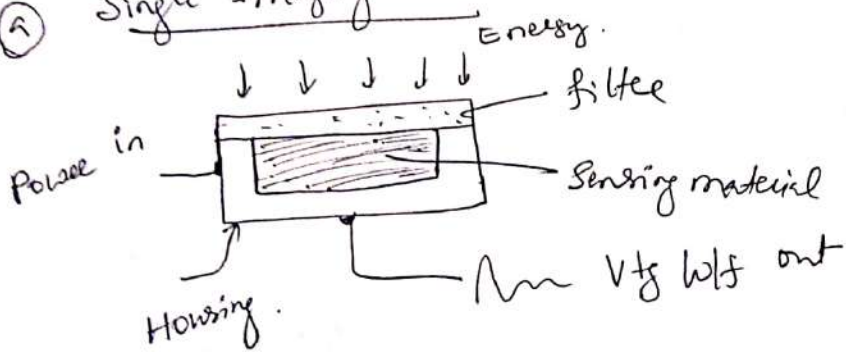
Most of the images in which we are interested are generated by the combination of an "illumination" ^{source} & the reflection or absorption of energy from that source by the elements of the 'Scene' being imaged.

Eg:- illumination may originate from a source of electromagnetic energy such as Radio, infrared, & x-ray system.

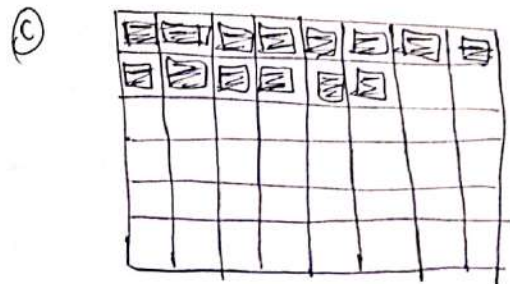
x-rays pass thro' a patient's body for the purpose of generating a diagnostic x-ray film.

These principal sensor arrangements used to transform illumination energy into digital images.

(a) Single Imaging Sensor:



- (a) Single imaging sensor
- (b) Line sensor
- (c) Array sensor.



Idea: - Incoming energy is transferred into a voltage by the combination of i/p electrical power & sensor material i.e. response to the particular type of energy being detected.

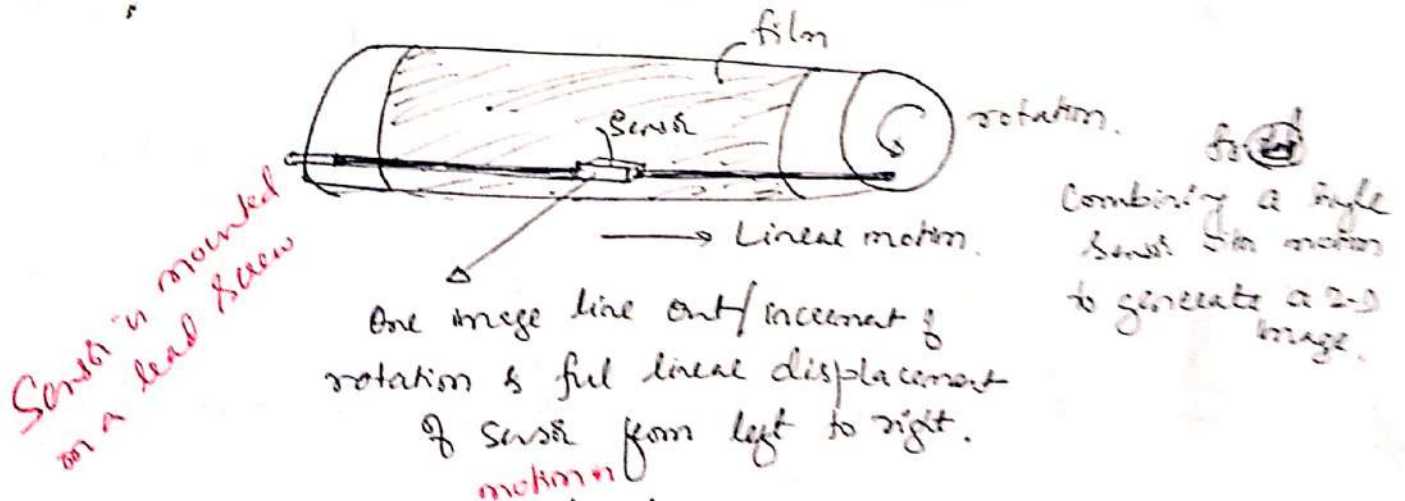
The O/P Vtg w/ F is digitized to get digital quantity.

Image Acquisition Using a Single Sensor

Fig a. Shows the components of a single sensor \rightarrow photodiode which is constructed of silicon material whose o/p vtg is proportional to light.

- The use of a filter in front of a sensor improves selectivity.
- eg:- Green filter favours light in the green band of the color spectrum. i.e. sensor o/p will be stronger for green light than for other components in the visible spectrum.

Fig ~~b~~ shows an arrangement used in high-precision scanning, where a film negative is mounted onto a drum whose mechanical rotation provides displacement in one dimension.



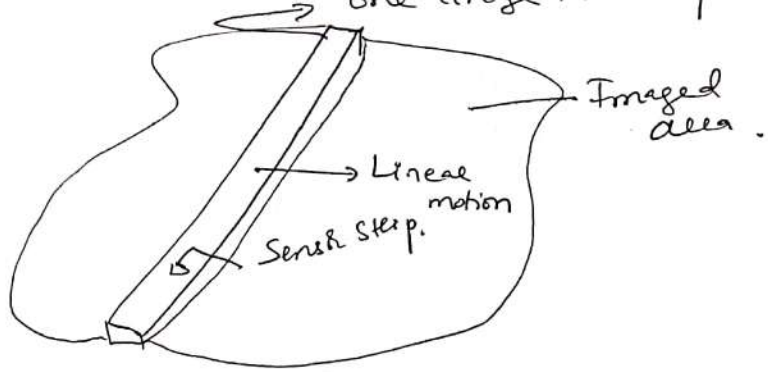
Single sensor \rightarrow 1 r direction

- Expensive method
 - Slow method.
- to obtain high resolution images

Other devices — microdensitometers \rightarrow flat bed med. digitizer.

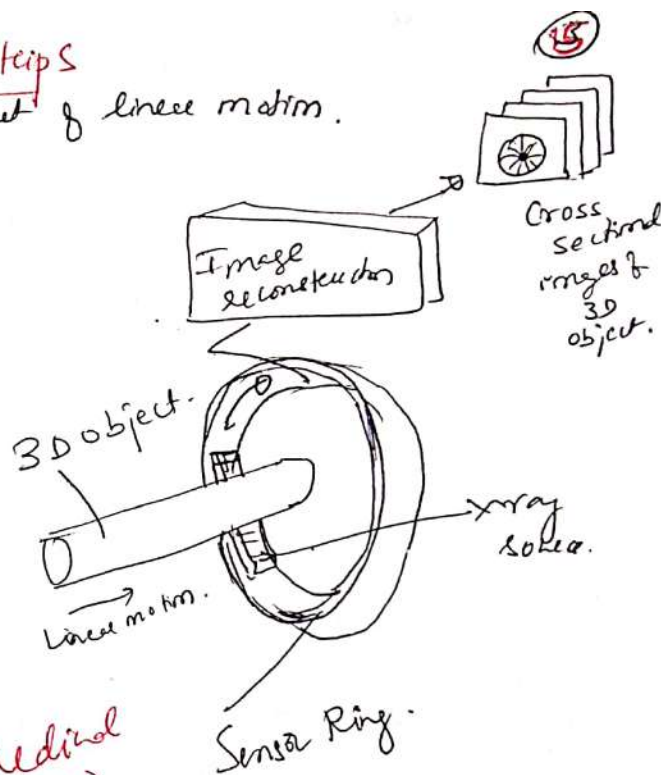
Image Acquisition Using Sensor Strips

one image line out / increment of linear motion.



airborne imaging app

using linear sensor strip



Medical (CAT) & industrial imaging

Circular sensor strip (Ring configuration)

Image Acquisition Toolbox → enable you to connect industrial & scientific cameras to MATLAB / SIMULINK

Linear sensor strip: In-line sensors are used routinely in airborne imaging apps, in which the imaging system is mounted on an aircraft that flies at a constant altitude & speed over the geographical area to be imaged.

1-D imaging sensor strips that respond to various bands of the electromagnetic spectrum are mounted \perp to the direction of flight.

Radiance - Total amount of energy that flows from the light source (W)

Luminance (lumens L) = measure of the amount of energy an observer perceives from a light source.

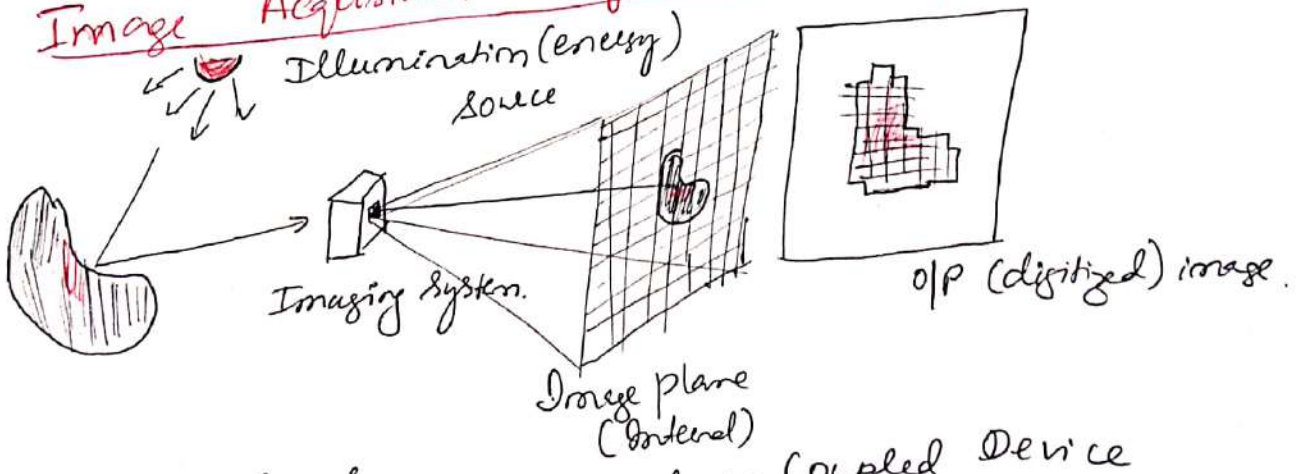
Brightness - Subjective description of light perception practically impossible to measure.

A rotating X-ray source provides illumination & the sensor opp. the source collect the X-ray ~~source provides illumination~~ & the sensor off energy that passes thro' the object.

This is the basis for medical & industrial CAT - Computerized Axial Tomography.

CAT-principle is also used in MRI - Magnetic Resonance Imaging & PET - Positron Emission Tomography.

Image Acquisition Using Sensor Arrays



Typical sensor - CCD - charge Coupled Device

Digital cameras - predominant. & for astronomical apps

Noise reduction is achieved by letting the sensor integrate the i/p light signal over mins & even hours.

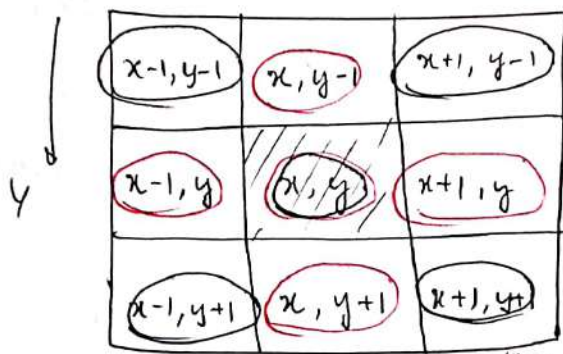
x Motion is not required

II Chapter :- Some basic Relationships Between Pixels.

$f(x, y) \rightarrow$ image

① Neighbors of a pixel :-

A pixel p at coordinates (x, y) has 4 horizontal & vertical neighbors



$N_4(P)$ - red.

$N_D(P)$ - black.

3 type

- Ⓐ 4-neighbors, N_4
- Ⓑ Diagonal neighbors, N_D
- Ⓒ 8-neighbors, N_8 .

Together $N_8(P) = N_4(P) \cup N_D(P)$

(ii) Adjacency:

Connectivity b/w pixels is a fundamental concept that simplifies the def'n of numerous digital image concepts, such as regions and boundaries.

To determine if the 2 pixels are connected/adjacent or not, there are 2 conditions:

- (a) Two pixels should be neighbors
- (b) Their grey levels should be similar.

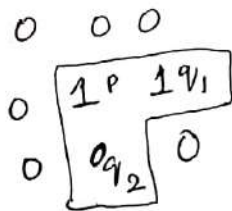
3 types of adjacencies are defined:

- (a) 4-adjacency
- (b) 8-adjacency
- (c) m-adjacency.

Binary image \rightarrow gray level values are 0 & 1.

(a) 4-adjacency:

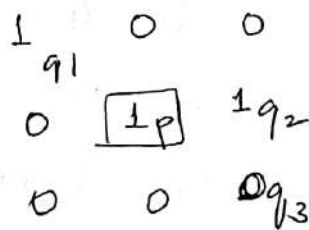
2 pixels p and q are called 4 adjacent if p & q have same value (0 & 1) & q is in $N_4(p)$



p & $q_1 \rightarrow$ 4 adjacent

p & $q_2 \rightarrow$ Not adjacent.

(b) 8-adjacency:



p & $q_1 \rightarrow$ 8 adjacent

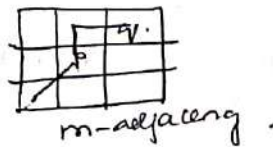
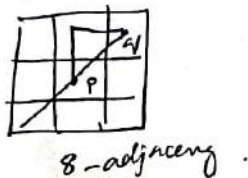
p & $q_2 \rightarrow$ —

p & $q_3 \rightarrow$ are not 8-adjacent

(c) m-adjacency (mixed):

- (i) q is in $N_4(p)$
- (ii) q is in $N_D(p)$ & $\{N_4(p) \cap N_4(q)\}$ is not same as p.

4 \rightarrow broken paths
8 \rightarrow multiple paths.



Graylevel?

Two pixels p & q with values from V are 4-adjacent if q is in the set $N_4(p)$

In binary image $\rightarrow V = \{1\}$ Set of gray level values used to define adjacency. (19)

8-adjacent if q is in set $N_8(p)$

m-adjacent if q is in $N_4(p)$ or $N_D(p)$ and the set has no pixels whose values are from V .

i.e. (ii) q is in $N_D(p)$ & $\{N_4(p) \cap N_4(q)\} \cap V = \emptyset$
 \rightarrow gray values.

4-adjacency:

40	41	42
3	p	20
80	75	50

$V = \{0, 1, 2, 3, 4\}$

p & $q_1 \rightarrow$ 4 adjacent

p & $q_3 \rightarrow$ " " " "

p & $q_2 \rightarrow$ Not. (\because 20 is not in V)

p & $q_4 \rightarrow$ Not (\because 1 is not 4 neighbor)

8-adjacency

40	41	42
3	p	20
80	75	50

p & $q_1 \rightarrow$ 8 adjacent

p & $q_2 \rightarrow$ 8 adjacent.

p & $q_3 \rightarrow$ Not 8-adjacent (\because 20 is not in V)

p & $q_4 \rightarrow$ Not " " " " (\because 50 is not in V)

m-adjacency

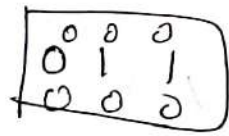
40	41	42
3	p	20
80	75	50

p & $q_1 \rightarrow$ m-adjacent

p & $q_2 \rightarrow$ not m-adjacent.

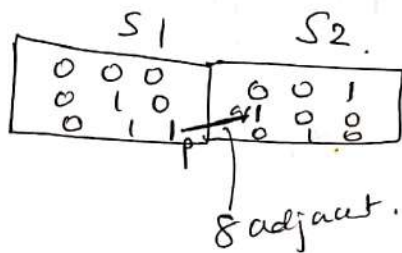
p & $q_3 \rightarrow$ m-adjacent.

(iii) Connectivity:- 2 pixels are connected if they are ^{adjacent} adjacent.



Two subsets are connected or adjacent if some pixel in S_1 is adjacent to some pixel in S_2 .

$$V = \{1\}$$



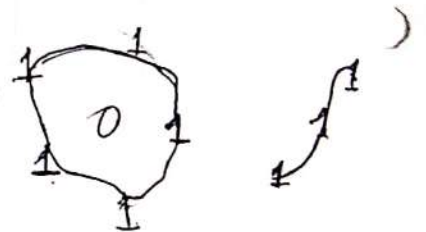
(iv) Region:- (R)

R is a subset of pixels in an image. Region in an image.

Every pixel in R is connected to other pixels in R , then $R \rightarrow$ Region

(v) Boundary:- Set of pixels in the region that have one or more neighbors that are not in R .

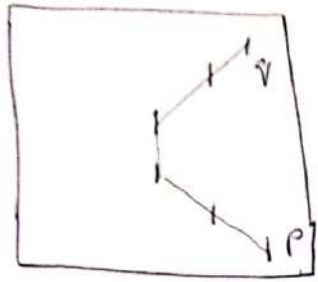
Edge of a region \rightarrow Boundary.



(vi) Path — count of connected pixels = Length of path.
if first pixel = last pixel then closed path.

Path

A digital path b/n pixel p having co-ordinates (x, y) to pixel q with (u, v) co-ordinates is a sequence of connected pixels $(x, y) (x_0, y_0) (x_1, y_1) \dots (u, v)$



Length of the path is count of connected pixels.

If first pixel is same as last pixel i.e. $(x, y) = (u, v)$ it is called closed path.

Distance Measure :-

$Dis(p, q)$ Distance b/n p & q .

(i) $Dis(p, q) \geq 0$ If $p = q \Rightarrow Dis(p, q) = 0$

(ii) $Dis(p, q) = Dis(q, p)$

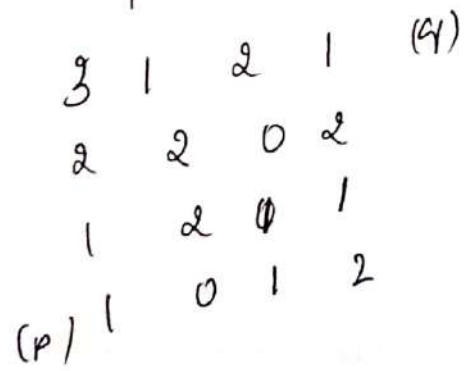
(iii) $Dis(p, z) \leq Dis(p, q) + Dis(q, z)$

(1)	0	0	(1)	0	0	(1)
p		q		z		
(x, y)		(s, t)		(u, v)		

(iv) Euclidean distance

$$Dis_{eq}(p, q) = \sqrt{(x-s)^2 + (y-t)^2}$$

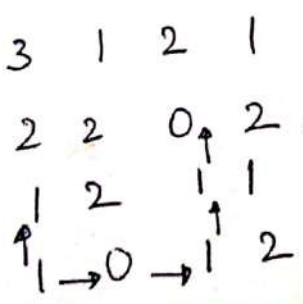
Eg:- for $V = \{0, 1\}$ find the length of shortest 4, 8 & m-paths b/n p & q . Repeat for $V = \{1, 2\}$ for the given image.



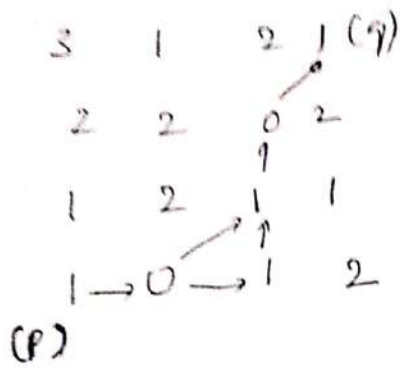
Sol

4-paths :-

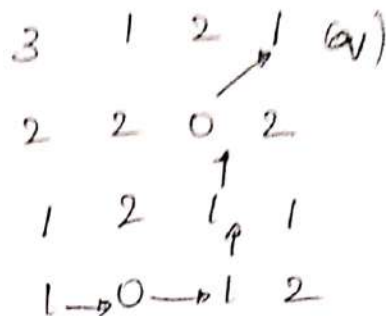
$V = \{0, 1\}$



The path starts from p but does not reach q as no path exist b/n q & prev. pixel.



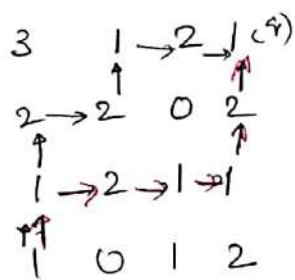
8 paths is not unique



m-paths (order diff) = 5

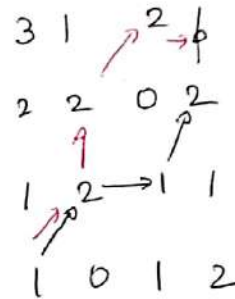
II
for $v = \{1, 2\}$

4 paths

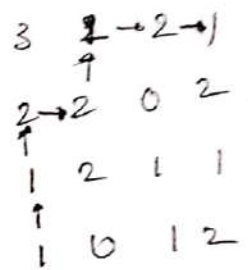


4 paths (not unique)

min length = 6

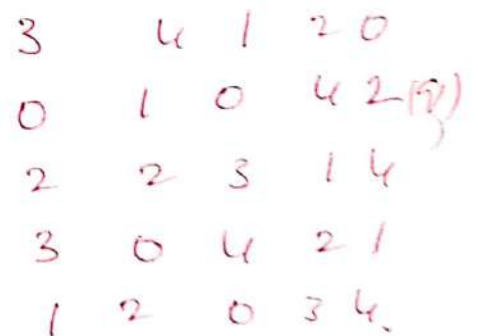


8 paths not unique min length = 4



m paths min length = 6

HW. For $v = \{2, 3, 4\}$ compute the length of shortest v, s, t paths
b/w p, q for the following image.



3) An image of size 630×480 has 24 bit color. Calculate the memory required by the image.

$$S = M \times N \times k$$

$$= 630 \times 480 \times 24 = 7.2576 \text{ Mbits.}$$

4) Calculate no. of bits required to store a digital image of size 1024×1024 & no. of gray levels are 128.

$$L = 2^k$$

$$128 = 2^k \Rightarrow k = 7$$

$$b = 1024 \times 1024 \times 7 = 7.54 \text{ Mbits}$$

$M \times N \rightarrow$ size of array (pixels)
(image)

(23)

$L \rightarrow$ discrete intensity levels

Due to storage & quantizing h/w considerations, no. of intensity

levels $L \rightarrow$ Integer power of 2.

$$L = 2^k \quad k \rightarrow \text{bit image} \quad \text{if } k = 8 \text{ then } L = 2^8 = 256$$

$b \rightarrow$ no. of bits required to store a digitized image.

$$b = M \times N \times k$$

when $M = N$

$$\text{then } b = N^2 k$$

Ex:- 512×512 image with 256 graylevels at 300 baud rate,

How many mins would it take to transmit?

(baud rate \rightarrow no. of bits transmitted/sec.
Assumed each byte is one packet with start
& stop bit)

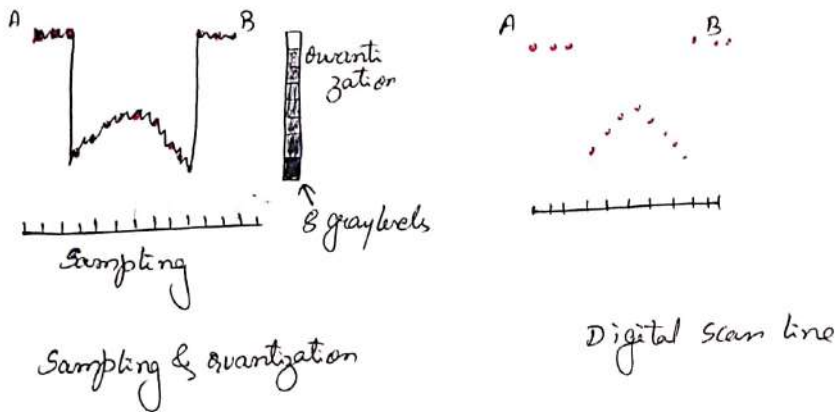
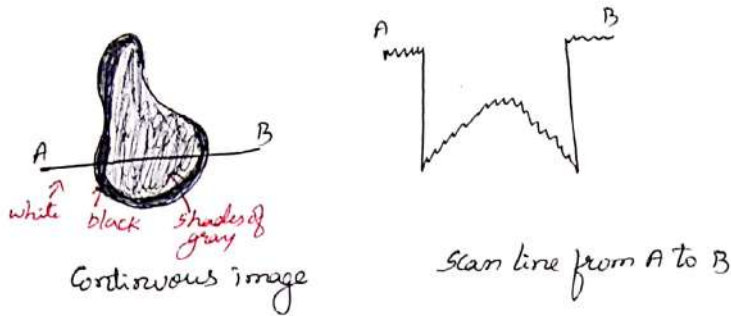
$$L = 2^8$$
$$k = 8$$

$$\text{Time} = \frac{M \times N \times k}{\text{baud rate}} \text{ sec.}$$

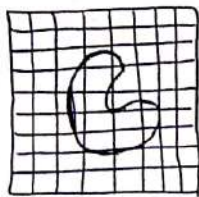
$$= \frac{512 \times 512 \times 8}{300} = \text{sec.}$$

⇒ Image Sampling & Quantization

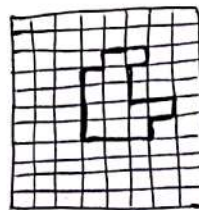
- * Continuous image $f(x,y)$ is converted to digital form
- * Image may be continuous w.r.t x & y co-ordinates & also in amplitude
- * Digitizing the co-ordinate values is called sampling & digitizing the amplitude values is called quantization



- * In order to convert to digital function, the gray level values also must be converted (quantized) into discrete quantities
- * Starting at the top of the image & carrying out this procedure line by line produces a 2D digital image



Continuous image projected onto a sensor array



Result of image sampling & quantization

Histogram equalization

① 3-bit image ($L=8$) of size 64×64 pixels ($MN=4096$) has intensity distribution shown below in table 3.1, where intensity levels are integers in the range $[0, L-1] = [0, 7]$

r_k	n_k	$Pr(r_k) = n_k/MN$
$r_0 = 0$	790	$0.19 = 790/4096$
$r_1 = 1$	1023	0.25
$r_2 = 2$	850	0.21
$r_3 = 3$	656	0.16
$r_4 = 4$	329	0.08
$r_5 = 5$	245	0.06
$r_6 = 6$	122	0.03
$r_7 = 7$	81	0.02

$L = 8$
 $MN = 4096$

$$S_k = T(r_k) = (L-1) \sum_{j=0}^k Pr(r_j)$$

$$S_0 = T(r_0) = 7 \sum_{j=0}^0 Pr(r_j) = 7 Pr(r_0) = 7 \times 0.19 = 1.33$$

$$S_1 = T(r_1) = 7 \sum_{j=0}^1 Pr(r_j) = 7 Pr(r_0) + 7 Pr(r_1) = 7 \times 0.19 + 7 \times 0.25 = 3.08$$

Like this solving we get

$$S_2 = 4.55, \quad S_3 = 5.67, \quad S_4 = 6.23, \quad S_5 = 6.65,$$

$$S_6 = 6.86, \quad S_7 = 7.00$$

$$S_0 = 1.33 \rightarrow 1$$

$$S_4 = 6.23 \rightarrow 6$$

$$S_1 = 3.08 \rightarrow 3$$

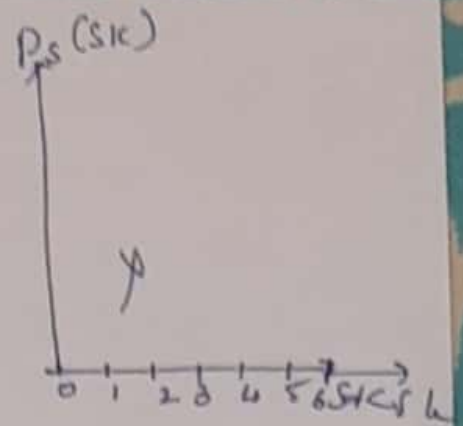
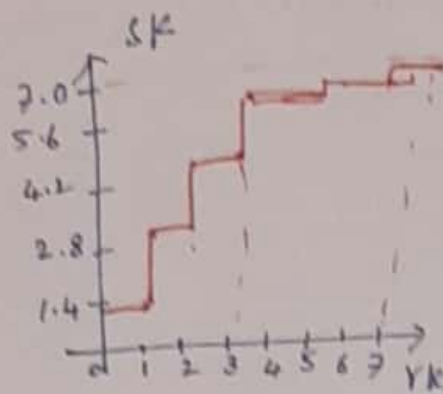
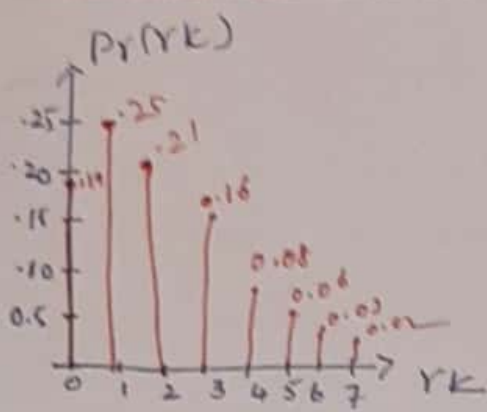
$$S_5 = 6.65 \rightarrow 7$$

$$S_2 = 4.55 \rightarrow 5$$

$$S_6 = 6.86 \rightarrow 7$$

$$S_3 = 5.67 \rightarrow 6$$

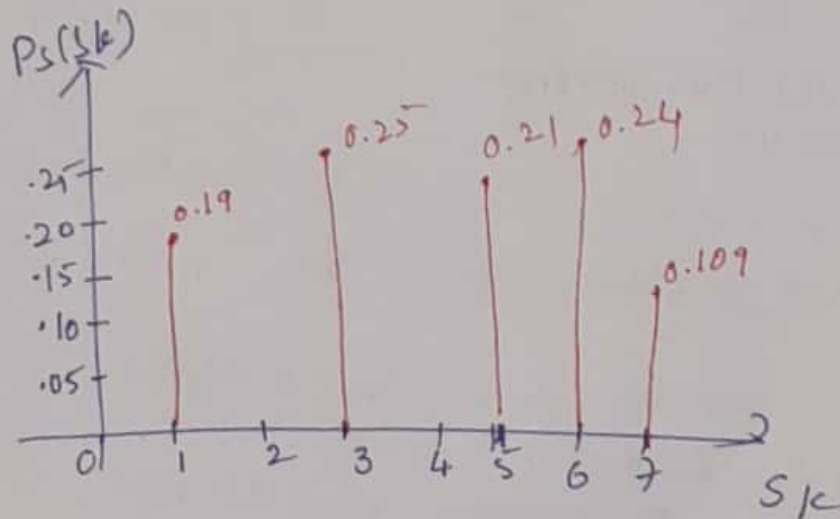
$$S_7 = 7.00 \rightarrow 7$$



Mapped to
 $Y_0=0 \rightarrow S_0=1$, etc

$Y_0=0$ is mapped to $S_0=1$ \therefore 790 pixels in histogram equalized image
 $Y_1=1$ " " $S_1=3$ \therefore 1023
 $Y_2=2$ " " $S_2=5$ \therefore 850
 $Y_3 \& Y_4$ " " $S_3=6$ \therefore $(656 + 329) = 985$
 Y_5, Y_6, Y_7 " " $S_4=7$ \therefore $(45 + 122 + 81) = 448$

Sk	nk	$P_S(S_k)$
1	790	$\rightarrow 790/4096 = 0.19$
3	1020	$\rightarrow 0.25$
5	850	$\rightarrow 0.21$
6	985	$\rightarrow 0.24$
7	448	$\rightarrow 0.109$



Histogram matching (specification)

* Histogram equalization automatically determines a transformation function which produce an output image that has a uniform histogram.

* When automatic ~~etna~~ enhancement is desired, this is a good approach. The results from this technique are predictable & the method is simple to implement.

* For some application, this might not be the best approach ~~of~~ base enhancement ~~on~~ ~~unif~~.

* For some times, we need to specify the shape of the histogram that we want to process the image.

* The method used to generate a processed image that has a specified histogram is called histogram matching or histogram specification.

* Histogram specification is a point operation that maps input image $f(x, y)$ into an output image $g(x, y)$ with a user specified histogram.

* Uses * It improves contrast & brightness of images.

* It is a pre-processing step in comparison of images.

Let us recall Algorithm of histogram equalization

$P_r(r) \rightarrow$ pdf of grey level ' r ' of input image

$P_z(z) \rightarrow$ pdf of grey level ' z ' of specified image

$P_s(s) \rightarrow$ pdf of grey levels ' s ' of output image

The transformation is

* Histogram equalization of input image

$$s = T(r) = \frac{L-1}{L} \int_0^r P_r(r) dr \quad \text{--- (1)}$$

* Histogram equalization of specified image

$$G(z) = \frac{L-1}{L} \int_0^z P_z(z) dz \quad \text{--- (2)}$$

Then

$$G(z) = s = T(r)$$

$$\Rightarrow z = G^{-1}[s] = G^{-1}[T(r)] \rightarrow \text{(4)}$$

* Assuming that G^{-1} exists, then we can map input grey levels ' r ' to output grey levels ' s '.

Procedure for histogram specification

Step 1: Obtain the transformation $T(r)$ by doing histogram equalization of input image

$$s = T(r) = \frac{L-1}{L} \int_0^r P_r(r) dr$$

Step 2: - Obtain the transformation $G(z)$ by doing
 = histogram equalization of specified image

$$G(z) = \int_0^z P_z(z) dz$$

Step 3: - Equate $G(z) = S = T(r)$

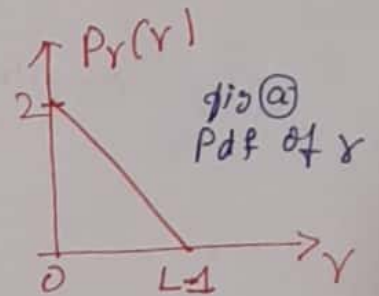
Step 4: - Obtain inverse transformation function
 G^{-1}

$$z = G^{-1}[S] = G^{-1}[T(r)]$$

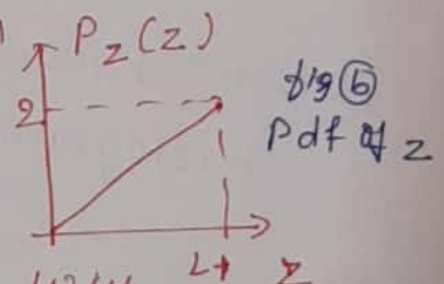
Step 5: - Obtain the output image by applying
 inverse transformation function to all
 pixels of input image.

① Assume an image having ~~pre~~ given grey
 level pdf $P_r(r)$. Apply histogram specification
 with given desired pdf function $P_z(z)$
 given below

$$P_r(r) = \begin{cases} \frac{-2r+1}{(L-1)} & ; 0 \leq r \leq L-1 \\ 0 & ; \text{otherwise} \end{cases}$$



$$P_z(z) = \begin{cases} \frac{2z}{(L-1)} & ; 0 \leq z \leq L-1 \\ 0 & ; \text{otherwise} \end{cases}$$



Apply histogram specification with
 the desired pdf function $P_z(z)$
 given in fig (b)

1) Obtain transformation function $T(r)$ by doing histogram equalization of input image

$$S = T(r) = \int_0^r P_r(r) dr = \int_0^r (-2r+2) dr$$

$$= [-r^2 + 2r]_0^r$$

$$= -r^2 + 2r.$$

2) Obtain transformation function $G(z)$

$$G(z) = \int_0^z P_z(z) dz = \int_0^z 2z dz$$

$$= [z^2]_0^z = z^2$$

(3) Equate $S = T(r) = G(z)$

$$-r^2 + 2r = z^2$$

(4) Obtain inverse transformation G^{-1}

$$z = G^{-1}[T(r)]$$

$$z = \sqrt{-r^2 + 2r}$$

Discrete Formulation

Histogram equalization of i/p image

$$S_k = T(r_k) = \sum_{j=0}^{(L-1)} P_r(r_j), \quad k=0, \dots, L-1$$

$$S_k = \frac{(k-1) \sum_{j=0}^k n_j}{n} \quad k = \frac{(L-1) \sum_{j=0}^k n_j}{n = MN}$$

n = total no. of pixels in i/p image

n_j = no. of pixels having level j

* transformation fun $G(z)$ can be obtained using eq (2) given $P_z(z)$

$$(2) \quad P_Y(y) = \begin{cases} \frac{2y}{(L-1)^2} & ; 0 \leq y \leq L-1 \\ 0 & ; \text{other values of } y \end{cases}$$

$$P_Z(z) = \begin{cases} \frac{3z^2}{(L-1)^3} & ; 0 \leq z \leq (L-1) \\ 0 & ; \text{other values of } z \end{cases}$$

Find the transformation fun

$$(1) \quad S = T(y) = (L-1) \int_0^y P_Y(w) dw = (L-1) \int_0^y \frac{2w}{(L-1)^2} dw$$

$$= \frac{2}{(L-1)} \int_0^y w dw = \frac{2y^2}{(L-1)}$$

$$(2) \quad G(z) = (L-1) \int_0^z P_Z(w) dw = \frac{3}{(L-1)^2} \int_0^z w^2 dw$$

$$= \frac{z^3}{(L-1)^2}$$

$$(3) \quad G(z) = S$$

$$\frac{z^3}{(L-1)^2} = S$$

$$\therefore z = \left[(L-1)^2 S \right]^{1/3}$$

(3)

If we multiply ~~each~~ every histogram equalized pixel by $(L-1)^2$ & raise the product to the power by $1/3$, the result will be an image whose intensities

$$Z \text{ have the PDF } P_Z(z) = \frac{3z^2}{(L-1)^3} \text{ in } (0, L-1)$$

$$\forall \text{ since } S = \frac{y^2}{(L-1)}$$

$$Z = \left[(L-1)^2 \cdot \frac{y^2}{(L-1)} \right]^{1/3}$$

$$Z = \left[(L-1) y^2 \right]^{1/3}$$

* Squaring the value of each pixel in the original image & multiplying the result by $(L-1)$ & multiplying to the power $(1/3)$ will yield an image whose intensity levels Z have the specified PDF.

Histogram equalization of specified image

$$V_q = G_1(z_q) = \frac{1}{L-1} \sum_{i=0}^q P_Z(z_i), \quad q=0, \dots, L-1;$$

equating

$$G_1(z_q) = S_k = T(r_k)$$

Invert transformation

$$z_q = G_1^{-1}[S_k] = G_1^{-1}[T(r_k)]$$

this operation gives a value of z for each value of s (mapping to s to z)

Procedure for Histogram Specification

- Step 1: Equalize input image histogram $[S_k]$
- 2: Equalize specified image histogram $[V_q]$
- 3: For $\min_q [V_q - s] \geq 0$ find corresponding v^* & p .
- 4: Map input pixels to old pixels to get output image.

⑩ Apply histogram specification on image in fig

below

$$\begin{bmatrix} 0 & 1 & 0 & 2 \\ 2 & 3 & 3 & 2 \\ 0 & 1 & 0 & 1 \\ 1 & 3 & 2 & 0 \end{bmatrix}$$

having $r_i = z_i = 0, 1, 2, 3$

$$P_Y(r_i) = 0.25 \text{ for } i = 0, 1, 2, 3$$

$$P_Z(z_0) = 0, \quad P_Z(z_1) = 0.5$$

$$P_Z(z_2) = 0.5, \quad P_Z(z_3) = 0$$

1. Equalize input image histogram.

r_k	0	1	2	3
$P_Y(r_k)$	0.25	0.25	0.25	0.25
S_k	0.25	0.5	0.75	1

$$S_k = T(r_k) = \sum_{j=0}^k \frac{n_j}{n}$$

2. Equalize specified image histogram.

z_q	0	1	2	3
$P_Z(z_q)$	0	0.5	0.5	0
V_q	0	0.5	1	1

3. Find minimum value of 'q' such that $(V_q - s) \geq 0$. First 3 columns are filled by step 1, next 3 columns are filled by step 2. In this step, last 2 columns are filled by ball procedure.

r_k	$P_Y(r_k)$	S_k	z_q	$P_Z(z_q)$	V_q	V^*	ρ
0	0.25	0.25	0	0	0	0.5	1
1	0.25	0.5	1	0.5	0.5	0.5	1
2	0.25	0.75	2	0.5	1	1	2
3	0.25	1	3	0	1	1	2

(a) $q=0, K=0$ $(V_0 - S_0) = (0 - 0.25) = -0.25 < 0 \Rightarrow \text{NO}$ $V_0^* = V_q$ $P_0 = Z_q$
 increase q

$q=1, K=0$ $(V_1 - S_0) = (0.5 - 0.25) = 0.25 \geq 0 \Rightarrow \text{YES}$

$V_0^* = V_q = V_1 = 0.5$
 $P_0 = Z_q = Z_1 = 1$

(b) $q=1, K=1$ $(V_1 - S_1) = (0.5 - 0.5) = 0 \geq 0 \Rightarrow \text{YES}$

$V_1^* = V_1 = 0.5$
 $P_0 = Z_1 = 1$

(c) $q=1, K=2$ $(V_1 - S_2) = (0.5 - 0.75) = -0.25 < 0 \Rightarrow \text{NO}$ $\text{increase } q$

$q=2, K=2$ $(V_2 - S_2) = (1 - 0.75) = 0.25 \geq 0 \Rightarrow \text{YES}$

$V_2^* = V_2 = 1$
 $P_2 = Z_2 = 2$

(d) $q=2, K=3$ $(V_2 - S_3) = (1 - 0.75) = 0.25 \geq 0 \Rightarrow \text{YES}$

$V_3^* = V_2 = 1$
 $P_3 = Z_2 = 2$

4 Map i/p levels to o/p levels value

YK	0	1	2	3
P	1	1	2	2

5 Map i/p pixels to new values to get new image

$$\begin{bmatrix} 0 & 1 & 0 & 2 \\ 2 & 3 & 3 & 2 \\ 0 & 1 & 0 & 1 \\ 1 & 3 & 2 & 0 \end{bmatrix} \Rightarrow \begin{bmatrix} 1 & 1 & 1 & 2 \\ 2 & 2 & 2 & 2 \\ 1 & 1 & 1 & 1 \\ 1 & 2 & 2 & 1 \end{bmatrix}$$

$f(x, y)$ $g(x, y)$

* Let $P_X(r) \rightarrow$ Pdf of grey level 'r' of i/p image
 $P_Z(z) \rightarrow$ Pdf of grey level 'z' of specified image

r & $z \Rightarrow$ intensity levels of i/p & o/p images resp.

* Transformation of particular importance in image processing is given by

$$S = T(r) = (L-1) \int_0^r P_X(w) dw \rightarrow (1)$$

(continuous version of histogram equalization)

* Let us define a random variable 'z' with the property

$$G(z) = (L-1) \int_0^z P_Z(t) dt = S \rightarrow (2)$$

$t \rightarrow$ dummy variable

Same as $P_Y(1)$

* From eq (1) & (2)

$$G(z) = T(r)$$

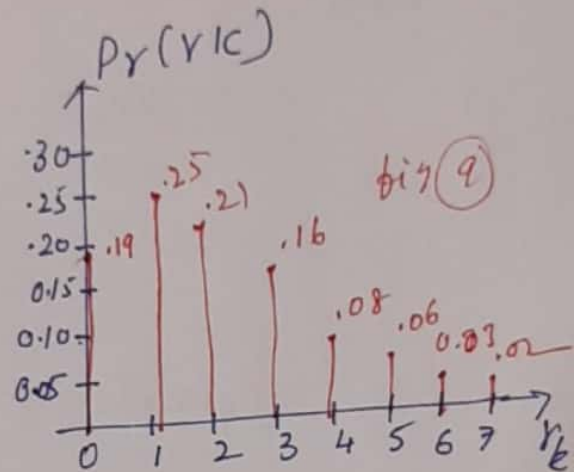
'z' must satisfy the condition

$$z = G^{-1}[T(r)] = G^{-1}(S) \rightarrow (3)$$

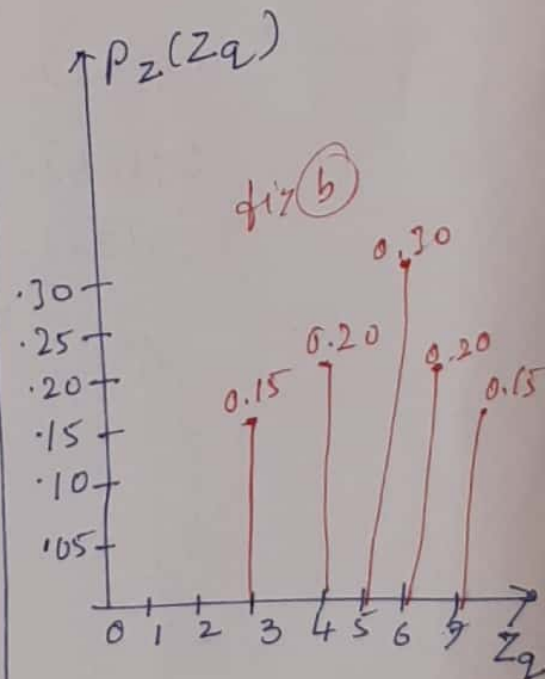
* Once $P_X(r)$ has been estimated from i/p image, then $T(r)$ can be obtained by eq (1)

consider 64×64 hypothetical image shown in previous example whose histogram is shown in below fig (a). It is desired to transform this histogram so that it will have the values specified in the second column of Table 3.2 & fig (b) shows a sketch of this histogram.

r_k	n_k	$P_Y(r_k) = n_k / MN$
$r_0 = 0$	790	0.19
$r_1 = 1$	1023	0.25
$r_2 = 2$	850	0.21
$r_3 = 3$	656	0.16
$r_4 = 4$	329	0.08
$r_5 = 5$	245	0.06
$r_6 = 6$	122	0.03
$r_7 = 7$	81	0.02



Z_q	Specified $P_Z(Z_q)$	Actual $P_Z(Z_q)$
$Z_0 = 0$	0.00	0.00
$Z_1 = 1$	0.00	0.00
$Z_2 = 2$	0.00	0.00
$Z_3 = 3$	0.15	0.19
$Z_4 = 4$	0.20	0.25
$Z_5 = 5$	0.30	0.21
$Z_6 = 6$	0.20	0.24
$Z_7 = 7$	0.15	0.11



I to obtain histogram-equalized value

$$\begin{array}{cccc} S_0 = 1 & S_2 = 5 & S_4 = 6 & S_6 = 7 \\ S_1 = 3 & S_3 = 6 & S_5 = 7 & S_7 = 7 \end{array}$$

II compute all the values of the transformation function G using

$$L=8 \quad G(z_q) = (L-1) \sum_{i=0}^q P_Z(z_i)$$

$$G(z_0) = 7 \sum_{j=0}^0 P_Z(z_j) =$$

$$= 7 \times P_Z(z_0) = 0.000$$

$$G(z_1) = 7 \sum_{j=0}^1 P_Z(z_j) = 7 [P(z_0) + P(z_1)] = 0.00$$

114

$$G(z_2) = 0.00$$

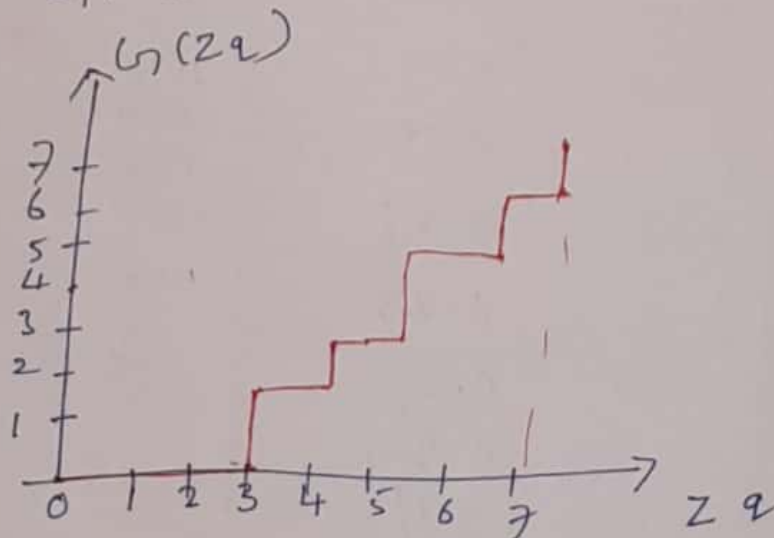
$$G(z_3) = 1.05$$

$$G(z_4) = 2.45$$

$$G(z_5) = 4.55$$

$$G(z_6) = 5.95$$

$$G(z_7) = 7.00$$



→ these fractional values are converted into integer

$$G_1(z_0) = 0.00 \rightarrow 0$$

$$G_1(z_1) = 0.00 \rightarrow 0$$

$$G_1(z_2) = 0.00 \rightarrow 0$$

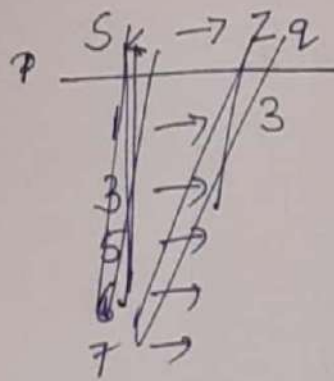
$$G_1(z_3) = 1.05 \rightarrow 1$$

$$G_1(z_4) = 2.45 \rightarrow 2$$

$$G_1(z_5) = 4.55 \rightarrow 5$$

$$G_1(z_6) = 5.95 \rightarrow 6$$

$$G_1(z_7) = 7.00 \rightarrow 7$$



z_q	$G_1(z_q)$
$z_0 = 0$	0
$z_1 = 1$	0
$z_2 = 2$	0
$z_3 = 3$	1
$z_4 = 4$	2
$z_5 = 5$	5
$z_6 = 6$	6
$z_7 = 7$	7

III we find smallest value of z_q so that the value $G_1(z_q)$ is ~~closest~~ closest to S_k .

eg (i) $S_0 = 1$ & we see $G_1(z_3) = 1$ which is perfect match in this case

∴ we have correspondence $S_0 \rightarrow z_3$

i.e., every pixel whose value is 1 in the histogram equalized image would map to a pixel valued 3 (in the corresponding location) in the histogram-specified image

S_k	$\rightarrow z_q$
1	$\rightarrow 3$
3	$\rightarrow 4$
5	$\rightarrow 5$
6	$\rightarrow 6$
7	$\rightarrow 7$

$S_0 = 3$ $G_1(z_4) = 2$
 ∴ $S_1 \rightarrow z_4$

(6)

* To compute $P_z(z_q)$

$S=1$ maps to $Z=3$

there are 790 pixels in the histogram
- equalized image with a value of 1.

$$P_z(z_3) = \frac{790}{4096} = 0.19$$

$S=3 \rightarrow Z=4$

$$P_z(z_4) = \frac{1020}{4096} = 0.25$$

$S=5 \rightarrow Z=5$

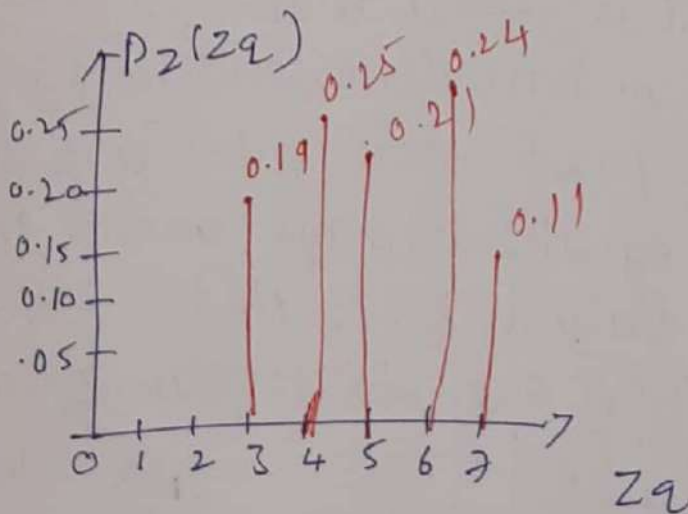
$$P_z(z_5) = \frac{850}{4096} = 0.21$$

$S=6 \rightarrow Z=6$

$$P_z(z_6) = \frac{985}{4096} = 0.24$$

$S=7 \rightarrow Z=2$

$$P_z(z_2) = \frac{448}{4096} = 0.11$$



Local Histogram Processing

- * The histogram process discussed before [histogram equalization & histogram specialization] are global
- * In this approach, pixels are modified by a transformation function based on the intensity distribution of an entire image.
- * ^{Although} This method is suitable for overall enhancement, there are some cases in which it is necessary to enhance details over small areas in an image
- * The no of pixels in these areas may have negligible influence on the computation of a global transformation whose shape does not necessarily guarantee the desired local enhancement
- * The solution is to devise transformation functions based on the intensity distribution in a neighborhood of every pixel in the image
- * The procedure is to define a neighborhood and move its center from pixel to pixel

* At each location, the histogram equalization or histogram specification transformation function is obtained.

* This fun is then used to map the intensity of the pixel centered in the neighborhood

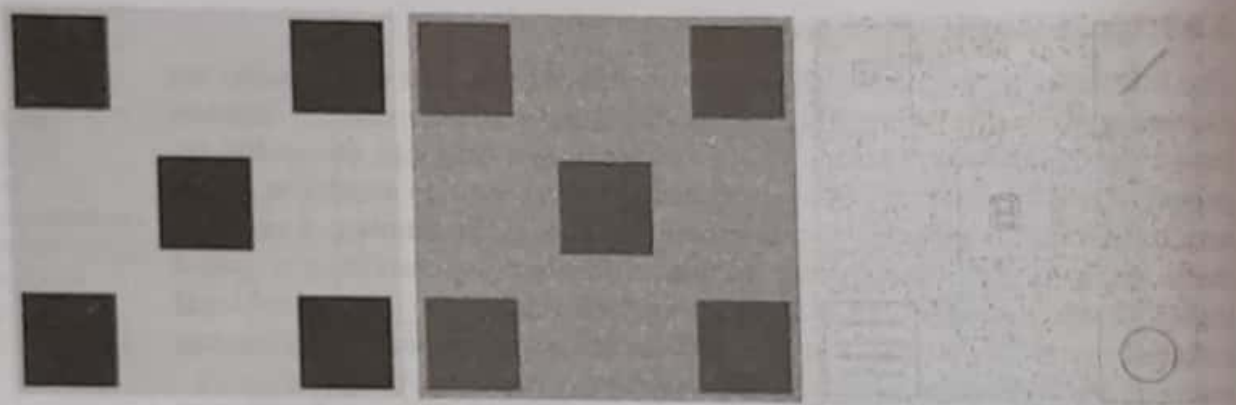
* The centre of the neighborhood region is then moved to an adjacent pixel location & the procedure is repeated

* $\circ \circ$ only one row or column of the neighborhood changes during a pixel-to-pixel translation of the neighborhood, updating the histogram obtained in previous location with the new data introduced at each motion step is possible

* this approach has

* Advantages over ~~repeated~~ repeatedly computing the histogram of all pixels in the neighborhood region each time the region is moved one pixel location

* one more approach used sometimes to reduce computation is to utilize non-overlapping regions but this method usually produces an undesirable "blocky" effect



a b c

FIGURE 3.26 (a) Original image. (b) Result of global histogram equalization. (c) Result of local histogram equalization applied to (a), using a neighborhood of size 3×3 .

Using Histogram Statistics for Image Enhancement

* Statistics obtained directly from an image histogram can be used for image enhancement

* Let 'r' denote \Rightarrow discrete random variable representing intensity values in the range $[0, L-1]$

$P(r_i) \Rightarrow$ the normalized histogram component corresponding to value r_i
(or an estimate of probability that intensity r_i occurs in the image from which the histogram was obtained)

* n^{th} moment of 'r' about its mean is defined as

$$\mu_n(r) = \sum_{i=0}^{L-1} (r_i - m)^n p(r_i) \rightarrow (1)$$

where

$m =$ mean value (average intensity of pixels in the image)

$$m = \sum_{i=0}^{L-1} r_i p(r_i) \rightarrow (2)$$

* The second moment is particularly important & is defined as

$$\mu_2(r) = \sum_{i=0}^{L-1} (r_i - m)^2 p(r_i) \rightarrow (3)$$

↳ eq (3) is recognized as Intensity Variance denoted by σ^2

mean \rightarrow measure of average intensity in an image

Variance \Rightarrow measure of contrast (std. deviation) in an image.

std. dev = square root of variance

* ONCE the histogram is computed for an image, all the moments are easily computed using eq (1)

* when mean & variance are computed directly from the sample values, without computing the histogram [common practice] then these estimates are called as sample mean & sample variance

$$m = \frac{1}{MN} \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x,y) \rightarrow (4)$$

$$\sigma^2 = \frac{1}{MN} \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} [f(x,y) - m]^2 \rightarrow (5)$$

* Sometimes instead of MN even $MN-1$ can be used ~~is~~ is done to obtain unbiased estimate of variance

eg consider 2-bit image size 5x5

$$\begin{bmatrix} 0 & 0 & 1 & 1 & 2 \\ 1 & 2 & 3 & 0 & 1 \\ 3 & 3 & 2 & 2 & 0 \\ 2 & 3 & 1 & 0 & 0 \\ 1 & 1 & 3 & 2 & 2 \end{bmatrix}$$

the pixels are represented by 2-bits

* pixels are represented by 2 bits

$$0^0 \quad L =$$

* The intensity levels are in the range

$$[0, \quad]$$

↑
L-1

* $MN =$

* histogram has the components
 $P(r_i) \Rightarrow$ compute

* ~~compute~~ compute average value of intensities in the image

* Sample ^{mean} value ?

* uses of mean & variance for enhancement purpose

* The global mean & variance are computed & are useful for gross adjustments in overall intensity & contrast.

* use of these parameters in local enhancement

* Local mean & variance are used as basis for making changes that depend on image characteristics in a neighbourhood about each pixel in an image

* Let $(x, y) \Rightarrow$ co-ordinates of any pixel in a given image

$S_{x,y} \Rightarrow$ neighborhood (subimage) of specified size, centered on (x, y) .

* mean value of the pixels in this neighborhood is

$$m_{S_{xy}} = \sum_{i=0}^{L-1} r_i P_{S_{xy}}(r_i) \rightarrow (6)$$

$P_{S_{xy}} \Rightarrow$ histogram of pixels in region S_{xy} .

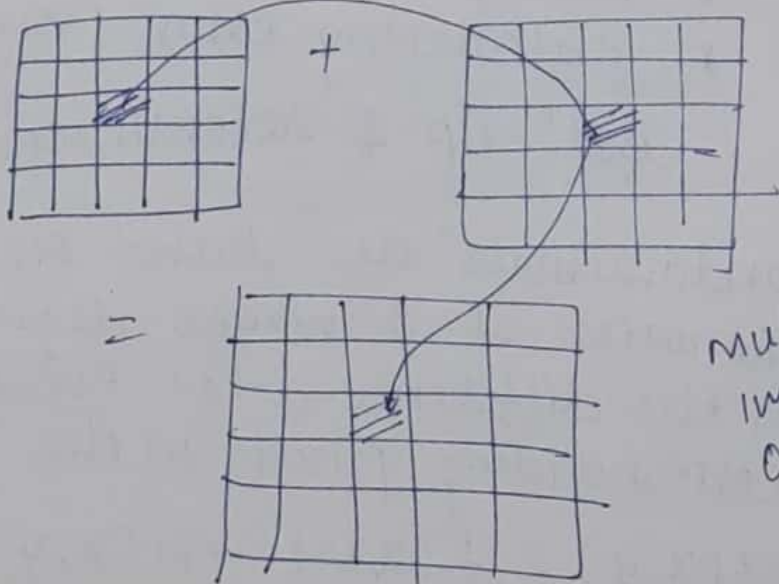
* Variance of pixels in the neighborhood

$$\sigma_{S_{xy}}^2 = \sum_{i=0}^{L-1} (r_i - m_{S_{xy}})^2 P_{S_{xy}}(r_i) \rightarrow (8)$$

* Local mean \Rightarrow is a measure of avg intensity in neighborhood S_{xy}

* Local Variance \Rightarrow is a measure of intensity contrast in the neighborhood

Arithmetic / Logic operations



Multi Image operation

* In multi image operation, grey levels of 2 or more input images are mapped to a single o/p image as shown in above fig

$$* g(x,y) = op [f_1(x,y), f_2(x,y)]$$

f_1 & $f_2 \rightarrow$ i/p images

$g \rightarrow$ o/p "

$op \rightarrow$ operator, which is applied pair wise to each pixel in the image

+ operations \rightarrow all addition, multiplication, subtraction [Arithmetic] and Logical [AND, OR, XOR, etc]

① Image subtraction

Appls! Image subtraction has numerous applications in image enhancement & segmentation namely

- * motion detection
- * Background illumination
- * calculating error (mean square error) bet' i/p & reconstructed image

* Fundamentals are based on ~~subtracting~~ subtraction of 2 images defined on the difference bet' every pair of corresponding pixels in the 2 images

$$g(x,y) = f(x,y) - h(x,y) \text{ --- (1)}$$



(a)



(b)



(c)



(d)

FIGURE 3.43: Motion detection: fig (a) and (b) are subtracted to get difference image (c). Fig (c) is thresholded to generate binary image (d).

Sometimes we can find absolute difference

$$g(x,y) = |f(x,y) - h(x,y)| \rightarrow \textcircled{2}$$

Applying: interesting app'n is in medicine where $h(x,y) \Rightarrow$ mask which is subtracted from series images to get very interesting results

① Digital subtraction Angiography

$h(x,y) \Rightarrow$ x-ray of patient's body

$f(x,y) \Rightarrow$ another x-ray which is obtained by injecting radio opaque dye which spreads into his blood stream

$$g(x,y) = f(x,y) - h(x,y) \Rightarrow \text{contains only blood vessels}$$

used to extract patient's blood carrying vessels

② motion detection

③ video compression - to encode only the differences bet' frames.

④ automatic checking of industrial parts

② Image Addition

* to create a double exposure or composite

$$* g(x, y) = f_1(x, y) + f_2(x, y)$$

* A weighted blend can also be done

$$g(x, y) = \lambda_1 f_1(x, y) + \lambda_2 f_2(x, y)$$

* Image averaging / to average multiple

images of the same scene to reduce noise. A single image of electron microscope can be very noisy. One way to reduce such kind of noise is to acquire multiple images of the same scene for long duration & then perform image averaging

$$\bar{g}(x, y) = \frac{1}{n} \sum_{i=1}^n f_i(x, y)$$

↓
avg image & $f_1 \dots f_n$ all n acquired images

$$f(x, y) = f_{in}(x, y) + \eta(x, y)$$

↓ noiseless image ↓ noise

if n is high. The avg image is closer to noiseless image if $\frac{no}{i}$ of image is high.

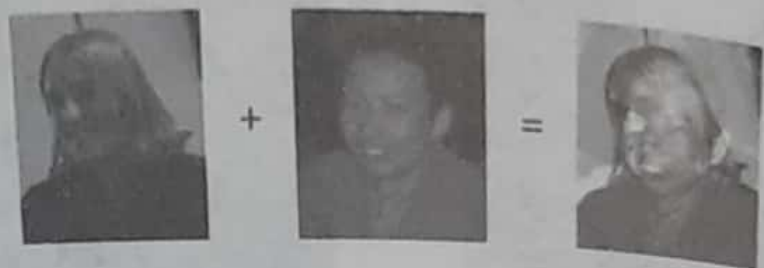


FIGURE 3.45: (a) Image addition

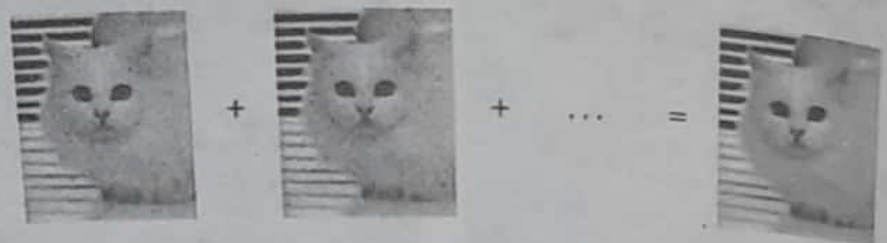


FIGURE 3.45: (b) Image averaging

in fig (d).

The term watershed
refers to a ridge that ...

... divides areas
drained by different
river systems.

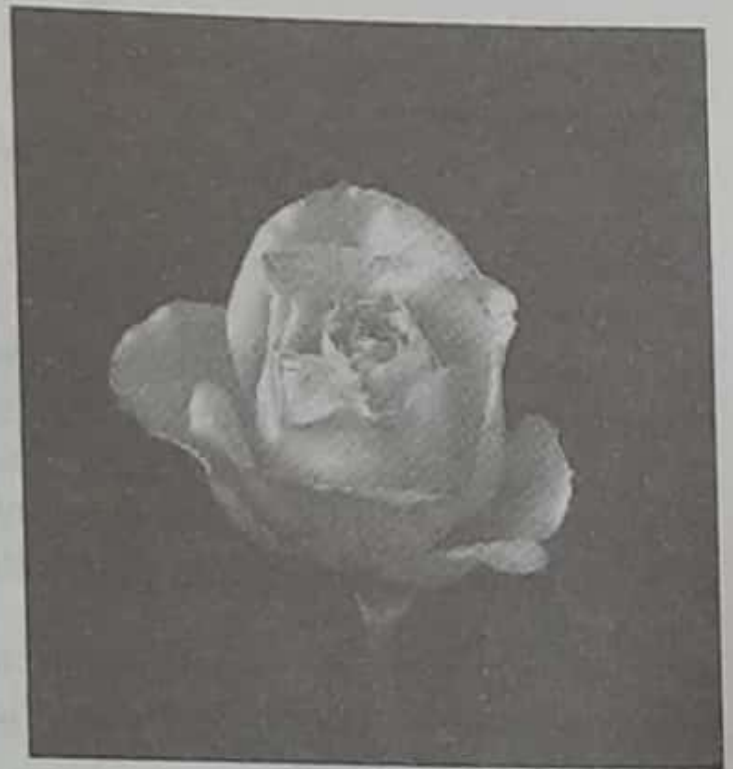


FIGURE 3.46: (a) Input image 1

FIGURE 3.46: (b) Input image 2

The term watershed
refers to a ridge that ...



FIGURE 3.46: (c) Output of image addition

The term watershed
refers to a ridge that ...

... divides areas
drained by different
river systems.

FIGURE 3.46: (d) Output of ex 3.10

Boolean operations

- * If binary images need to be combined/operated, we can use Boolean operation.
- * Adv/ - can be carried out relatively fast on computer
- * Boolean operations are used for masking
 - * mask can be ANDed / Ored with i/p image to extract region of interest
- * Logical operations are also used in Image quantization when 8 bit info has to be reduced to 5/4 bit

NOT (OR (I₁, I₂)) shows invisible area.

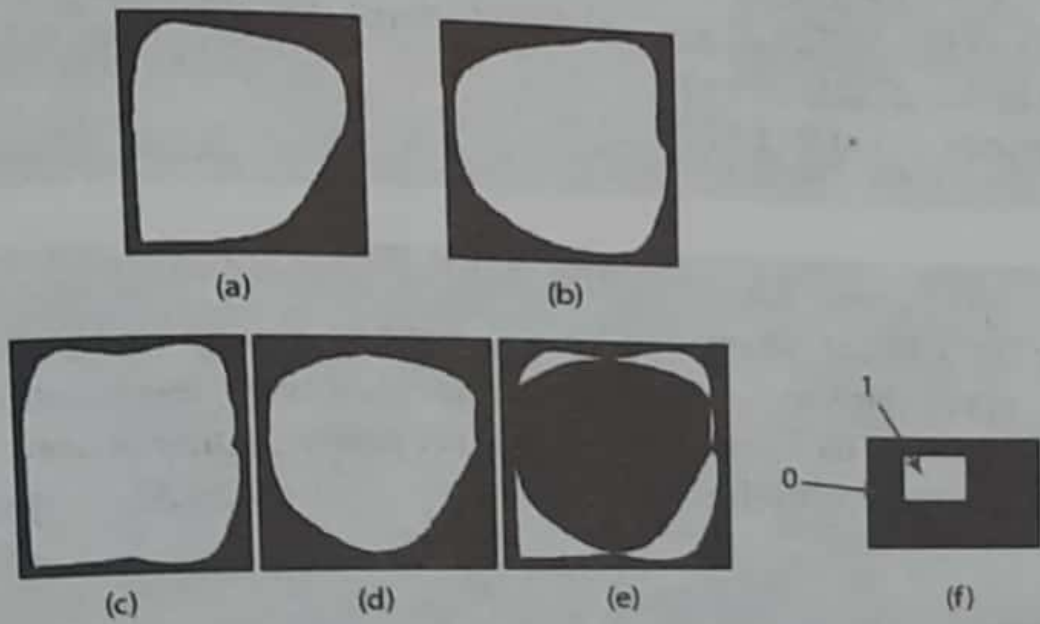


FIGURE 3.47: Boolean operations

Note

Bit wise AND operation is also used in matlab ex 3.6 to extract various bit planes from the image.



FIGURE 3.48: (a) Input image



FIGURE 3.48: (b)



FIGURE 3.48: (c)



FIGURE 3.48: (d)

Fundamentals of spatial filtering

- * spatial filtering is one of the principal tool used in DIP for a broad spectrum of applications. eg.: noise removal, bridging the gaps in object boundaries, Sharpening of edges etc.
- * filtering refers to passing (accepting) or rejecting certain frequency components

* spatial filtering involves passing a weighted mask, or kernel over the image and replacing the original image pixel value corresponding to the centre of the kernel with the sum of the original pixel values in the region corresponding to the kernel multiplied by the kernel weights

Mechanics of spatial filtering

* Spatial filter consists of

(i) a neighborhood (typically a small rectangle)

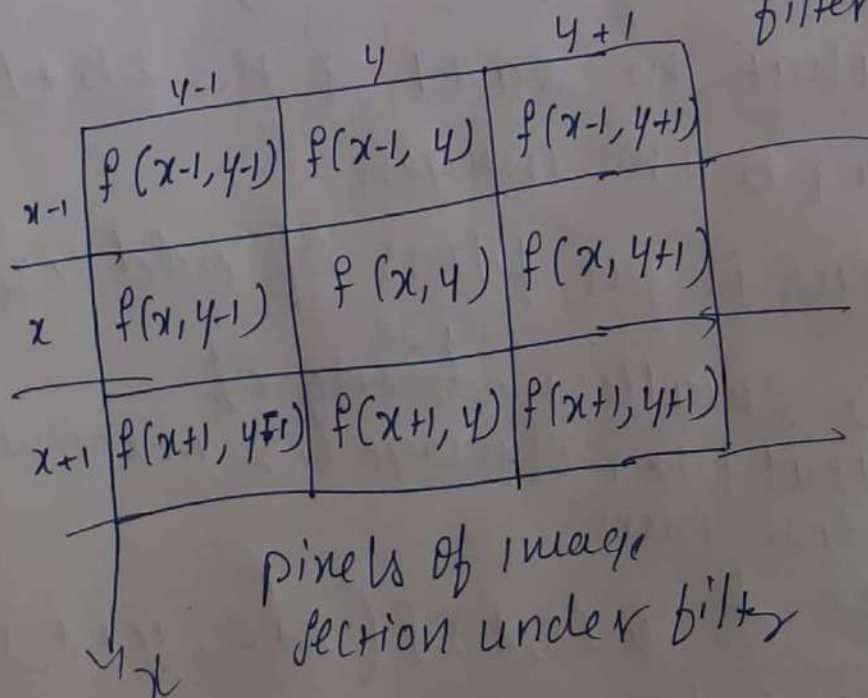
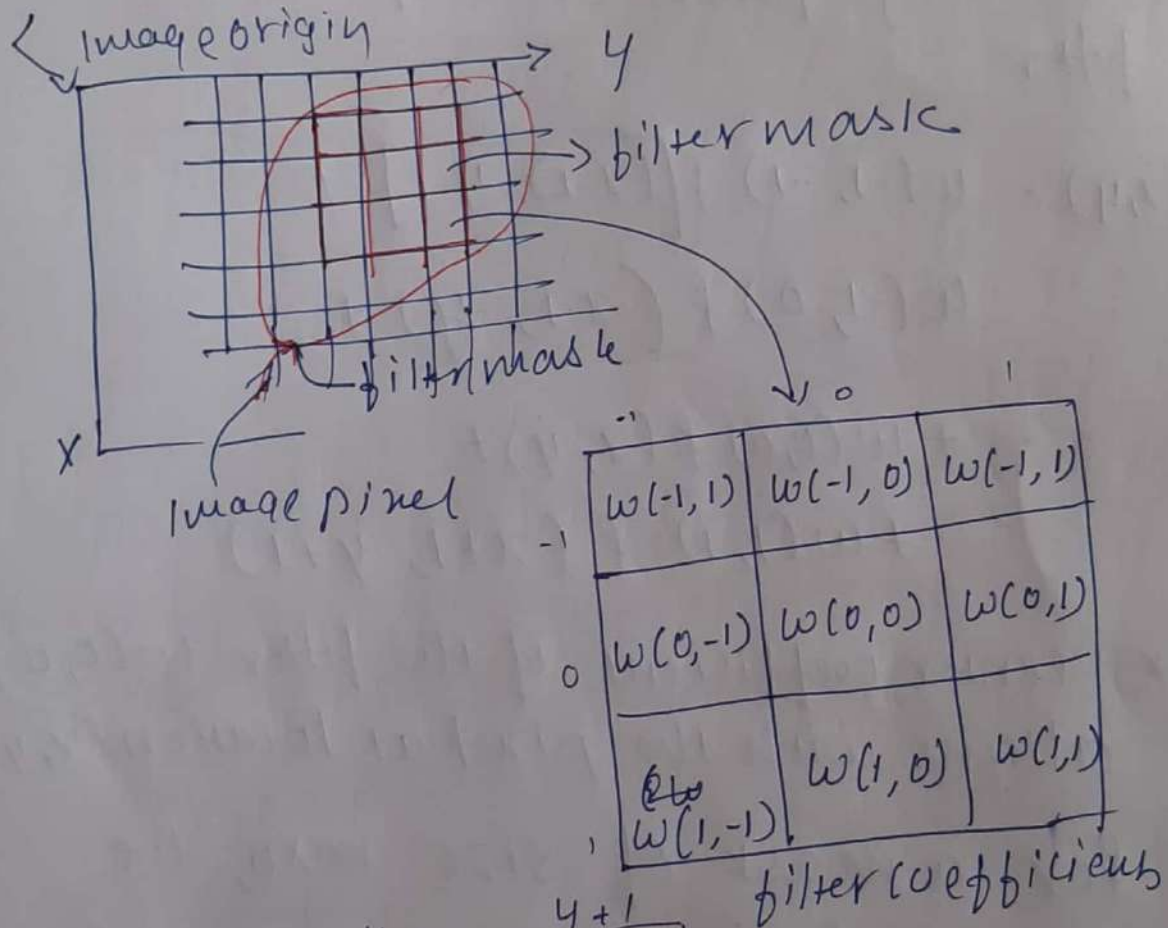
& (ii) a pre-defined operation that is performed on the image pixels encompassed by the neighborhood

* filtering creates a new pixel with co-ordinates equal to the coordinates of the center of the neighborhood & whose value is the result of the filtering operation

* A processed (filtered) image is generated as the center of the filter visits each pixel in the i/p image

* If the operation performed on the image pixels is linear, then the filter is called linear spatial filter. otherwise the filter is non-linear

Linear spatial filtering



* fig illustrates the mechanics of linear spatial filtering using 3×3 neighborhood.

* At any point (x, y) in the image, the response $g(x, y)$ of the filter is the sum of products of the filter coefficients & the image pixels encompassed by the filter

$$g(x, y) = w(-1, -1) f(x-1, y-1) + \\ w(-1, 0) f(x-1, y) + \dots \\ \dots + w(0, 0) f(x, y) + \dots \\ \dots + w(1, 1) f(x+1, y+1)$$

* center coefficient of the filter $w(0, 0)$ aligns with the pixel at location (x, y)

* for a mask of size $m \times n$, we assume that $m = 2a + 1$ & $n = 2b + 1$, where a & b are integers

* our focus is on filters of odd size

$3 \times 3 \Rightarrow$ smallest ^{size} ~~neighborhood~~

* linear spatial filter of image size $M \times N$ with a filter of size $m \times n$

$$g(x, y) = \sum_{s=-a}^a \sum_{t=-b}^b w(s, t) f(x+s, y+t)$$

Apply given 3×3 mask 'w' of fig (a) on the given image $f(x,y)$ defined as

5	1	2	6	7
4	4	7	5	8
2	6	20	6	7
3	1	2	4	5
10	2	1	2	3

$f(x,y)$

$$\frac{1}{9} \times \begin{matrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{matrix} \quad (b)$$

w

i/p image size = 5×5

Soln

1.

5	1	2	6	7
4	4	7	5	8
2	6	20	6	7
3	1	2	4	5
10	2	1	2	3

O/p

$$\frac{1}{9} \times [5 \times 1 + 1 \times 1 + 2 \times 1 + 4 \times 1 + 4 \times 1 + 7 \times 1 + 2 \times 1 + 6 \times 1 + 20 \times 1]$$

$$= \frac{49}{9} \approx \underline{\underline{5}}$$

Replace grey level value

4 by 5

O/P

5	1	2	6	7
4	4	7	5	8
2	6	20	6	7
3	1	2	4	5
10	2	1	1	3

*	*	*	*	*
*	5	6	8	*
*	5	6	7	*
*	4	5	6	*
*	*	*	*	*

②
$$O/P = \frac{1}{9} [1 \times 1 + 2 \times 1 + 6 \times 1 + 4 \times 1 + 7 \times 1 + 5 \times 1 + 6 \times 1 + 20 \times 1 + 6 \times 1]$$

$$= \frac{57}{9} \approx 6 \quad 7 \rightarrow 6$$

③
$$O/P = \frac{1}{9} [2 \times 1 + 6 \times 1 + 7 \times 1 + 7 \times 1 + 5 \times 1 + 8 \times 1 + 20 \times 1 + 6 \times 1 + 7 \times 1]$$

$$= \frac{68}{9} \approx 8 \quad 5 \rightarrow 8$$

④
$$O/P = \frac{1}{9} [4 + 4 + 7 + 2 + 6 + 20 + 3 + 1 + 2] = \frac{49}{9} = 5$$

5.
$$O/P = \frac{1}{9} [4 + 7 + 5 + 6 + 20 + 6 + 1 + 2 + 4] = \frac{55}{9} \approx 6$$

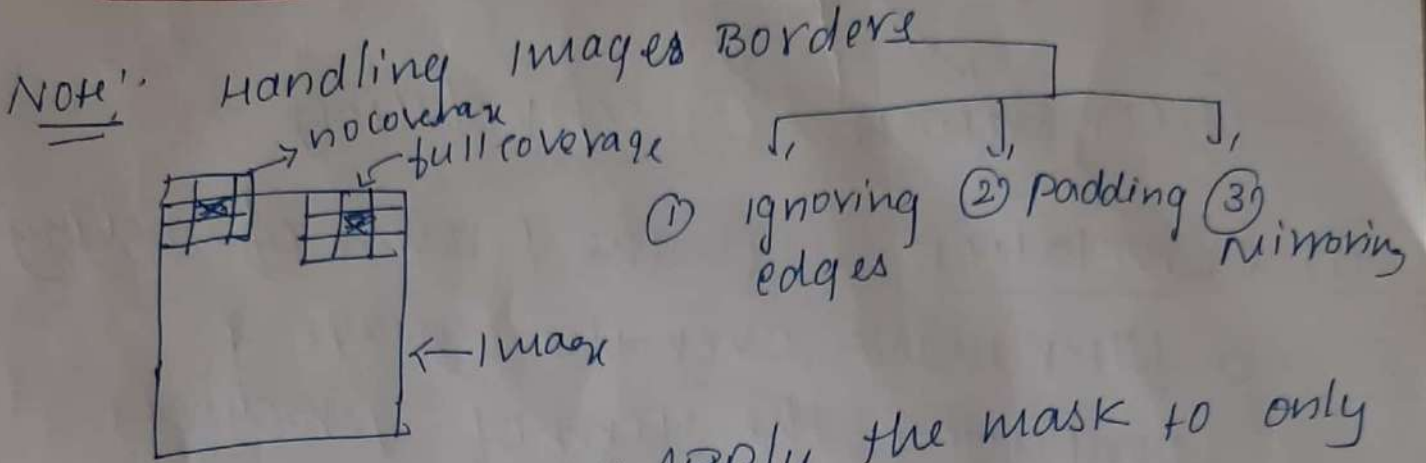
6.
$$O/P = \frac{1}{9} [7 + 5 + 8 + 20 + 6 + 7 + 2 + 4 + 5] = \frac{64}{9} \approx 7$$

7.
$$O/P = \frac{1}{9} [2 + 6 + 20 + 3 + 1 + 2 + 10 + 2 + 1] = \frac{38}{9} \approx 4$$

8.
$$O/P = \frac{1}{9} [6 + 20 + 6 + 1 + 2 + 4 + 2 + 1 + 1] = \frac{44}{9} \approx 5$$

9.
$$O/P = \frac{1}{9} [20 + 6 + 7 + 2 + 4 + 5 + 1 + 1 + 3] = \frac{59}{9} \approx 6$$

Spatial Correlation & Convolution



① Ignoring edges: → Apply the mask to only those pixels in the image for which the mask lies fully with the image
 * mask is applied to all pixels in the image except for edges [o/p image is smaller than that of i/p image]

② padding!
 * in this case, the i/p image is padded with zeros at the border.
 * this uses the size of i/p image before applying filter

0	0	0	0	0	0	0
0	5	1	2	6	7	0
0	4	4	7	5	8	0
0	2	6	9	3	0	7
0	3	2	1	4	5	0
0	1	2	2	2	2	0
0	0	0	0	0	0	0

③ Mirroring!
 * mirror image of the known image is created with the border

5	5	1	2	6	7	7
5	5	1	2	6	7	7
4	4	4	7	5	8	8
2	6	2	0	6	7	7
3	1	2	4	5	5	5
1	2	1	2	3	3	3
1	1	2	1	2	3	3

* copy 1st & last row & column.

Linear spatial filtering

- ① correlation
- ② convolution

① correlation :- is the process of moving a filter mask over the image & computing the sum of products at each location. [as explained in Linear spatial filtering]

② convolution :- the mechanism is same except the filter is first rotated by 180° .

* Let us explain the above concept using 1-D illustration.

(a) correlation

\swarrow origin f w
 0 0 0 1 0 0 0 0 1 2 3 2 8 Length of image = 8
length of filter (size) = m = 5

(b) ↓
 0 0 0 1 0 0 0 0

1 2 3 2 8 ↑ starting position alignment

(c) zero padding

0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0

1 2 3 2 8

(m-1) 0s are padded on either side of 'f'

0 0 0 0 | 0 0 0 1 0 0 0 0 | 0 0 0 0 o/p

1 2 3 2 8 - 1 - 1 - 1 - 1 - 1 - 1 - 1 = 0

(d) → 1 2 3 2 8 + 1 + 1 + 1 + 1 + 1 + 1 + 1 = 0

after 1 shift

1 2 3 2 8 + 1 + 1 + 1 + 1 + 1 + 1 + 1 = 0

(e) → 1 2 3 2 8 + 1 + 1 + 1 + 1 + 1 + 1 + 1 = 0

after 4 shift

1 2 3 2 8 + 1 + 1 + 1 + 1 + 1 + 1 + 1 = 0

1 2 3 2 8 + 1 + 1 + 1 + 1 + 1 + 1 + 1 = 0

1 2 3 2 8 + 1 + 1 + 1 + 1 + 1 + 1 + 1 = 0

1 2 3 2 8 + 1 + 1 + 1 + 1 + 1 + 1 + 1 = 0

1 2 3 2 8 + 1 + 1 + 1 + 1 + 1 + 1 + 1 = 0

(f) → 1 2 3 2 8 + 1 + 1 + 1 + 1 + 1 + 1 + 1 = 0

final position

cropped correlation result

(g) Full correlation result

0 0 0 8 2 3 2 1 0 0 0 0

(h) cropped correlation result
(the size should be same as f)

0 8 2 3 2 1 0 0

convolution

(a) f w rotated 180°
0 0 0 1 0 0 0 0 8 2 3 2 1

(b) 0 0 0 1 0 0 0 0
8 2 3 2 1

(c) 0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 zero padding
8 3 2 1

(g) Full convolution result
0 0 0 1 2 3 2 8 0 0 0 0

(e) 0 1 2 3 2 8 0 0 → cropped convolution result

0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0

8 2 (3) 2 1 - - - - - 0

8 2 (3) 2 1 - - - - - 0

8 2 (3) 2 1 - - - - - 0

8 2 (3) 2 1 - - - - - 1

8 2 (3) 2 1 - - - - - 2

8 2 (3) 2 1 - - - - - 3

8 2 (3) 2 1 - - - - - 2

8 2 (3) 2 1 - - - - - 8

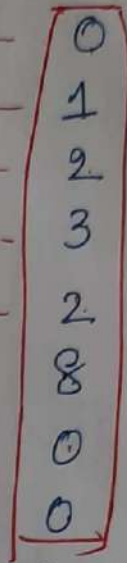
8 2 (3) 2 1 - - - - - 0

8 2 (3) 2 1 - - - - - 0

8 2 (3) 2 1 - - - - - 0

8 2 (3) 2 1 - - - - - 0

8 2 (3) 2 1 - - - - - 0



* Two important points to note from the above discussion.

(1) * Correlation is a function of displacement of the filter.

- * 1st value of correlation corresponds to zero displacement of the filter
- * 2nd corresponds to one unit displacement & so on...

(2) The correlating a filter 'w' with a function that contains all 0's & 9 single 1 yields a result that is copy of 'w' but rotated by 180°

* correlation of a function with a discrete unit impulse yields a rotated version of the function at the location of the impulse

③ convoluting a fun with a unit impulse yields a copy of the function at the location of the impulse

* ~~convolution~~

* correlation yields a copy of the function also but rotated by 180° . \therefore If we pre-rotate the filter & perform the same sliding sum of products, we will obtain desired result

* for images, ~~the~~ the same concepts ~~can~~ can be applied

* for filter of size $m \times n$, we pad the image with a minimum of $m-1$ rows of 0's at the top & bottom and $n-1$ columns of 0's on the left & right

* convolution is cornerstone of a linear system theory

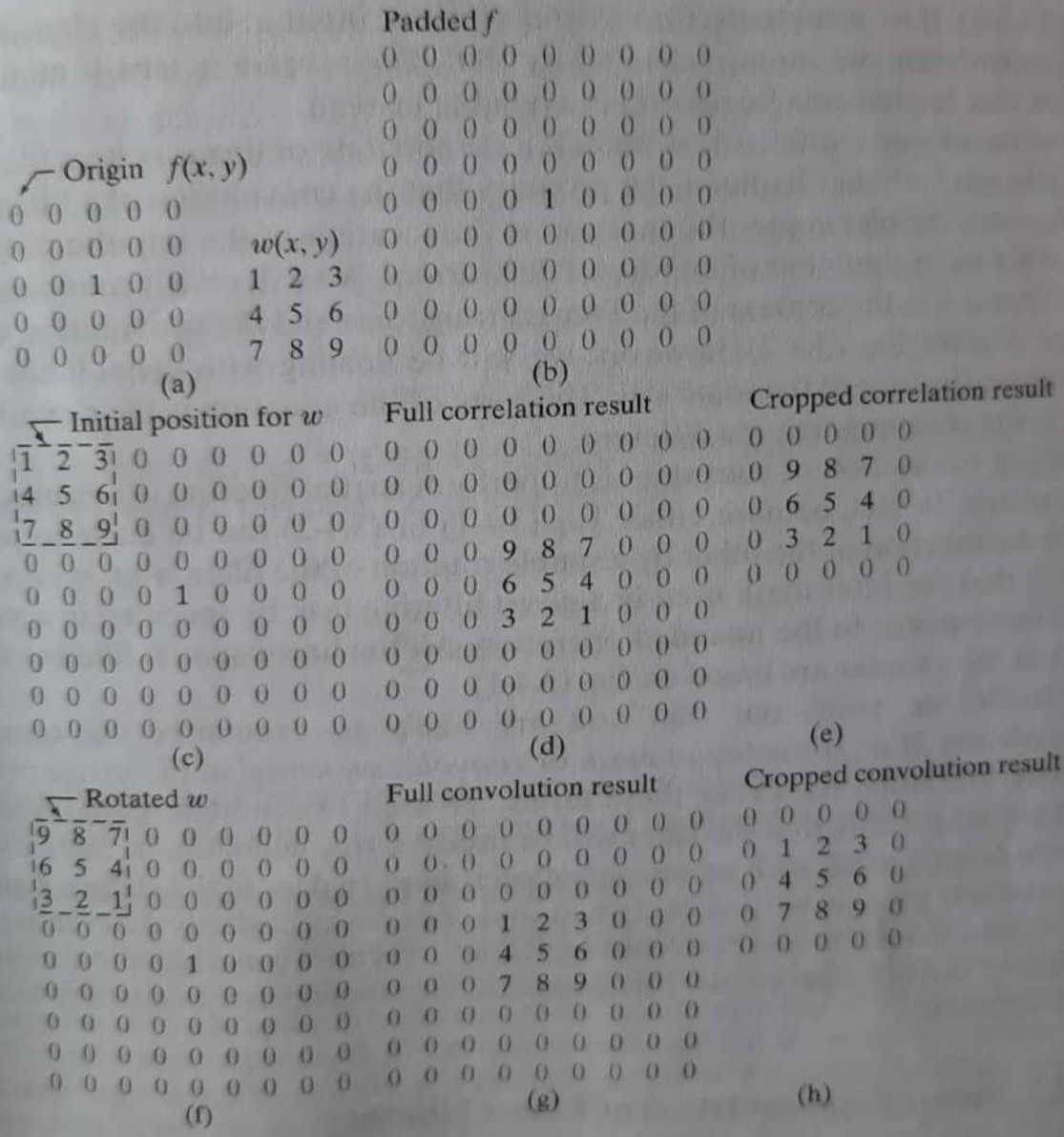


FIGURE 3.30 Correlation (middle row) and convolution (last row) of a 2-D filter with a 2-D discrete, unit impulse. The 0s are shown in gray to simplify visual analysis.

↳ filter $\rightarrow w(x, y)$ of size $m \times n$,
 image $\rightarrow f(x, y)$

* correlation of a filter & image

$$w(x, y) * f(x, y) = \sum_{s=-a}^a \sum_{t=-b}^b w(s, t) f(x+s, y+t)$$

* convolution

$$w(x, y) * f(x, y) = \sum_{s=-a}^b \sum_{t=-b}^b w(s, t) f(x-s, y-t)$$

where - sign \Rightarrow right flip (rotate it by 180°)

Vector Representation of Linear filtering

$R \Rightarrow$ characteristic response of a mask of either correlation or convolution

$$R = w_1 z_1 + w_2 z_2 + \dots + w_{mn} z_{mn}$$

$$= \sum_{k=1}^{mn} w_k z_k = w^T z$$

$w_k \rightarrow$ coefficients of an $m \times n$ filter

$z_k \rightarrow$ corresponding image intensities encompassed by filter

w_1	w_2	w_3
w_4	w_5	w_6
w_7	w_8	w_8

$$R = w_1 z_1 + w_2 z_2 + \dots + w_9 z_9$$

$$= \sum_{k=1}^9 w_k z_k = w^T z$$

Generating spatial filter masks

- * Generating an $m \times n$ linear spatial filter requires in specifying mn mask coefficients.
- * Coefficients are selected based on the filter type.
- * For example, ~~if~~ we want to replace the pixels in an image by the average intensity of a 3×3 neighborhood centered on those pixels..

* Then the average value at any location (x, y) in the image is the sum of the nine intensity values in the 3×3 neighborhood centered on (x, y) divided by 9.

$$R = \frac{1}{9} \sum_{i=1}^9 z_i$$

* In some applications, we have continuous function of 2 variables & the objective is to obtain a spatial filter mask based on that function.

e.g. a Gaussian fun of 2 variables has the basic form

$$h(x, y) = e^{-\frac{x^2 + y^2}{2\sigma^2}} \quad \left\{ \begin{array}{l} e^{-\frac{x^2 + y^2}{2\sigma^2}} \end{array} \right.$$

where σ = std. deviation

x, y = all integers

to generate 3×3 mask from this fun, we sample it about its center

Generating spatial filter contd)

* Generating a non-linear filter requires to specify in the

- (i) specifying the size of a neighborhood
- & (ii) operation(s) to be performed on the image pixels contained in the neighborhood

→ nonlinear filters are quite powerful & in some applications they can perform functions that are beyond the capabilities of linear filters

* ~~ex~~ 5x5 maximum filter [which performs max operation] centered at an arbitrary point (x, y) of an image obtains the maximum intensity value of the 25 pixels & assign that value to location (x, y) in the processed image

Smoothing spatial filters

- * Smoothing filters are used for blurring & for noise reduction
- * Blurring is used in preprocessing tasks, such as removal of small details from an image prior to (large) object extraction & bridging of small gaps in lines or ~~cont~~ curves.
- * Noise reduction can be accomplished by blurring with a linear filter & also non linear filter

Smoothing Linear Filter

- * The output (response) of a smoothing linear spatial filter is simply the average of the pixels contained in the neighborhood of the filter mask.
- * These filters are called as averaging filter or Low-pass filter or mean filter.
- * In smoothing filters, the value of every pixel in an image is replaced by the average of the intensity levels in the neighborhood defined by the filter mask.
- * This process results in an image with reduced sharp transitions in intensities.
- * Random noise typically consists of sharp transitions in intensity levels. ∴ most obvious application of smoothing is noise reduction.
- * ~~The~~ edges (which almost always are desirable features of an image) are characterized by sharp intensity transition.
- * ∴ averaging filters have undesirable side effect that they blur edges.
- * Another application of this type of process includes the smoothing of false contours which results from using insufficient no of intensity levels.

* A major use of averaging filter is in the reduction of irrelevant detail in an image.

[irrelevant \Rightarrow pixel regions that are small w.r.t to the size of the filter mask]

3 x 3 Smoothing average filter

* $\frac{1}{9} \times$

1	1	1
1	1	1
1	1	1

(a) box filter

$\frac{1}{16} \times$

1	2	1
2	4	2
1	2	1

(b) weighted average

(*) use of this filter (1st one) yields the standard average of the pixels under the mask

$$R = \frac{1}{9} \sum_{i=1}^9 z_i$$

$$R = \sum_{k=1}^9 w_k z_k$$

* \Rightarrow average of the intensity levels of the pixels in the 3x3 neighborhood defined by the mask

* The coefficients of the filter are all 1's

* The idea here is that it is computationally more efficient to have coefficients valued 1.

* At the end of filtering process, the entire image is \div by 9.

* An $m \times n$ mask would have a normalizing constant equal to $\frac{1}{mn}$.

* A spatial averaging filter in which all coefficients are equal sometimes is called as box-filter

(2) The second type is shown in fig (b) above is called as weighted average, in which the pixels are multiplied by different coefficients of filter mask, thereby giving more importance (weight) to some pixels at the expenses of other.

* In the filter mask shown above

$\frac{1}{16} \times$

1	2	1
2	4	2
1	2	1

(i) the pixel at the center of the mask is multiplied by a higher value than any other thus giving this pixel more importance in the calculation of the average.

(ii) The other pixels are inversely weighted as a function of their distance from the center of the mask

(iii) The diagonal terms are further away from the center than the orthogonal neighbors (by a factor of $\sqrt{2}$) & are weighted less than the immediate neighbors of the centre pixel

* The basic strategy behind weighting the center point the highest & then reducing the value of the coefficients as a function of increasing distance from the origin is to simply an attempt to reduce blurring in the smoothing process

* The general implementation for filtering an $m \times n$ image with a weighted averaging filter of size $m \times n$ (m, n are odd) is given by

$$g(x, y) = \frac{\sum_{s=-a}^a \sum_{t=-b}^b w(s, t) f(x+s, y+t)}{\sum_{s=-a}^a \sum_{t=-b}^b w(s, t)}$$

* The denominator is the sum of the mask coefficients & $0 \neq 0$ it is a constant that needs to be computed only once

• Apph of spatial averaging is to blur an image for the purpose of getting a gross representation of objects of interest
i.e., intensity of smaller objects blends with black ground & larger objects become bloblike & easy to detect

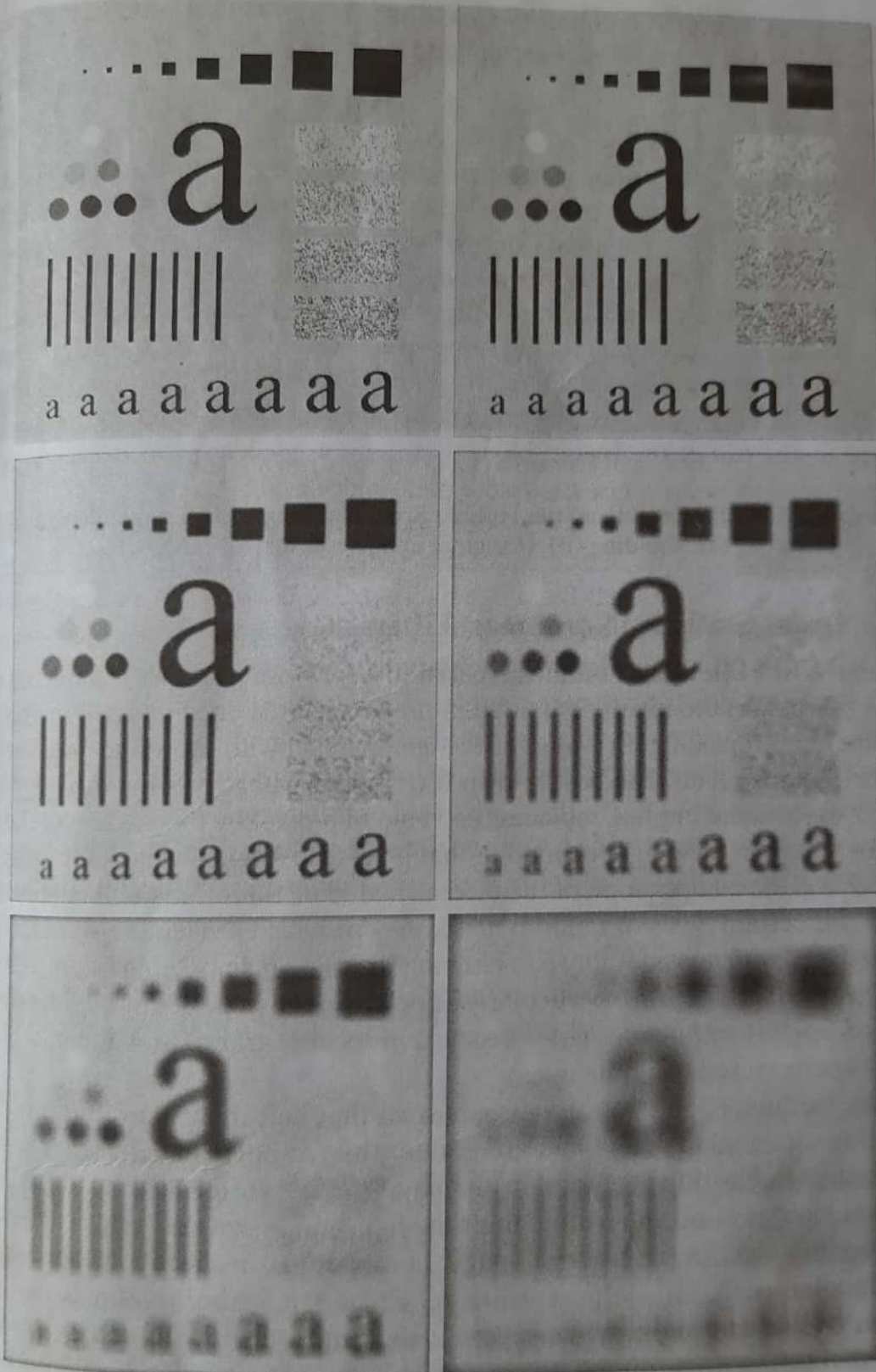
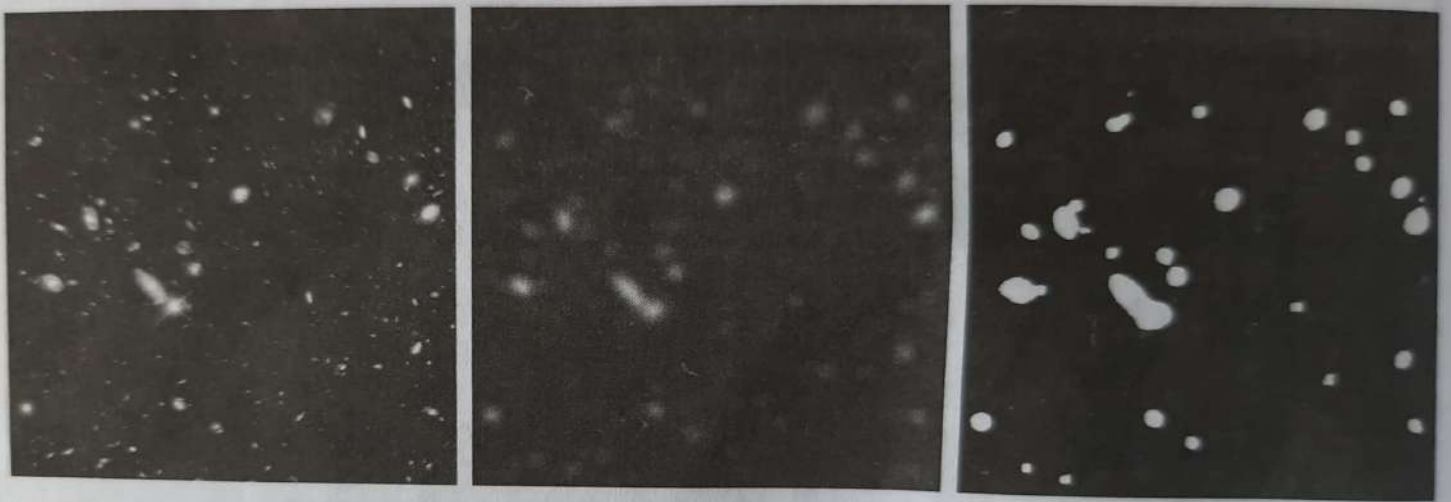


FIGURE 3.33 (a) Original image, of size 500×500 pixels. (b)–(f) Results of smoothing with square averaging filter masks of sizes $m = 3, 5, 9, 15,$ and 35 , respectively. The black squares at the top are of sizes $3, 5, 9, 15, 25, 35, 45,$ and 55 pixels, respectively; their borders are 25 pixels apart. The letters at the bottom range in size from 10 to 24 points, in increments of 2 points; the large letter at the top is 60 points. The vertical bars are 5 pixels wide and 100 pixels high; their separation is 20 pixels. The diameter of the circles is 25 pixels, and their borders are 15 pixels apart; their intensity levels range from 0% to 100% black in increments of 20% . The background of the image is 10% black. The noisy rectangles are of size 50×120 pixels.

a b
c d
e f



a b c

FIGURE 3.34 (a) Image of size 528×485 pixels from the Hubble Space Telescope. (b) Image filtered with a 15×15 averaging mask. (c) Result of thresholding (b). (Original image courtesy of NASA.)

3.5.2 Order-Statistic (Nonlinear) Filters

Order-Statistic (Non-linear) Filters

* Order-statistic filter are nonlinear spatial filters whose response is based on ordering (ranking) the pixels contained in the image area encompassed by the filter

* and replacing the value of the center pixel with the value determined by the ranking result

* The best-known filter in this category is median filter

* In median filter, the value of a pixel is replaced by the median of the intensity values in the neighborhood of that pixel.

* Median filters are quite popular because - for random noise, they provide excellent noise-reduction capabilities with less blurring than linear smoothing filters

- are effective in the presence of impulse noise also called as salt and pepper noise [appearance as white & black dots superimposed on an image]

* The median ξ of a set of values is such that $\frac{1}{2}$ values in the set are less than or equal to ξ & half are greater than or equal to ξ

* The median, ξ of a set of values is such that half the values in the set are less than or equal to ξ & half are greater than or equal to ξ

* to perform median filtering at a point in an image

(i) we sort the values of the pixel in the neighborhood

(ii) determine their median

(iii) assign that value to the corresponding pixel in the filtered image

* eg. in a 3×3 neighborhood, the median is 5th largest value

in a 5×5 neighborhood, it is the 13th largest value & so on

* suppose a 3×3 neighborhood has values (10, 20, 20, 20, 15, 20, 20, 25, 100)

- values are sorted as

[10, 15, 20, 20, 20, 20, 20, 25, 100]

- median = 20

* principal function of median filters is to force points with distinct intensity levels to be more like their neighbors

* The isolated clusters of pixels that are light or dark w.r.t their neighbors & whose area is less than $\frac{m^2}{2}$ [one-half the filter area] are eliminated by a $m \times m$ median filter

* In this case elimination means, forced to the median intensity of the neighbors

* larger clusters are affected considerably less.

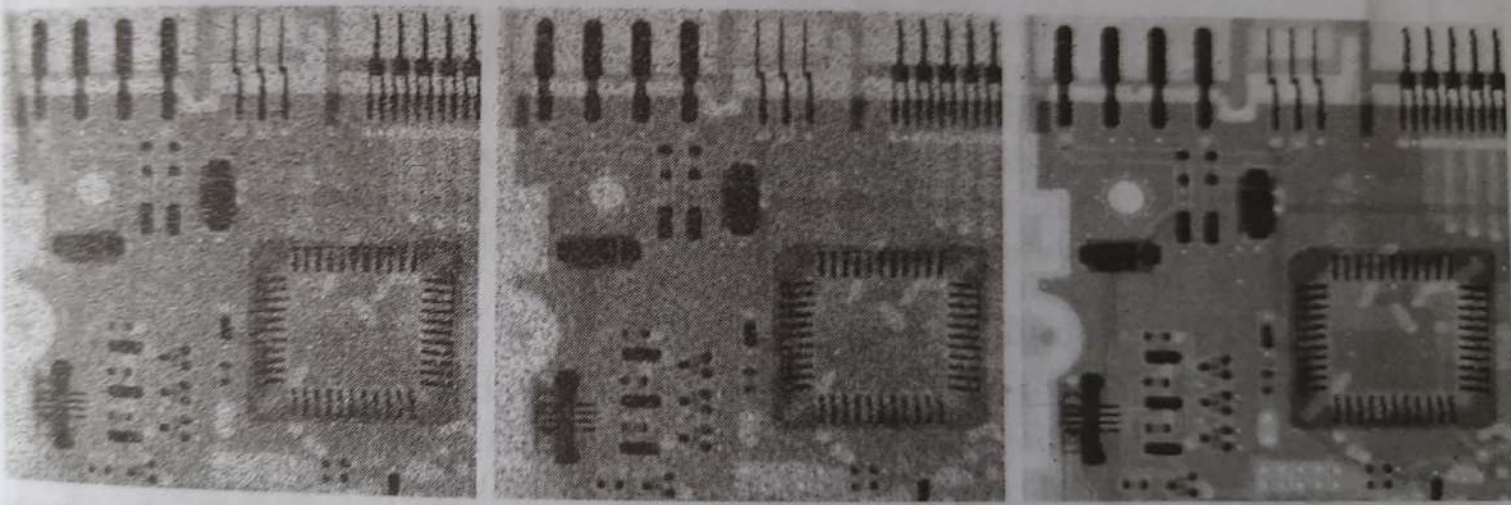
* median represents \Rightarrow 50th percentile of a ranked set of the no

* 100th percentile \Rightarrow max filter which is useful for finding the brightest points in an image

* The response of a 3×3 max filter is given

$$\text{by } R = \max \{ z_k \mid k = 1, 2, \dots, 9 \}$$

* 0th percentile filter is min filter is used for the opposite purpose.



a b c

FIGURE 3.35 (a) X-ray image of circuit board corrupted by salt-and-pepper noise. (b) Noise reduction with a 3×3 averaging mask. (c) Noise reduction with a 3×3 median filter. (Original image courtesy of Mr. Joseph E. Pascente, Lixi, Inc.)

Sharpening spatial filters

* ~~objective~~

* objective of sharpening is to highlight transitions in intensity.

* apps: ranging from electronic printing & medical imaging, industrial inspection & autonomous guidance in military system.

* image blurring in spatial domain is accomplished by pixel averaging in a neighborhood

* averaging is analogous to integration
* so we can conclude the sharpening can be accomplished by spatial differentiation $-\frac{d}{dx}$

* the strength of the response of a derivative operator is proportional to the degree of intensity discontinuity of the image at the point at which the operator is applied

* Thus image differentiation enhances edges and other discontinuities (such as noises) & deemphasizes areas with slowly varying intensities.

Foundation

- * sharpening filters are based on first & second-order derivatives respectively.
- * To simplify the explanation let us initially focus on one-dimensional derivatives
- * we are interested in the behavior of these derivatives in the areas of
 - (i) constant intensity
 - (ii) at the onset & end of discontinuities (step & ramp discontinuities)
 - & (iii) along intensity ramps
- * These types of discontinuities can be used to model noise points, lines & edges in an image.
- * The behavior of derivatives during transitions into & out of these image features also is of interest
- * The derivatives of a digital functions are defined in terms of differences
- * There are various ways to define these differences.

(1) First derivatives

- 1 must be zero in areas of constant intensity
- 2 must be non-zero at the onset of an intensity step or ramp
- 3 must be non-zero along ramps

(2) Second-derivatives

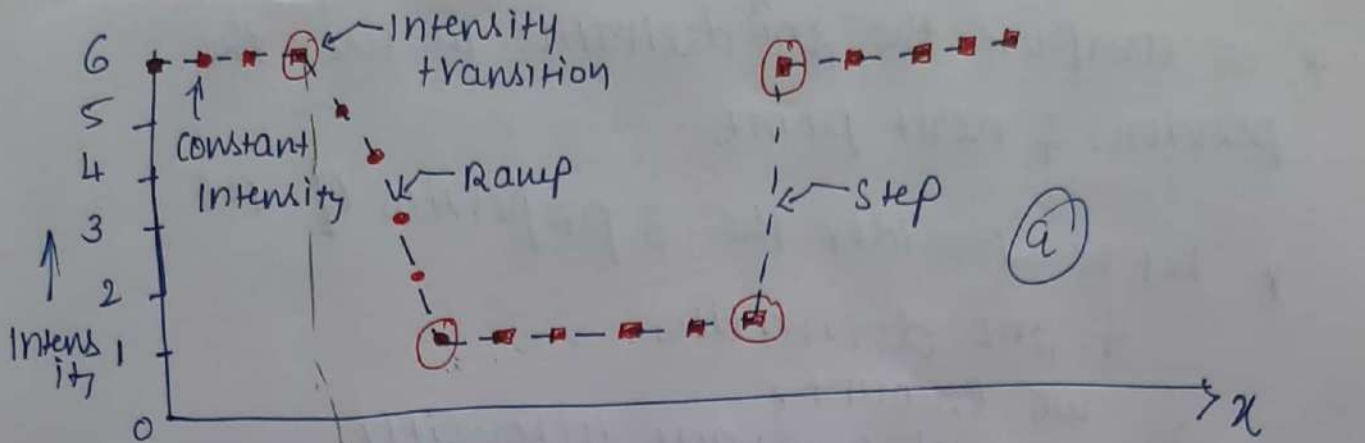
- 1 must be zero in constant areas
- 2 must be non-zero at the onset & end of an intensity step or ramp
- 3 must be zero along ramps of constant slope

* basic defn of 1st-order derivative of one-dimensional fun $f(x)$ is

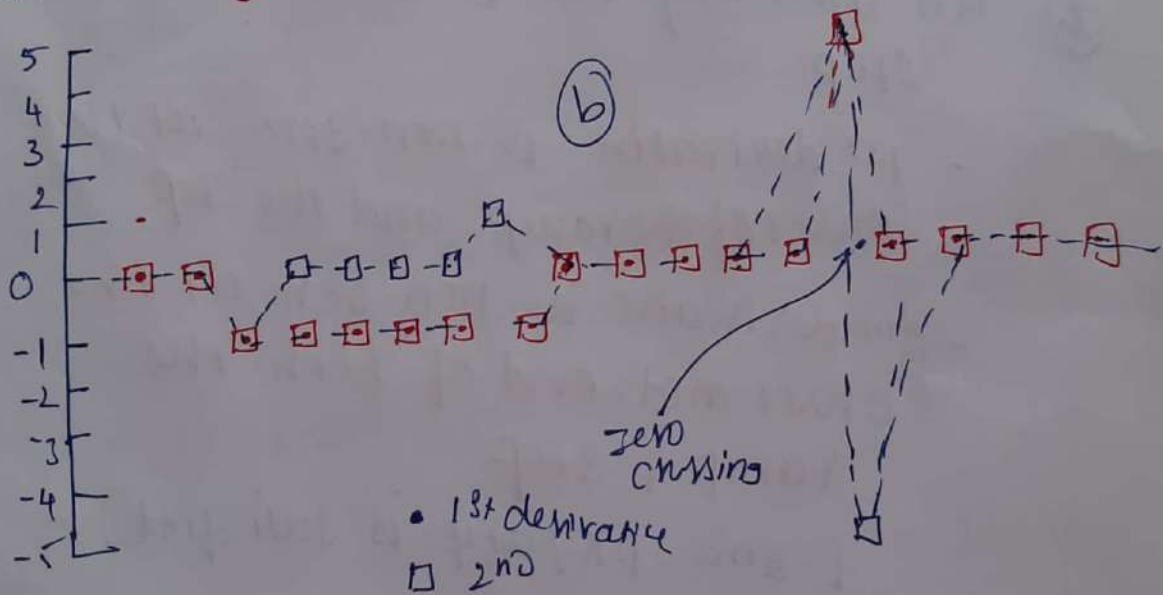
$$\frac{\partial f}{\partial x} = f(x+1) - f(x) \longrightarrow \textcircled{1}$$

* second-order derivative of $f(x)$

$$\frac{\partial^2 f}{\partial x^2} = f(x+1) + f(x-1) - 2f(x) \longrightarrow \textcircled{2}$$



Scan line	6	6	6	6	5	4	3	2	1	1	1	1	1	1	6	6	6	6	6
1st derivative	0	0	0	-1	-1	-1	-1	-1	0	0	0	0	0	5	0	0	0	0	0
2nd derivative	0	0	-1	0	0	0	0	1	0	0	0	0	5	-5	0	0	0	0	0



* Scan line \Rightarrow values are intensity values which are plotted as dot in fig (a)

* fig (a) Intensity ramp, 3 sections of constant, intensity step

* $\odot \Rightarrow$ onset or end of intensity transition

* when computing 1st derivative at loc x , we subtract the value of fun at the location from next point. Look-ahead operation

* to compute the 2nd derivative we use the previous & next points.

* Let us consider the 3 properties of 1st & 2nd derivatives we encounter

☐ area of constant intensity

- both derivatives are zero

[so condn 1 is satisfied for bot]

② an intensity ramp followed by a step

- 1st derivative is non-zero at the onset of the ramp and the step

- 2nd derivative is non-zero at the onset and end of both the ramp & step

[2nd property is satisfied]

③ 1st derivative is non zero & 2nd is zero along the ramp

Note that the sign of the second derivative changes at the onset and end of a step or a ramp

* big (b) in a step transition a line joining these 2 values crosses the horizontal axis midway bet' 2 extremes. This zero crossing property

* This zero crossing property is quite useful for locating edges.

* edges in digital images often are ramp like transitions in intensity in which case

* 1st derivative of the image would result in thick edges ∵ the derivative is non-zero along the ramp

* 2nd derivative would produce a double edge one pixel thick, separated by zero

∵ 2nd derivative enhances fine detail much better than the 1st derivative & is ideally suited for sharpening images

using the second derivative for image sharpening - The Laplacian

* Implementation of 2-D 2nd order derivatives & their uses for image sharpening.

* The approach consists of defining a discrete formulation of the second-order derivative & then constructing a filter mask based on that formulation

* isotropic filters, whose response is independent of the direction of the discontinuities in the image to which the filter is applied

* isotropic filters are rotation invariant [rotating the image & then applying the filter give same result as applying the filter to the image first & then rotating the result].

* simplest isotropic derivative operator is Laplacian which for a function (image) $f(x, y)$ of 2 variables is defined as [Rosenfeld & Kak 1982]

$$\nabla^2 f = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2} \longrightarrow (3)$$

* ∇^2 derivatives of any order are linear operator, the Laplacian is a linear operator using eq (2) [2nd order]

$$\frac{\partial^2 f}{\partial x^2} = f(x+1, y) + f(x-1, y) - 2f(x, y) \rightarrow (4)$$

↘ (x-direction)

∂ in y-direction

$$\frac{\partial^2 f}{\partial y^2} = f(x, y+1) + f(x, y-1) - 2f(x, y) \rightarrow (5)$$

∴ the discrete Laplacian of 2 variables is

$$\nabla^2 f(x, y) = f(x+1, y) + f(x-1, y) + f(x, y+1) + f(x, y-1) - 4f(x, y) \rightarrow (6)$$

∴ this eqⁿ can be implemented using the filter mask shown in fig 3.37 (a) which gives an isotropic result for rotations in increments of 90°.

∴ The diagonal directions can be incorporated in the defⁿ of the digital laplacian by adding 2 more terms in eq 4 + (5)

	y+1	y	y-1
x+1	f(x+1, y+1)	f(x+1, y)	f(x+1, y-1)
x	f(x, y+1)	f(x, y)	f(x, y-1)
x-1	f(x-1, y+1)	f(x-1, y)	f(x-1, y-1)

∴ each diagonal term also contains $-2f(x, y)$

∴ total subtracted from the difference term now would be $-8f(x, y)$.

* This can be used for filter mask implementation of fig 3.37 (b).

* This mask yields isotropic results in increments of 45° .

90°

0	1	0
1	-4	1
0	1	0

3.37 (a)

45°

1	1	1
1	-8	1
1	1	1

3.37 (b)

0	-1	0
-1	4	-1
0	-1	0

-1	-1	-1
-1	8	-1
-1	-1	-1

* because the Laplacian is derivative operator, it uses highlights intensity discontinuities in an image & deemphasizes regions with slowly varying intensity levels

* produce images that have grayish edge lines & other discontinuities in an image & deemphasizes regions w/ all superimposed on a dark featureless background

* Laplacian for image sharpening

$$g(x, y) = f(x, y) + c [\nabla^2 f(x, y)]$$

$f(x, y) \rightarrow$ i/p image

$g(x, y) \rightarrow$ sharpened image

$c = -1 =$ constant (sub)

1 if other filter are used (add)

unsharp masking & Highboost filtering

* A process that has been used by the printing & publishing industry for many years is to sharpen images consists of subtracting an unsharp (smoothed) version of an image from the original image

* This process is called unsharp masking

* & consists of 3 steps

1. Blur the original image
2. Subtract the blurred image from the original (the resulting difference is called the mask).
3. Add the mask to the original

* $\bar{f}(x, y) \Rightarrow$ denote blurred image

* unsharp masking is expressed in eqⁿ form as follows

$$g_{\text{mask}}(x, y) = f(x, y) - \bar{f}(x, y) \quad \text{--- (8)}$$

* Then we add a weighted portion of the mask back to the original image

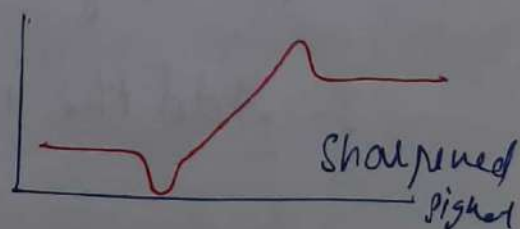
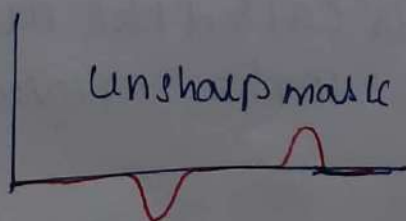
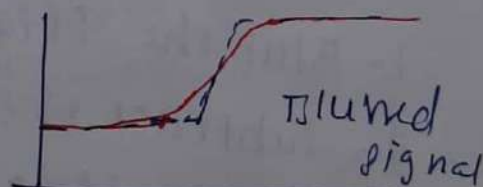
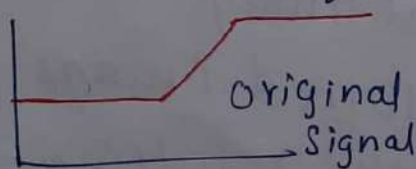
$$g(x, y) = f(x, y) + K * g_{\text{mask}}(x, y) \quad \text{--- (9)}$$

$K \geq 0$ for generality

$K = 1$ we have unsharp masking

$K > 1$, process is referred as high boost filtering

$K < 1$, de-emphasizes the contribution of the unsharp mask



using first-order derivatives for (Non-linear)

Image sharpening - The Gradient

- * 1st derivatives in image processing are implemented using the magnitude of the gradient
- * For a function $f(x,y)$, the gradient of "f" at co-ordinates (x,y) is defined as the 2-dimensional column vector

$$\nabla f \equiv \text{grad}(f) \equiv \begin{bmatrix} g_x \\ g_y \end{bmatrix} = \begin{bmatrix} \frac{\partial f}{\partial x} \\ \frac{\partial f}{\partial y} \end{bmatrix} \rightarrow (10)$$

* The vector has important geometrical property. ^{that} It points in the direction of the greatest rate of change of "f" at location (x,y)

* magnitude (length) of vector ∇f , denoted as $M(x,y)$, where

$$M(x,y) = \text{mag}(\nabla f) = \sqrt{g_x^2 + g_y^2} \rightarrow (11)$$

is the value at (x,y) of the rate of change in the direction of the gradient vector.

$$m(x,y) \approx |g_x| + |g_y| \rightarrow (12)$$

partial derivatives of eq (6) are not rotation invariant (isotropic) but the magnitude of the gradient vector is

* we define discrete approximation to the preceding eqn & from there formulate the appropriate filter mask

* 3×3 region of image (Zs are intensity values)

f19(a)

Z_1	Z_2	Z_3
Z_4	Z_5	Z_6
Z_7	Z_8	Z_9

	$y-1$	y	$y+1$
$x-1$	$f(x-1, y-1)$	$f(x-1, y)$	$f(x-1, y+1)$
x	$f(x, y-1)$	$f(x, y)$	$f(x, y+1)$
$x+1$	$f(x+1, y-1)$	$f(x+1, y)$	$f(x+1, y+1)$

(1) Robert's cross-gradient operators

-1	0
0	1

0	-1
1	0

f19(b)

$$g_x = (Z_8 - Z_5) \quad \& \quad g_y = (Z_6 - Z_5)$$

* 2 other defns proposed by Roberts in the early development of digital image processing use cross differences

$$g_x = (z_9 - z_5) \quad \& \quad g_y = (z_8 - z_6) \rightarrow (13)$$

use eq 11 & 13 we can compute gradient image as

$$M(x, y) = \sqrt{g_x^2 + g_y^2}$$

$$M(x, y) = \left[(z_9 - z_5)^2 + (z_8 - z_6)^2 \right]^{1/2} \rightarrow (14)$$

if we use eq (12) & (13)

$$M(x, y) \approx |g_x| + |g_y|$$

$$M(x, y) \approx |z_9 - z_5| + |z_8 - z_6| \rightarrow (15)$$

* The partial derivatives terms in eq (15) can be implemented using 2 linear filter as shown in fig (6)

* These masks are referred as Roberts-Cross-Gradient operators

(ii) Sobel operators

-1	-2	-1
0	0	0
1	2	1

-1	0	1
-2	0	2
-1	0	1

fig (6)

(9)

* masks of even sizes don't have a center of symmetry

* The smallest filter mask is 3×3

$$g_x = \frac{\partial f}{\partial x} = (z_7 + 2z_8 + z_9) - (z_1 + 2z_2 + z_3) \rightarrow (16)$$

$$g_y = \frac{\partial f}{\partial y} = (z_3 + 2z_6 + z_9) - (z_1 + 2z_4 + z_7) \rightarrow (17)$$

* These eqns can be implemented using masks of fig (c).

* Substituting g_x & g_y in (12)

$$M(x, y) \approx | (z_7 + 2z_8 + z_9) - (z_1 + 2z_2 + z_3) | + | (z_3 + 2z_6 + z_9) - (z_1 + 2z_4 + z_7) |$$

* The masks are called Sobel operator $\rightarrow (18)$



a
b
c
d
e

FIGURE 3.40
(a) Original image.
(b) Result of blurring with a Gaussian filter.
(c) Unsharp mask.
(d) Result of using unsharp masking.
(e) Result of using highboost filtering.

[module-3]

Filtering, Image Restoration

Preliminary concepts, The DFT of one variable, Extension to functions of 2 variables, some properties of the 2-D DFT, freq domain filtering, A model of the image degradation / Restoration process. Noise models, Restoration in the presence of noise, only-spatial filtering, homomorphic filtering
Ch 4 : 4.2+04.7, 4.9.6, Ch 5 : 5.2, 5.3

[4.5] Extension to function of 2 variables

4.5.1 The 2-D impulse and its shifting property

* The impulse, $\delta(x, z)$ of 2 continuous variables x & z is defined as in

$$\delta(x, z) = \begin{cases} \infty & ; \text{ if } x=z=0 \\ 0 & ; \text{ otherwise} \end{cases} \quad \text{--- (1)}$$

$$\delta(x) = \begin{cases} 0 & \text{if } x \neq 0 \\ \infty & \text{if } x = 0 \end{cases}$$

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \delta(x, z) dx dz = 1 \quad \text{--- (2)}$$

* 2-D impulse exhibits shifting property as in 1-D

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(x, z) \delta(x, z) dx dz = f(0, 0) \quad \text{--- (3)}$$

(1)

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(x, z) \delta(x-x_0, z-z_0) dx dz = f(x_0, z_0) \quad \rightarrow (3)$$

[Shifting Property yields the value of the fun $f(x, z)$ at the location of the impulse.

* for discrete variables x & y , the 2-D discrete impulse is defined as

$$\delta(x, y) = \begin{cases} 1 & ; \text{ if } x=y=0 \\ 0 & ; \text{ otherwise} \end{cases} \quad \rightarrow (4)$$

& its shifting property is

$$\sum_{x=-\infty}^{\infty} \sum_{y=-\infty}^{\infty} f(x, y) \cdot \delta(x, y) = f(0, 0) \rightarrow (5)$$

$$\sum_{x=-\infty}^{\infty} \sum_{y=-\infty}^{\infty} f(x, y) \cdot \delta(x-x_0, y-y_0) = f(x_0, y_0) \rightarrow (6)$$

H.5.2 The 2-D continuous Fourier Transform pair

Let $f(x, z) \rightarrow$ continuous function of 2 continuous variables x & z .

* 2-dimensional, continuous FT pair (CFT)

is given by

$$F(\mu, \nu) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(x, z) e^{-j2\pi(\mu x + \nu z)} dx dz$$

$$f(x, z) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} F(\mu, \nu) e^{j2\pi(\mu x + \nu z)} d\mu d\nu \quad \rightarrow \textcircled{7}$$

μ & $\nu \rightarrow$ freq variables

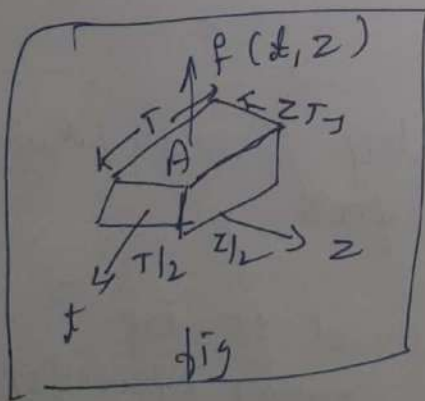
x & $z \rightarrow$ are interpreted to be continuous spatial variables

① Fig shows a 2-D funⁿ analogous to 4-D

$$F(x, z) = A e^{-j2\pi(\mu x + \nu z)}$$

$$F(\mu, \nu) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(x, z) e^{-j2\pi(\mu x + \nu z)} dx dz$$

$$= \int_{-T/2}^{T/2} \int_{-Z/2}^{Z/2} A e^{-j2\pi(\mu x + \nu z)} dx dz$$



$$= ATZ \left[\frac{\sin(\pi \mu T)}{\pi \mu T} \right] \left[\frac{\sin(\pi \nu Z)}{\pi \nu Z} \right]$$

$$|F(\mu, \nu)| = ATZ \left| \frac{\sin(\pi \mu T)}{\pi \mu T} \right| \left| \frac{\sin(\pi \nu Z)}{\pi \nu Z} \right|$$

4.5.3

TWO-DIMENSIONAL SAMPLING & 2-D SAMPLING

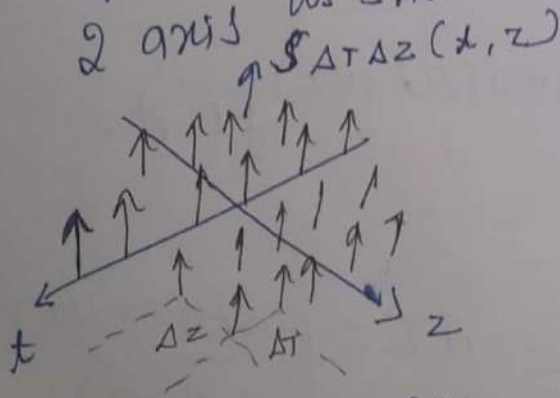
Theorem

* sampling in 2-dimensions can be modeled using the sampling function (2-D impulse train)

$$S_{\Delta T \Delta z}(t, z) = \sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} \delta(t - m\Delta T, z - n\Delta z) \quad \rightarrow \textcircled{9}$$

where ΔT & Δz are the separations bet' samples along t -axis & z -axis of the continuous fun $f(t, z)$.

* eq ⑨ represents a set of periodic impulses extending infinitely along the 2 axis as shown in fig below.



* multiplying $f(t, z)$ by $S_{\Delta T \Delta z}(t, z)$ yields the sampled fun

* function $f(t, z)$ is said to be band-limited, if its Fourier transform is 0 outside a rectangle established by the intervals $[-M_{max}, M_{max}]$ & $[-V_{max}, V_{max}]$.

$F(u, v) = 0$ for $|u| \geq u_{max}$ &

$|v| \geq v_{max}$

→ (10)

* The 2-dimensional sampling theorem states that a continuous, band-limited function $f(x, z)$ can be recovered with no error from a set of its samples if the sampling intervals are

$$\Delta T < \frac{1}{2u_{max}} \quad \text{--- (11)}$$

$$\Delta z < \frac{1}{2v_{max}} \quad \text{--- (12)}$$

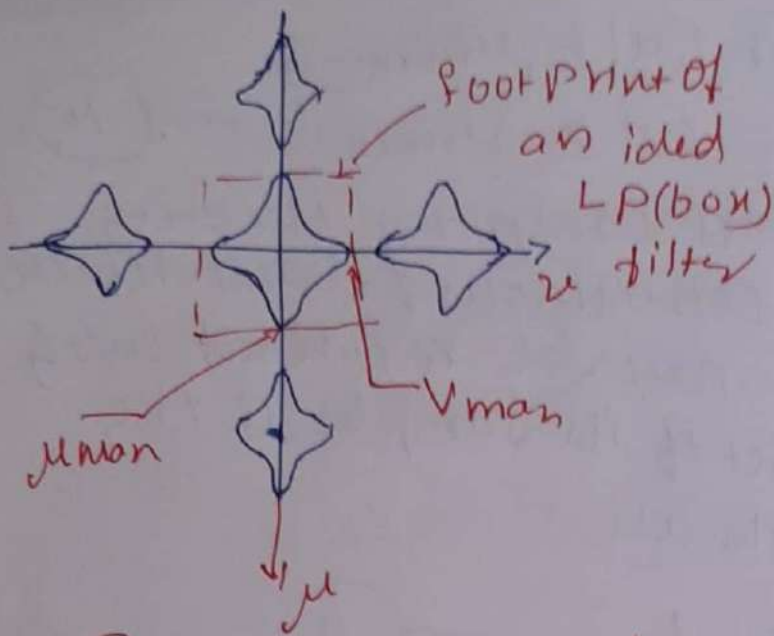
or expressed in terms of the sampling rate if

$$\frac{1}{\Delta T} > 2u_{max} \quad \text{--- (13)}$$

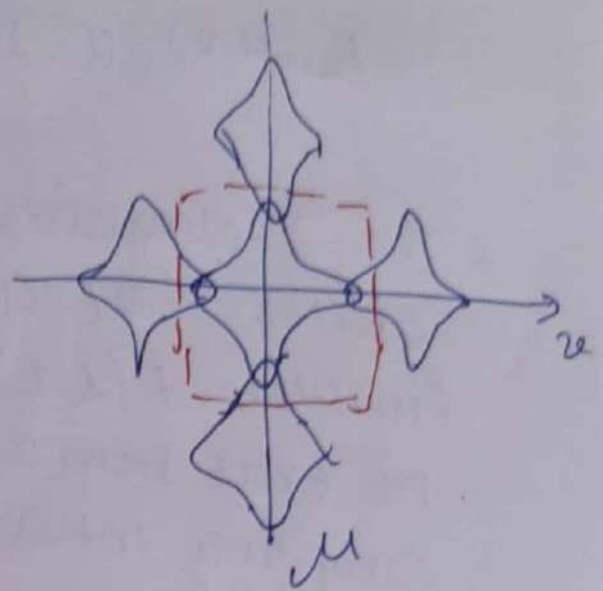
$$\frac{1}{\Delta z} > 2v_{max} \quad \text{--- (14)}$$

* no information is lost if a 2-D, band-limited continuous fun is represented by samples acquired at rates greater than twice the highest freq contents of the function in both u & v -directions

(3)



(a) an oversampled fun



(b) under-sampled fun

4.5.4 Aliasing in Images

* concept of aliasing to images & several aspects related to image sampling & resampling is discussed.

* $f(x, z)$ of 2 continuous variables x & z can be band-limited in general only if it extends infinitely in both coordinate directions.

* ~~The~~ By limiting the duration of the function, introduces corrupting the freq components extending to infinity in the freq-domain

* \therefore we cannot sample a fun infinitely, aliasing is always present in digital images

* There are 2 principal manifestations of aliasing in images

- (i) spatial aliasing
- & (ii) temporal aliasing

* Spatial aliasing ∴ is due to undersampling

* Temporal aliasing ∴ is ~~due~~ ^{related} to related to time intervals bet' images in a sequence of images.

eg. "wagon wheel" effect in which wheels with ~~spokes~~ spokes in a sequence of images (for eg in a movie) appear to be rotating backward. This is caused by the frame rate being too low w.r.t the speed of wheel rotation in the sequence.

* Spatial aliasing ∴

- The key concerns with spatial aliasing in images are ^{the} introduction of artifacts such as jaggedness in line features, spurious highlights & the appearance of freq patterns not present in the original image.

* The effects of aliasing can be reduced by slightly defocusing the scene to the digitized so that high frequencies are attenuated

* anti-aliasing filtering has to be done at the "front-end" before the image is sampled.

* blurring a digital image can reduce additional aliasing artifacts caused by resampling

Image Interpolation & Resampling

* Perfect reconstruction of a bandlimited image function from a set of its samples requires 2-D convolution in spatial domain with a sinc function

* Wkt a perfect reconstruction requires interpolation using infinite summation

* one of the most common applns of 2-D interpolation in image processing is in image resizing (zooming & shrinking).

* Zooming : ^{may} be viewed as over-sampling
while shrinking may be viewed as
under-sampling

* They are applied to digital images

* A special case of nearest neighbor interpolation that ties in nicely with oversampling is zooming by pixel replication [which is applicable when we want to \times the size of an image an integer no of times]

* If we need to double the size of the image, we duplicate each column which doubles image size in horizontal direction.

* Then we duplicate each row of the enlarged image to double the size in vertical direction

* The same procedure is used to enlarge the image any integer no of times

* The intensity level assignment of each pixel is predetermined by the fact that new locations are exact duplicates of old location

* Image shrinking is done in a manner similar to zooming.

* under sampling is achieved by row-column deletion. (eg.)

* example: to shrink an image by $1/2$, we delete every other row & column.

* To reduce aliasing, it is good idea to blur an image slightly before shrinking it.

* An alternate technique is to supersample the original scene & then reduce (resample) its size by row & column deletion.

* This yield sharper results than with smoothing. (clear access to original image is needed)

* If no access to original scene, supersampling is not an option.

* For image which have strong edge content, the effects of aliasing are seen as block-like image components called Jaggies

Moiré patterns: another type of artifact which result from sampling scenes with periodic or nearly periodic components
eg in digital image, the problem arises when scanning media print such as newspapers, magazines

* superimposing one pattern on the other creates a beat pattern that has frequencies not present in either of the original patterns.

* the moiré effect produced by 2 patterns of dots is discussed further

* newspapers & other printed materials make use of so called halftone dots which are black dots or ellipses whose sizes & various joining schemes are used to stimulate gray tones.

H.5.5. The 2-D discrete Fourier Transform & its inverse

* 2-D discrete Fourier Transform DFT

$$F(u, v) = \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x, y) e^{-j2\pi \left(\frac{ux}{M} + \frac{vy}{N} \right)}$$

↳ (15)

$f(x, y) \rightarrow$ digital image of size $M \times N$

$u, v \rightarrow$ discrete values ranging from 0 to $M-1$ & 0 to $N-1$ resp

* inverse DFT

$$f(x, y) = \frac{1}{MN} \sum_{u=0}^{M-1} \sum_{v=0}^{N-1} F(u, v) e^{j2\pi \left(\frac{ux}{M} + \frac{vy}{N} \right)}$$

↳ (16)

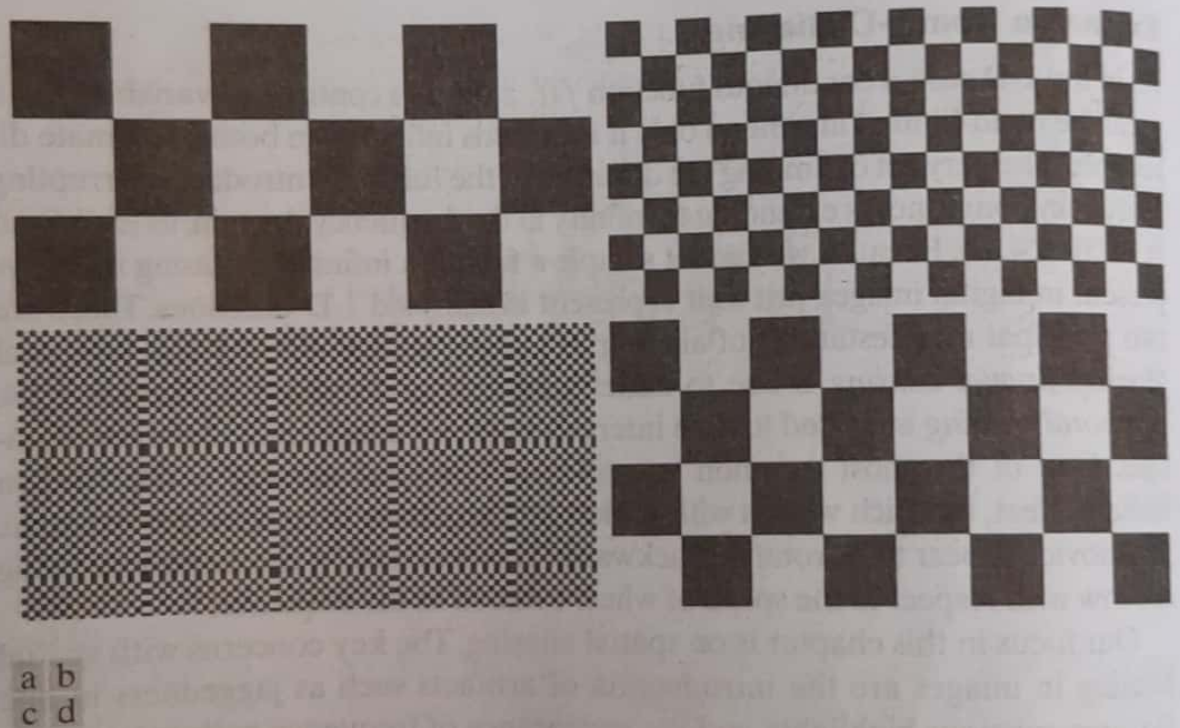
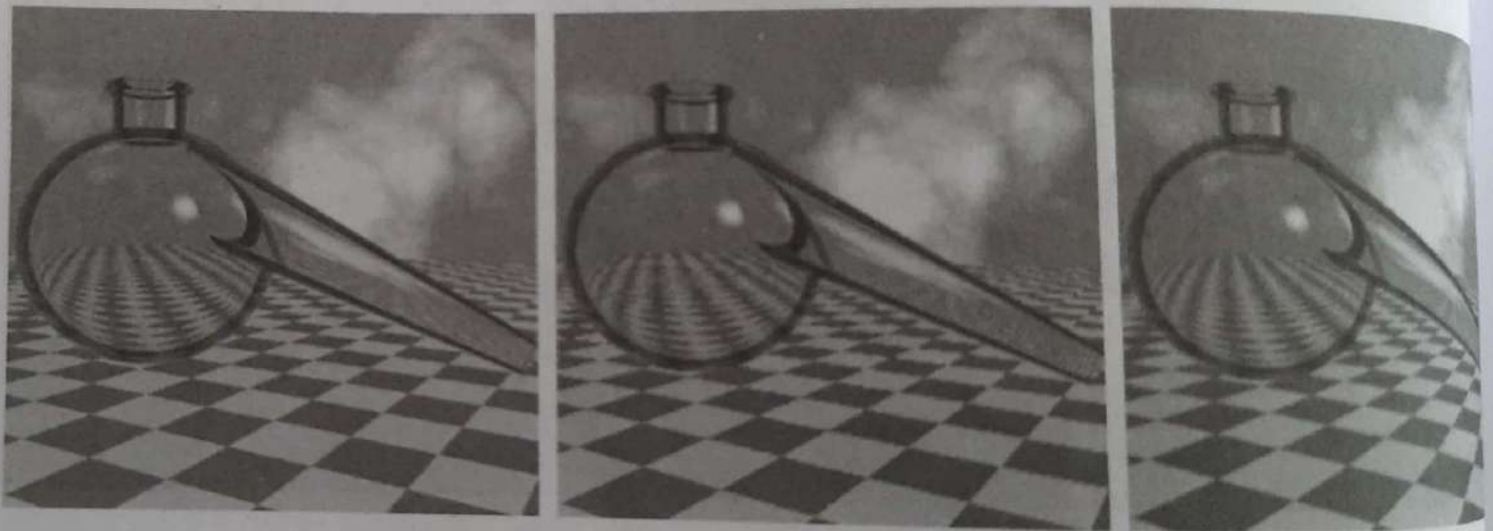


FIGURE 4.16 Aliasing in images. In (a) and (b), the lengths of the sides of the squares are 16 and 6 pixels, respectively, and aliasing is visually negligible. In (c) and (d), the sides of the squares are 0.9174 and 0.4798 pixels, respectively, and the results show significant aliasing. Note that (d) masquerades as a “normal” image.



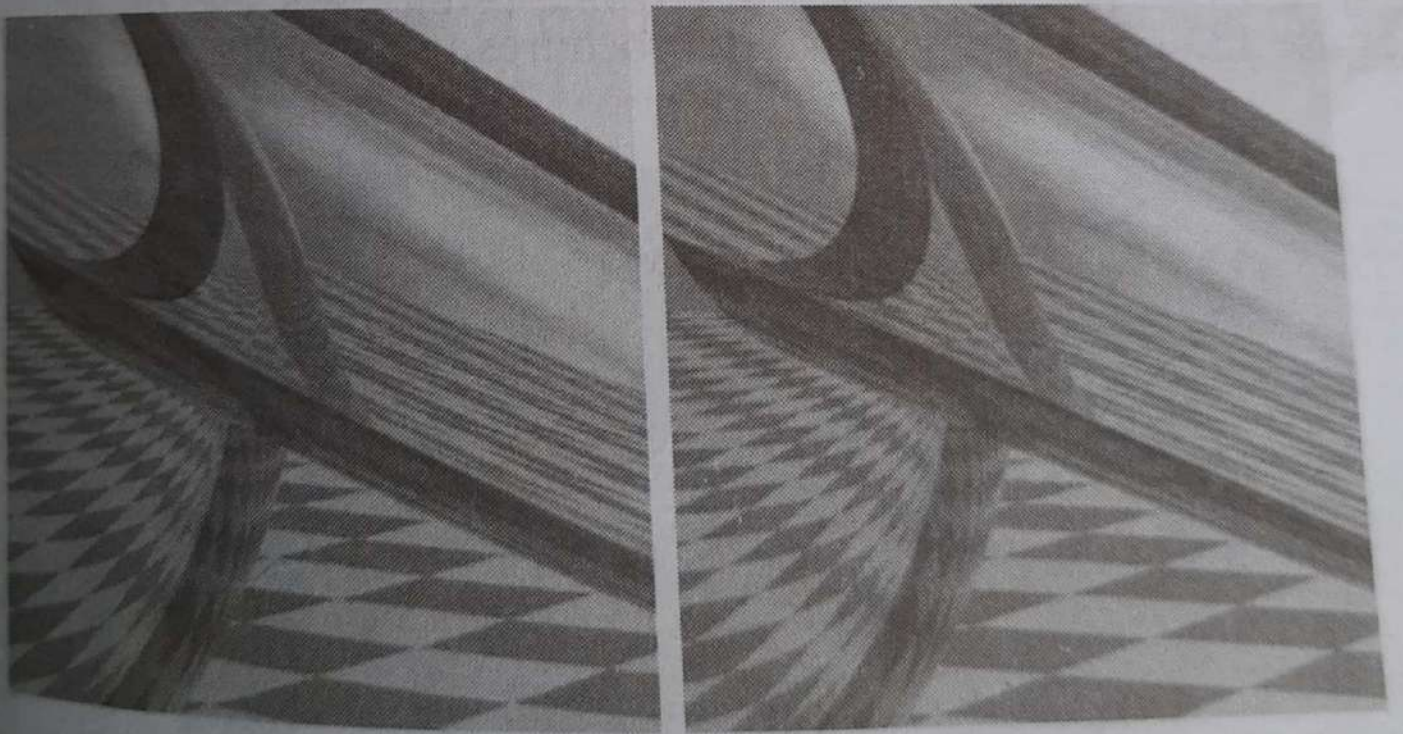
a b c
FIGURE 4.17 Illustration of aliasing on resampled images. (a) A digital image with negligible visual aliasing. (b) Result of resizing the image to 50% of its original size by pixel deletion. Aliasing is clearly visible. (c) Result of blurring the image in (a) with a 3×3 averaging filter prior to resizing. The image is slightly more blurred than (b), but aliasing is no longer objectionable. (Original image courtesy of the Signal Compression Laboratory, University of California, Santa Barbara.)

generate Fig. 4.18(b).



a b c

FIGURE 4.18 Illustration of jaggies. (a) A 1024×1024 digital image of a computer-generated scene with negligible visible aliasing. (b) Result of reducing (a) to 25% of its original size using bilinear interpolation. (c) Result of blurring the image in (a) with a 5×5 averaging filter prior to resizing it to 25% using bilinear interpolation. (Original image courtesy of D. P. Mitchell, Mental Landscape, LLC.)

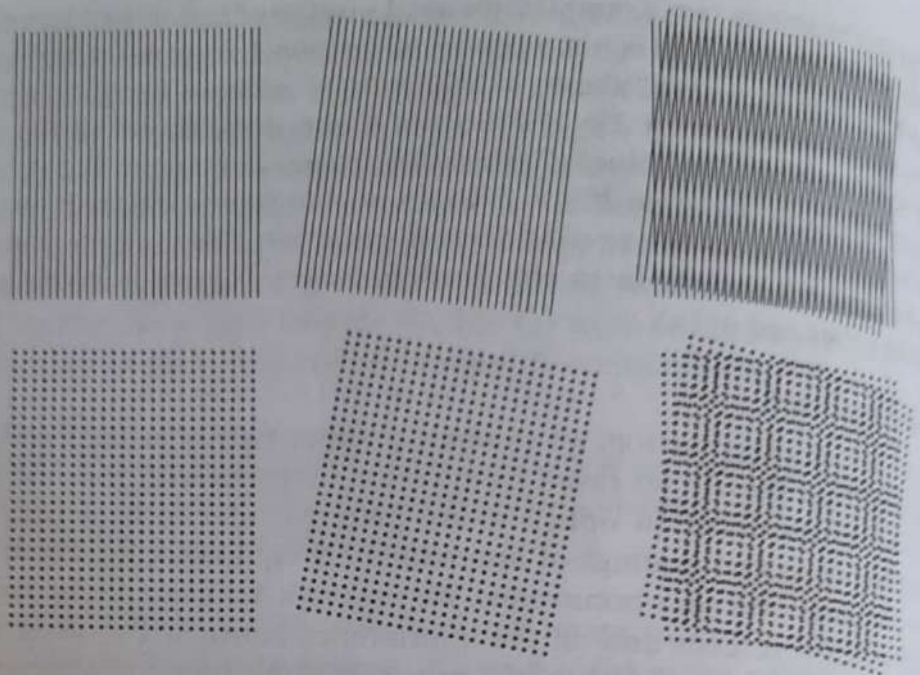


a b

FIGURE 4.19 Image registration. The two images were generated by p...

a b c
d e f

FIGURE 4.20
Examples of the moiré effect. These are ink drawings, not digitized patterns. Superimposing one pattern on the other is equivalent mathematically to multiplying the patterns.



Color printing uses red, green, and blue dots to produce the sensation in the eye of continuous color.

a beat pattern that has frequencies not present in either of the original patterns. Note in particular the moiré effect produced by two patterns of dots, as this is the effect of interest in the following discussion.

Newspapers and other printed materials make use of so called *halftone dots*, which are black dots or ellipses whose sizes and various joining schemes are used to simulate gray tones. As a rule, the following numbers are typical: newspapers are printed using 75 halftone dots per inch (*dpi* for short), magazines use 133 dpi, and high-quality brochures use 175 dpi. Figure 4.21 shows

FIGURE 4.21
A newspaper image of size 246×168 pixels sampled at 75 dpi showing a moiré pattern. The moiré pattern in this image is the interference pattern created between the $\pm 45^\circ$ orientation of the halftone dots and the north-south orientation of the sampling grid used to digitize the image.



* H.6 Some properties of the 2-D Discrete Fourier Transform [DFT]

* H.6.1 Relationship between spatial & frequency intervals

* $f(t, z) \Rightarrow$ continuous fun
* $f(x, y) \Rightarrow$ sampled form of $f(t, z)$
digital image which consists
of $M \times N$ samples taken 't'
& 'z' directions resp.

* let ΔT & $\Delta z \rightarrow$ denote the separ'n
bet' samples.

* separations bet' the corresponding
discrete, frequency domain variables
are given by

$$\Delta u = \frac{1}{M\Delta T} \rightarrow \textcircled{1}$$

$$\& \Delta v = \frac{1}{N\Delta T} \rightarrow \textcircled{2}$$

separation bet' samples in freq domain
are inversely proportional both to the
spacing bet' spatial samples &
no of samples

4.6.2 Translation & Rotation

FT pairs ~~static~~ satisfies the following translation properties

$$f(x, y) e^{j2\pi (u_0 x/M + v_0 y/N)} \iff F(u - u_0, v - v_0) \quad (3)$$

$$f(x - x_0, y - y_0) \iff F(u, v) e^{-j2\pi (x_0 u/M + y_0 v/N)} \quad (4)$$

* multiplying $f(x, y)$ by exponential shows shifts the origin of DFT to (u_0, v_0)

* conversely multiplying $F(u, v)$ by negative exponential shifts the origin of $f(x, y)$ to (x_0, y_0)

* using the polar co-ordinates

$$x = r \cos \theta, \quad y = r \sin \theta, \quad u = w \cos \psi$$

$$v = w \sin \psi$$

results in

$$f(r, \theta + \theta_0) \iff F(w, \psi + \psi_0)$$

\Rightarrow rotating $f(x, y)$ by an angle θ_0 rotates $F(u, v)$ by the same angle. Conversely, rotating $F(u, v)$ rotates $f(x, y)$ by the same angle.

* 4.6.3 periodicity

2-D FT & its inverse are ~~infinite~~ infinitely periodic in the u & v directions i.e.

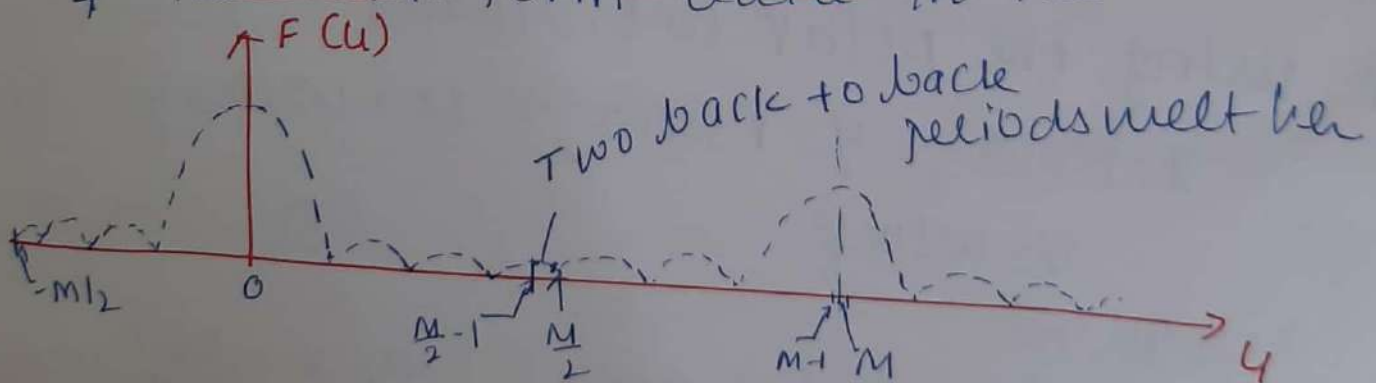
$$F(u, v) = F(u + k_1 M, v) \\ = F(u, v + k_2 N) = F(u + k_1 M, v + k_2 N) \rightarrow \textcircled{6}$$

$$f(x, y) = f(x + k_1 M, y) = f(x, y + k_2 N) \\ = f(x + k_1 M, y + k_2 N) \rightarrow \textcircled{7}$$

where k_1 & k_2 are integers

* The periodicities of the transforms & its inverse are important issues in the implementation of DFT-based algorithms

* ~~The transform data in the~~



a
b
c d

FIGURE 4.23

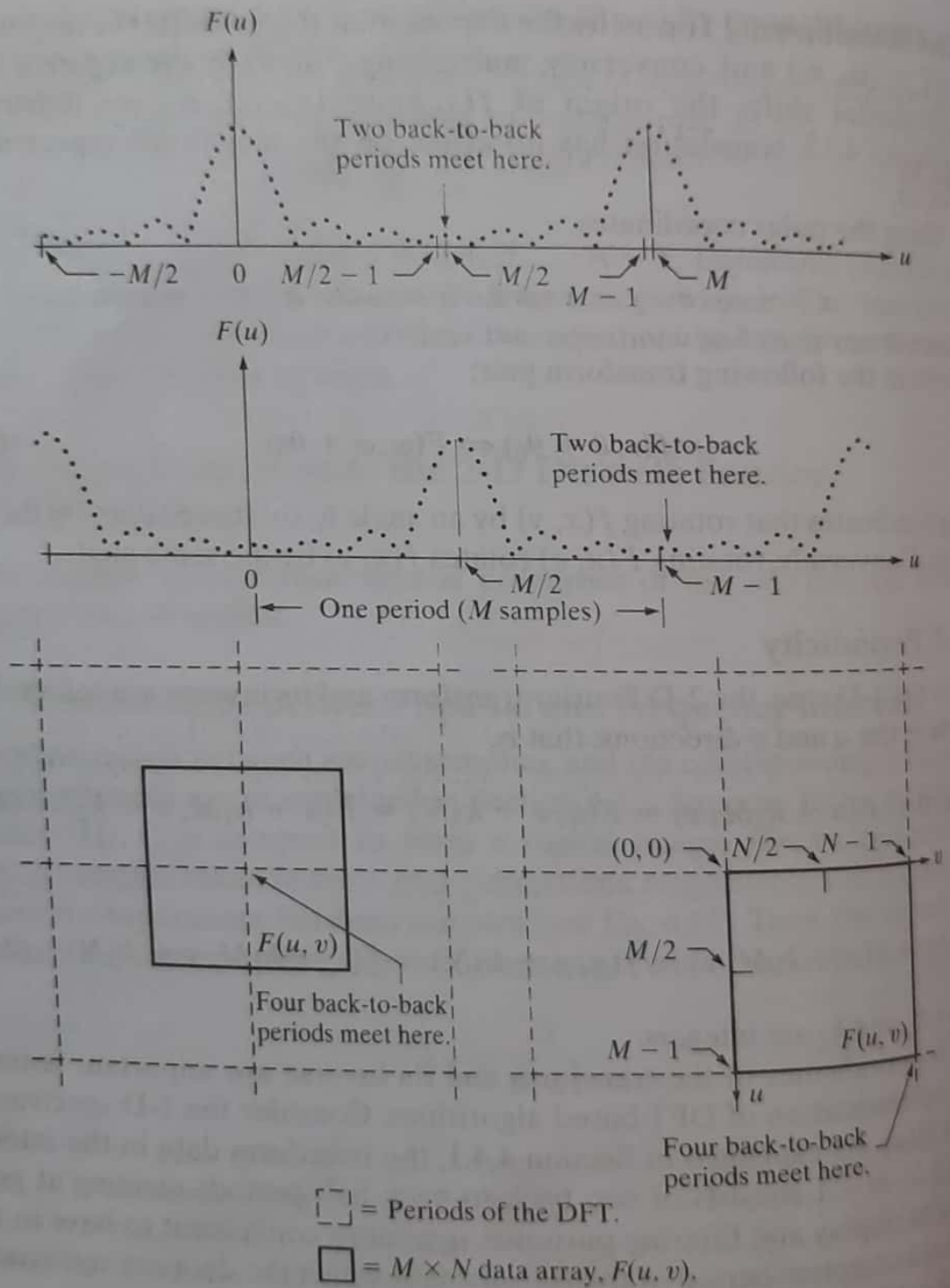
Centering the Fourier transform.

(a) A 1-D DFT showing an infinite number of periods.

(b) Shifted DFT obtained by multiplying $f(x)$ by $(-1)^x$ before computing $F(u)$.

(c) A 2-D DFT showing an infinite number of periods. The solid area is the $M \times N$ data array, $F(u, v)$, obtained with Eq. (4.5-15). This array consists of four quarter periods.

(d) A Shifted DFT obtained by multiplying $f(x, y)$ by $(-1)^{x+y}$ before computing $F(u, v)$. The data now contains one complete, centered period, as in (b).



the infinite...

visualization

- * Consider the 1-D spectrum in above fig
- * The transform data in the interval from 0 to $m-1$ consists of 2-back to back half periods meeting at a point $\frac{M}{2}$.
- * For displaying & filtering purposes, it is more convenient to have in this interval a complete period of transform in which data are contiguous as shown in fig (b)

$$f(x) e^{j2\pi(u_0 x/M)} \iff F(u-u_0)$$

- * multiplying $f(x)$ by exponential term shown shifts the data so that the origin $F(0)$ is located to u_0
- * Let $u_0 = M/2$, the exponential term becomes $e^{j\pi x}$ which is equal to $(-1)^x$ $\because x = \text{integer}$

- * In this case $f(x) (-1)^x \iff F(u-M/2)$
- * multiplying $f(x)$ by $(-1)^x$ shifts the data so that $F(0)$ is at the center of the interval $[0, M-1]$
- * The principal is same for 2-D

* instead of 2 half periods, there are now 4 quarter periods meeting at the pt $(M/2, N/2)$

* The dashed line corresponds to the infinite no of periods of 2-D DFT

* if we shift data so that $F(0,0)$ is at $(M/2, N/2)$

$$(u_0, v_0) = (M/2, N/2)$$

eq (7) becomes

$$f(x, y) (-1)^{x+y} \iff F(u - \frac{M}{2}, v - \frac{N}{2}) \quad \text{--- (8)}$$

*

4.6.4 Symmetry Properties

Any real or complex fun $w(x, y)$ can be expressed as the sum of an even & odd part (each of which can be real or complex)

$$w(x, y) = w_e(x, y) + w_o(x, y) \rightarrow \text{(9)}$$

where even & odd parts are defined as

$$w_e(x, y) \triangleq \frac{w(x, y) + w(-x, -y)}{2} \quad \text{--- (10a)}$$

$$* \quad w_o(x, y) \triangleq \frac{w(x, y) - w(-x, -y)}{2} \longrightarrow (10b)$$

using

$$w_e(x, y) = w_e(-x, -y) \longrightarrow (11a)$$

$$* \quad w_o(x, y) = -w_o(-x, -y) \longrightarrow (11b)$$

* even fun's are said to be symmetric
 & odd fun's are antisymmetric

$$w_e(x, y) = w_e(M-x, N-y) \longrightarrow (12a)$$

$$* \quad w_o(x, y) = -w_o(M-x, N-y) \longrightarrow (12b)$$

where $M \neq N \Rightarrow$ no of rows & columns of a 2-D array

* wkt product of 2 even & 2 odd fun's is even & product of an even & an odd fun is odd

* The only way a discrete fun can be odd is if all its samples sum to

Zero.

* These properties lead to

$$\sum_{x=0}^{M-1} \sum_{y=0}^{N-1} w_e(x, y) w_o(x, y) = 0$$

$\longrightarrow (13)$

$w_e = \text{even} \ \& \ w_o = \text{odd}$

* because the arguments of eq (13) is ~~zero~~ odd the result of summation is 0

ⓐ

ex

consider the 1-0 seqⁿ

$$f = \{ f(0), f(1), f(2), f(3) \}$$

$$= \{ 2, 1, 1, 1 \} \quad \boxed{M=4}$$

(*) to test for evenness, the condⁿ

$$f(x) = f(M-x) = f(4-x)$$

$$f(0) = f(4) \quad ; \quad f(1) = f(3)$$

$$f(2) = f(2) \quad ; \quad f(3) = f(1)$$

* any n -point even seqⁿ has to have the form

$$\{ a, b, c, b \}$$

2^{n0} & 2^{n1}

2^{n0} must be equal

(2) AN odd seqⁿ

$$g = \{ g(0), g(1), g(2), g(3) \}$$

$$= \{ 0, -1, 0, 1 \}$$

$$g(x) = -g(4-x)$$

$$g(1) = -g(3)$$

$$= \{ 0, -b, 0, b \}$$

* when m is an even no, a 1-D odd seqn has the property that the points at location $0 \neq m/2$ always are zero

* when m is odd, the 1st term still has to be 0, but the remaining terms form pairs with equal value but opposite sign.

* ~~eg~~ $\{0, -1, 0, 1, 0\}$ is neither odd nor even. even though the basic structure appears to be odd

*
0 0 0 0 0 0
0 0 0 0 0 0
0 0 -1 0 1 0
0 0 -2 0 2 0
0 0 -1 0 1 0
0 0 0 0 0 0

is odd

* adding another row & column of 0's would give a result i.e, neither odd nor even.

*

* A Property used frequently is that
FT of a real funⁿ $f(x,y)$ is conjugate
Symmetric

$$F^*(u,v) = F(-u, -v) \longrightarrow (14)$$

* If $f(x,y)$ is imaginary, its FT is
conjugate antisymmetric

~~$$F^*(u,v) = \left[\sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x,y) e^{-j2\pi \left(\frac{ux}{M} + \frac{vy}{N} \right)} \right]^*$$~~

$$* F^*(u,v) = \left[\sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x,y) e^{-j2\pi \left(\frac{ux}{M} + \frac{vy}{N} \right)} \right]^*$$

$$= \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f^*(x,y) e^{j2\pi \left(\frac{ux}{M} + \frac{vy}{N} \right)}$$

$$= \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x,y) e^{-j2\pi \left[(C-u)\frac{x}{M} + (C-v)\frac{y}{N} \right]}$$

$$= F(-u, -v)$$

TABLE 4.1 Some symmetry properties of the 2D DFT and its inverse. $R(u, v)$ and $I(u, v)$ are the real and imaginary parts of $F(u, v)$, respectively. The term *complex* indicates that a function has nonzero real and imaginary parts.

	Spatial Domain [†]		Frequency Domain [†]
1)	$f(x, y)$ real	\Leftrightarrow	$F^*(u, v) = F(-u, -v)$
2)	$f(x, y)$ imaginary	\Leftrightarrow	$F^*(-u, -v) = -F(u, v)$
3)	$f(x, y)$ real	\Leftrightarrow	$R(u, v)$ even; $I(u, v)$ odd
4)	$f(x, y)$ imaginary	\Leftrightarrow	$R(u, v)$ odd; $I(u, v)$ even
5)	$f(-x, -y)$ real	\Leftrightarrow	$F^*(u, v)$ complex
6)	$f(-x, -y)$ complex	\Leftrightarrow	$F(-u, -v)$ complex
7)	$f^*(x, y)$ complex	\Leftrightarrow	$F^*(-u - v)$ complex
8)	$f(x, y)$ real and even	\Leftrightarrow	$F(u, v)$ real and even
9)	$f(x, y)$ real and odd	\Leftrightarrow	$F(u, v)$ imaginary and odd
10)	$f(x, y)$ imaginary and even	\Leftrightarrow	$F(u, v)$ imaginary and even
11)	$f(x, y)$ imaginary and odd	\Leftrightarrow	$F(u, v)$ real and odd
12)	$f(x, y)$ complex and even	\Leftrightarrow	$F(u, v)$ complex and even
13)	$f(x, y)$ complex and odd	\Leftrightarrow	$F(u, v)$ complex and odd

[†]Recall that $x, y, u,$ and v are *discrete* (integer) variables, with x and u in the range $[0, M - 1]$, and $y,$ and v in the range $[0, N - 1]$. To say that a complex function is *even* means that its real *and* imaginary parts are even, and similarly for an odd complex function.

Property	$f(x)$	$F(u)$
3	$\{1 \ 2 \ 3 \ 4\}$	$\{(10) (-2 + 2j) (-2) (-2 - 2j)\}$
4	$j\{1 \ 2 \ 3 \ 4\}$	$\{(2.5j) (.5 - .5j) (-.5j) (-.5 - .5j)\}$
8	$\{2 \ 1 \ 1 \ 1\}$	$\{(5) (1) (1) (1)\}$
9	$\{0 \ -1 \ 0 \ 1\}$	$\{(0) (2j) (0) (-2j)\}$
10	$j\{2 \ 1 \ 1 \ 1\}$	$\{(5j) (j) (j) (j)\}$
11	$j\{0 \ -1 \ 0 \ 1\}$	$\{(0) (-2) (0) (2)\}$
12	$\{(4 + 4j) (3 + 2j) (0 + 2j) (3 + 2j)\}$	$\{(10 + 10j) (4 + 2j) (-2 + 2j) (4 + 2j)\}$
13	$\{(0 + 0j) (1 + 1j) (0 + 0j) (-1 - j)\}$	$\{(0 + 0j) (2 - 2j) (0 + 0j) (-2 + 2j)\}$

For example, in property 3 we see that a real function with elements

$$\textcircled{1} \quad f(x) = \{1, 2, 3, 4\}$$

$$F(u) = \{10, [-2+2j], -2, [-2-2j]\}$$

if $f(x, y)$ real \Leftrightarrow then $R(u, v)$ even;
 $I(u, v)$ odd

$$R(u, v) = \{10, -2, -2, -2\} \text{ is even}$$

$$I(u, v) = \{0, +2j, 0, -2\} \text{ is odd}$$

$$\textcircled{2} \quad f(x) = j\{1, 2, 3, 4\} \Leftrightarrow F(u) = \{2.5j, 0.5\}$$

$$F(u) = \{2.5j, 0.5-0.5j, -0.5j, -0.5-0.5j\}$$

$$R(u, v) = \{0, 0.5, 0, -0.5\} \text{ is odd}$$

$$I(u, v) = \{0, 0.5, 0, 0.5\}$$

$$I(u, v) = \{2.5, -0.5, -0.5, -0.5\} \text{ is even}$$

Property 3:

if (x, y) is real fun, the real part of its DFT is even & the odd part is odd

Proof: If $F(u, v)$ is complex.

$$F(u, v) = R(u, v) + jI(u, v)$$

$$\text{Then } F^*(u, v) = R(u, v) - jI(u, v)$$

$$F(-u, -v) = R(-u, -v) + jI(-u, -v)$$

Wkt if $f(x, y)$ is real, then

$$F^*(u, v) = F(-u, -v)$$

$$R(u, v) = R(-u, -v) = \text{even}$$

$$\& I(u, v) = -I(-u, -v) = \text{odd}$$

Property 8:

ST if $f(x, y)$ is real & even, then the imaginary part of $F(u, v)$ is 0 real & even

[To prove property 8, we need to show if $f(x, y)$ is real & even imaginary part of $F(u, v)$ is 0]

$$F(u, v) = \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x, y) e^{-j2\pi \left(\frac{ux}{M} + \frac{vy}{N} \right)}$$

$$= \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} [f_r(x, y)] e^{-j2\pi \left(\frac{ux}{M} + \frac{vy}{N} \right)}$$

$$= \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} [f_r(x, y)] e^{-j2\pi \left(\frac{ux}{M} \right)} \cdot e^{-j2\pi \left(\frac{vy}{N} \right)}$$

$$= \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} [\text{even}] [\text{even} - j\text{odd}] [\text{even} - j\text{odd}]$$

$$= \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} [\text{even}] [\text{even} \cdot \text{even} - 2j \text{even} \cdot \text{odd} - \text{odd} \cdot \text{odd}]$$

$$= \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} [\text{even} \cdot \text{even}] - 2j \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} [\text{even} \cdot \text{odd}]$$

$$= \sum_{x=0}^{M-1} \sum_{y=0}^{M-1} [\text{even} \cdot \text{even}]$$

= Real

The second term is imaginary component
 $= 0$ according to $F^*(u, v) = F(-u, -v)$

4.6.5 Fourier spectrum & Phase Angle

* 2-D DFT is complex in general,
 we can express in polar form

$$F(u, v) = |F(u, v)| e^{j\phi(u, v)} \rightarrow (15)$$

where
 the magnitude

$$|F(u, v)| = [R^2(u, v) + I^2(u, v)]^{1/2}$$

is called Fourier spectrum
 [freq spectrum] $\rightarrow (16)$

$$\phi(u, v) = \arctan \left[\frac{I(u, v)}{R(u, v)} \right] \rightarrow (17)$$

is the phase angle

[atan2 (Imag, Real)] \rightarrow MATLAB

* Power spectrum

$$P(u, v) = |F(u, v)|^2$$

$$= R^2(u, v) + I^2(u, v)$$

→ (18)

$R \rightarrow$ Real part of $F(u, v)$

$I \rightarrow$ Imaginary - " -

$$u = 0, 1, 2, \dots, m-1$$

$$v = 0, 1, 2, \dots, n-1$$

$|F(u, v)|$, $\phi(u, v)$ & $P(u, v) \Rightarrow$ arrays of size $m \times n$.

* FT of a real $f(x, y)$ is conjugate

Symmetric $F^*(u, v) = F(-u, -v)$

which implies that the spectrum has even symmetry about the origin

$$|F(u, v)| = |F(-u, -v)| \rightarrow (19)$$

* The phase angle exhibits the ϕ odd symmetry about the origin

$$\phi(u, v) = -\phi(-u, -v) \rightarrow (20)$$

$$* \quad F(0, 0) = \sum_{x=0}^{m-1} \sum_{y=0}^{n-1} f(x, y)$$

* which indicates the zero-freq term is \propto to the average value of $f(x, y)$

$$F(0,0) = MN \cdot \frac{1}{MN} \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x,y)$$

$$= MN \bar{f}(x,y) \longrightarrow (21)$$

$\bar{f} \Rightarrow$ avg value of f
then

$$|F(0,0)| = MN |\bar{f}(x,y)| \longrightarrow (22)$$

because the proportionality constant MN usually is large, $|F(0,0)|$ typically is the largest component of the spectrum by a factor that can be ~~several~~ several orders of magnitude larger than other terms.

* $F(0,0) \Rightarrow$ dc component of transform

2-D Convolution Theorem

circular

* 2-D convolution

$$f(x, y) \otimes h(x, y) = \sum_{m=0}^{M-1} \sum_{n=0}^{N-1} f(m, n) h(x-m, y-n)$$

$$\text{for } x = 0, 1, 2 \dots M-1$$

$$y = 0, 1, 2 \dots N-1$$

↳ (23)

∴ The 2-D convolution theorem is given by the expressions

$$f(x, y) \otimes h(x, y) \xleftrightarrow{FT} F(u, v) H(u, v)$$

& the converse

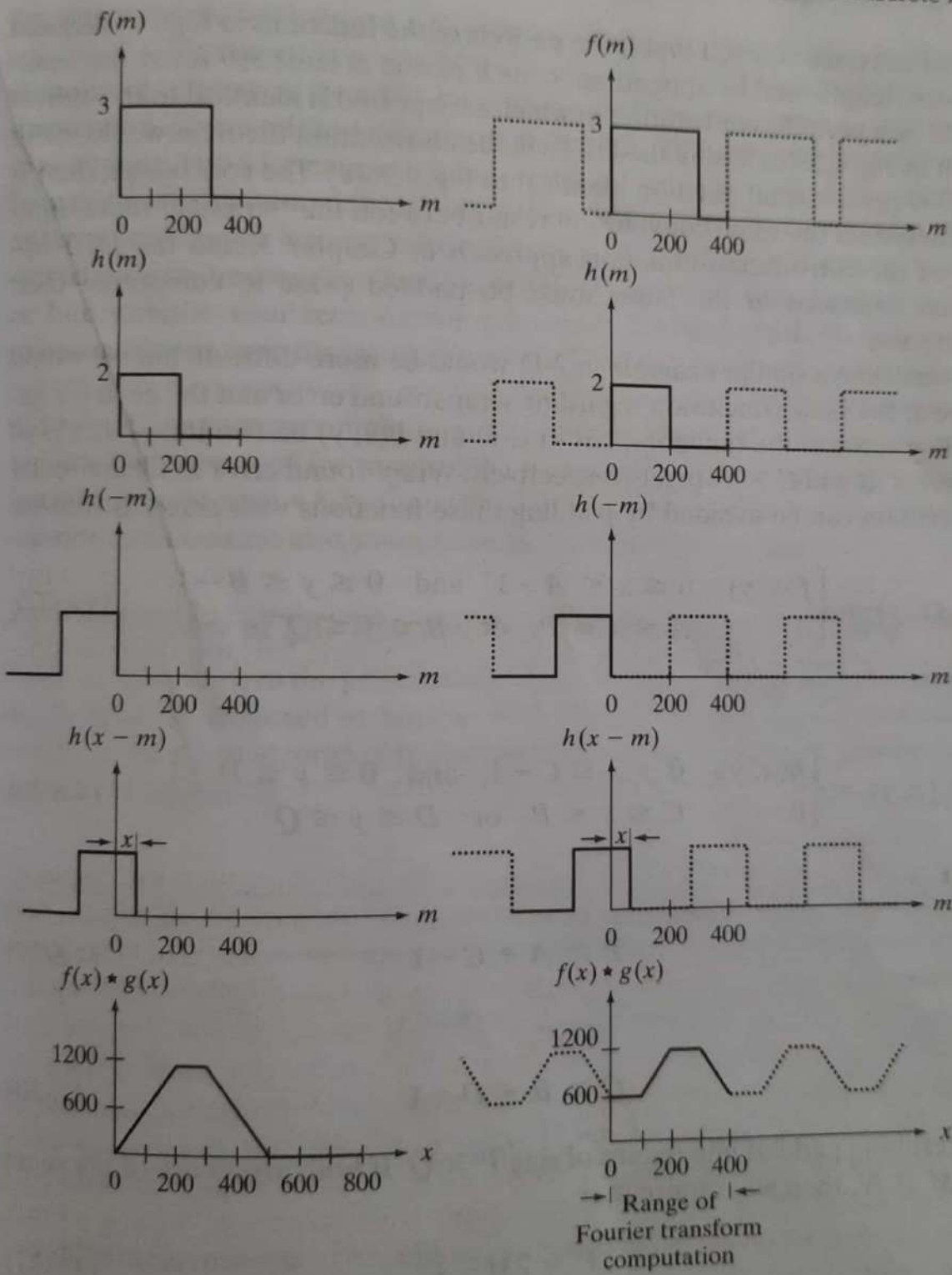
↳ (24)

$$f(x, y) h(x, y) \xleftrightarrow{FT} F(u, v) \otimes H(u, v)$$

↳ (25)

* 1-D

$$f(x) \otimes h(x) = \sum_{m=0}^{M-1} f(x) h(x-m)$$



a f
b g
c h
d i
e j

FIGURE 4.28 Left column: convolution of two discrete functions obtained using the approach discussed in Section 3.4.2. The result in (e) is correct. Right column: Convolution of the same functions, but taking into account the periodicity implied by the DFT. Note in (j) how data from adjacent periods produce wraparound error, yielding an incorrect convolution result. To obtain the correct result, function padding must be used.

Two... and the inverse DFT, we would have obtained

- * If we use DFT & the convolution theorem, to obtain the same result as in the left column of fig 4.28, we must take into account the periodicity inherent in the expression of DFT.
- * This is equivalent to convoluting the 2 periodic function (4.28 (f) & (g))
- * The procedure is ~~simple~~ same.
- * Proceeding in the similar manner will yield the result shown in fig 4.28 (j) which is obviously incorrect.
- * Since we are convoluting 2 periodic signals, the result itself is periodic.
- * The closeness of the periods is such that they interfere with each other to cause ~~wrap~~ wrap around error.
- * This problem ^{can be} ~~is~~ solved by using zero padding method.
- * If we append ~~to~~ zeros to both functions so that they have same length denoted by P ; $P \geq A+B-1$ — (26)

2-D

* Let $f(x, y)$ & $h(x, y)$ be 2 image arrays of sizes $A \times B$ & $C \times D$ respectively.

* wraparound error in their convolution can be avoided by padding these functions with zeros as follows

$$f_p(x, y) = \begin{cases} f(x, y) ; & 0 \leq x \leq A-1 \text{ \& } 0 \leq y \leq B-1 \\ 0 ; & A \leq x \leq p \text{ or } B \leq y \leq q \end{cases} \quad \text{--- } (27)$$

f

$$h_p(x, y) = \begin{cases} h(x, y) ; & 0 \leq x \leq C-1 \text{ \& } 0 \leq y \leq D-1 \\ 0 ; & C \leq x \leq p \text{ or } D \leq y \leq q \end{cases} \quad \text{--- } (28)$$

with

$$p \geq A + C - 1 \quad \text{--- } (29)$$

$$\& \ q \geq B + D - 1 \quad \text{--- } (30)$$

* The resulting padded images are of size $p \times q$. If both arrays are of the same size $M \times N$, then we require

$$p \geq 2M - 1 \quad \text{--- } (31)$$

$$\& \ q \geq 2N - 1 \quad \text{--- } (32)$$

* If one or both of the fun's of 4.28 @ 7 5 were not zero at the end of the interval, then a discontinuity would be created when zeros were appended to the funⁿ to eliminate wraparound error

* This is analogous to xly a fun by a box, which in the freq domain would imply convoln of original transform with a sinc fun.

* This would create frequency leakage caused by high freq components of sinc fun.

* This produces a blocky effect in Imax

* This can be reduced, by xling the sampled fun by another fun that tapers smoothly to near zero at both ends of the sampled record to ~~dampen~~ dampen the sharp transition (high freq comp) of the box.

* This approach is windowing or apodizing

① compute the linear convolution bet

$$x[m, n] = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix} \quad \& \quad h[m, n] = \begin{bmatrix} 5 & 6 \\ 7 & 8 \end{bmatrix}$$

thro' matrix method

① size of $x[m, n] = M_1 \times N_1 = 2 \times 2$

$$h[m, n] = M_2 \times N_2 = 2 \times 2$$

∴ convoluted matrix size

$$\text{will be } y[M, N] = M_3 \times N_3$$

$$M_3 = M_1 + M_2 - 1 = 2 + 2 - 1 = 3$$

$$N_3 = N_1 + N_2 - 1 = 2 + 2 - 1 = 3$$

$$y[m, n] = 3 \times 3$$

② The block matrix

∗ no of block matrix depends on the no of rows of $x[m, n]$

∗ In this case $x[m, n]$ has 2 rows

∴ no of block matrix is 2

H_0 & H_1

no of zeros to be appended in H_0 = no of columns in $h[m, n]$ - 1

$$x[m, n] = \begin{bmatrix} \textcircled{1} & \textcircled{2} \\ \textcircled{3} & \textcircled{4} \end{bmatrix} \begin{array}{l} \rightarrow \text{used to form } H_0 \\ \rightarrow \text{used to form } H_1 \end{array}$$

③ steps in formation of block matrix H_0

(1) 1st element is inserted in H_0

$$H_0 = \begin{bmatrix} 1 & 0 \end{bmatrix}$$

only one zero is inserted as

$$\text{no of zeros} = \text{no of columns in } h[m-n] - 1$$

$$2 - 1 = 1$$

(2) 2nd element

$$H_0 = \begin{bmatrix} 1 & 0 \\ 2 & 1 \end{bmatrix}$$

(3) $H_0 = \begin{bmatrix} 1 & 0 \\ 2 & 1 \\ 0 & 2 \end{bmatrix}$

element is shifted

③ H_1

$$H_1 = \begin{bmatrix} 3 & 0 \\ 4 & 3 \\ 0 & 4 \end{bmatrix}$$

5) Steps in the formation of block Toeplitz matrix

no of zeros to be appended in A'
 = no of rows of $h[m, n] - 1$

$$A = \begin{bmatrix} h_0 & 0 \\ h_1 & h_0 \\ 0 & h_1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 2 & 1 & 0 & 0 \\ 0 & 2 & 0 & 0 \\ 3 & 0 & 1 & 0 \\ 4 & 3 & 2 & 1 \\ 0 & 4 & 0 & 2 \\ 0 & 0 & 3 & 0 \\ 0 & 0 & 4 & 3 \\ 0 & 0 & 0 & 4 \end{bmatrix}$$

0 \rightarrow group of zeros

6) $y[m, n]:$

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 2 & 1 & 0 & 0 \\ 0 & 2 & 0 & 0 \\ 3 & 0 & 1 & 0 \\ 4 & 3 & 2 & 1 \\ 0 & 4 & 0 & 2 \\ 0 & 0 & 3 & 0 \\ 0 & 0 & 4 & 3 \\ 0 & 0 & 0 & 4 \end{bmatrix} \times \begin{bmatrix} 5 \\ 6 \\ 7 \\ 8 \end{bmatrix} = \begin{bmatrix} 5 \\ 16 \\ 12 \\ 22 \\ 60 \\ 40 \\ 21 \\ 52 \\ 32 \end{bmatrix}$$

$$y[m, n]: \begin{bmatrix} 5 & 16 & 12 \\ 22 & 60 & 40 \\ 21 & 52 & 32 \end{bmatrix}$$

(2) circular convolution

$$x[m, n] = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix} \quad \& \quad h[m, n] = \begin{bmatrix} 5 & 6 \\ 7 & 8 \end{bmatrix}$$

(1)

$$H_0 = \begin{bmatrix} 1 & 2 \\ 2 & 1 \end{bmatrix} \quad H_1 = \begin{bmatrix} 3 & 4 \\ 4 & 3 \end{bmatrix}$$

(2)

$$A = \begin{bmatrix} H_0 & H_1 \\ H_1 & H_0 \end{bmatrix} = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 2 & 1 & 4 & 3 \\ 3 & 4 & 1 & 2 \\ 4 & 3 & 2 & 1 \end{bmatrix}$$

(3)

$$Y = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 2 & 1 & 4 & 3 \\ 3 & 4 & 1 & 2 \\ 4 & 3 & 2 & 1 \end{bmatrix} \times \begin{bmatrix} 5 \\ 6 \\ 7 \\ 8 \end{bmatrix} = \begin{bmatrix} 70 \\ 68 \\ 62 \\ 60 \end{bmatrix}$$

$$Y[m, n] = \begin{bmatrix} 70 & 68 \\ 62 & 60 \end{bmatrix}$$

Name**Expression(s)**

1) Discrete Fourier transform (DFT) of $f(x, y)$

$$F(u, v) = \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x, y) e^{-j2\pi(ux/M + vy/N)}$$

2) Inverse discrete Fourier transform (IDFT) of $F(u, v)$

$$f(x, y) = \frac{1}{MN} \sum_{u=0}^{M-1} \sum_{v=0}^{N-1} F(u, v) e^{j2\pi(ux/M + vy/N)}$$

3) Polar representation

$$F(u, v) = |F(u, v)| e^{j\phi(u, v)}$$

4) Spectrum

$$|F(u, v)| = [R^2(u, v) + I^2(u, v)]^{1/2}$$

$R = \text{Real}(F); \quad I = \text{Imag}(F)$

5) Phase angle

$$\phi(u, v) = \tan^{-1} \left[\frac{I(u, v)}{R(u, v)} \right]$$

6) Power spectrum

$$P(u, v) = |F(u, v)|^2$$

7) Average value

$$\bar{f}(x, y) = \frac{1}{MN} \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x, y) = \frac{1}{MN} F(0, 0)$$

Name	Expression(s)
8) Periodicity (k_1 and k_2 are integers)	$F(u, v) = F(u + k_1M, v) = F(u, v + k_2N)$ $= F(u + k_1M, v + k_2N)$ $f(x, y) = f(x + k_1M, y) = f(x, y + k_2N)$ $= f(x + k_1M, y + k_2N)$
9) Convolution	$f(x, y) \star h(x, y) = \sum_{m=0}^{M-1} \sum_{n=0}^{N-1} f(m, n)h(x - m, y - n)$
10) Correlation	$f(x, y) \star h(x, y) = \sum_{m=0}^{M-1} \sum_{n=0}^{N-1} f^*(m, n)h(x + m, y + n)$
11) Separability	The 2-D DFT can be computed by computing 1-D DFT transforms along the rows (columns) of the image, followed by 1-D transforms along the columns (rows) of the result. See Section 4.11.1.
12) Obtaining the inverse Fourier transform using a forward transform algorithm.	$MNf^*(x, y) = \sum_{u=0}^{M-1} \sum_{v=0}^{N-1} F^*(u, v)e^{-j2\pi(ux/M+vy/N)}$ <p>This equation indicates that inputting $F^*(u, v)$ into an algorithm that computes the forward transform (right side of above equation) yields $MNf^*(x, y)$. Taking the complex conjugate and dividing by MN gives the desired inverse. See Section 4.11.2.</p>

Table 4.3 summarizes some important DFT pairs. Although our focus is on discrete functions, the last two entries in the table are Fourier transform pairs that can be derived only for continuous variables (note the use of continuous variable notation). We include them here because, with proper interpretation, they are quite useful in digital image processing. The differentiation pair can

Name

DFT Pairs

1) Symmetry properties

See Table 4.1

2) Linearity

$$af_1(x, y) + bf_2(x, y) \Leftrightarrow aF_1(u, v) + bF_2(u, v)$$

3) Translation (general)

$$f(x, y)e^{j2\pi(u_0x/M+v_0y/N)} \Leftrightarrow F(u - u_0, v - v_0)$$

$$f(x - x_0, y - y_0) \Leftrightarrow F(u, v)e^{-j2\pi(ux_0/M+vy_0/N)}$$

4) Translation to center of the frequency rectangle, $(M/2, N/2)$

$$f(x, y)(-1)^{x+y} \Leftrightarrow F(u - M/2, v - N/2)$$

$$f(x - M/2, y - N/2) \Leftrightarrow F(u, v)(-1)^{u+v}$$

5) Rotation

$$f(r, \theta + \theta_0) \Leftrightarrow F(\omega, \varphi + \theta_0)$$

$$x = r \cos \theta \quad y = r \sin \theta \quad u = \omega \cos \varphi \quad v = \omega \sin \varphi$$

6) Convolution theorem[†]

$$f(x, y) \star h(x, y) \Leftrightarrow F(u, v)H(u, v)$$

$$f(x, y)h(x, y) \Leftrightarrow F(u, v) \star H(u, v)$$

(Continued)

TABLE 4
(Continued)

Name	DFT Pairs
7) Correlation theorem [†]	$f(x, y) \star h(x, y) \Leftrightarrow F^*(u, v) H(u, v)$ $f^*(x, y) h(x, y) \Leftrightarrow F(u, v) \star H(u, v)$
8) Discrete unit impulse	$\delta(x, y) \Leftrightarrow 1$
9) Rectangle	$\text{rect}[a, b] \Leftrightarrow ab \frac{\sin(\pi ua)}{(\pi ua)} \frac{\sin(\pi vb)}{(\pi vb)} e^{-j\pi(ua+vb)}$
10) Sine	$\sin(2\pi u_0 x + 2\pi v_0 y) \Leftrightarrow$ $j \frac{1}{2} [\delta(u + Mu_0, v + Nv_0) - \delta(u - Mu_0, v - Nv_0)]$
11) Cosine	$\cos(2\pi u_0 x + 2\pi v_0 y) \Leftrightarrow$ $\frac{1}{2} [\delta(u + Mu_0, v + Nv_0) + \delta(u - Mu_0, v - Nv_0)]$
<p>The following Fourier transform pairs are derivable only for continuous variables, denoted as before by t and z for spatial variables and by μ and ν for frequency variables. These results can be used for DFT work by sampling the continuous forms.</p>	
12) Differentiation (The expressions on the right assume that $f(\pm\infty, \pm\infty) = 0$.)	$\left(\frac{\partial}{\partial t}\right)^m \left(\frac{\partial}{\partial z}\right)^n f(t, z) \Leftrightarrow (j2\pi\mu)^m (j2\pi\nu)^n F(\mu, \nu)$ $\frac{\partial^m f(t, z)}{\partial t^m} \Leftrightarrow (j2\pi\mu)^m F(\mu, \nu); \frac{\partial^n f(t, z)}{\partial z^n} \Leftrightarrow (j2\pi\nu)^n F(\mu, \nu)$
13) Gaussian	$A2\pi\sigma^2 e^{-2\pi^2\sigma^2(t^2+z^2)} \Leftrightarrow Ae^{-(\mu^2+\nu^2)/2\sigma^2}$ (A is a constant)

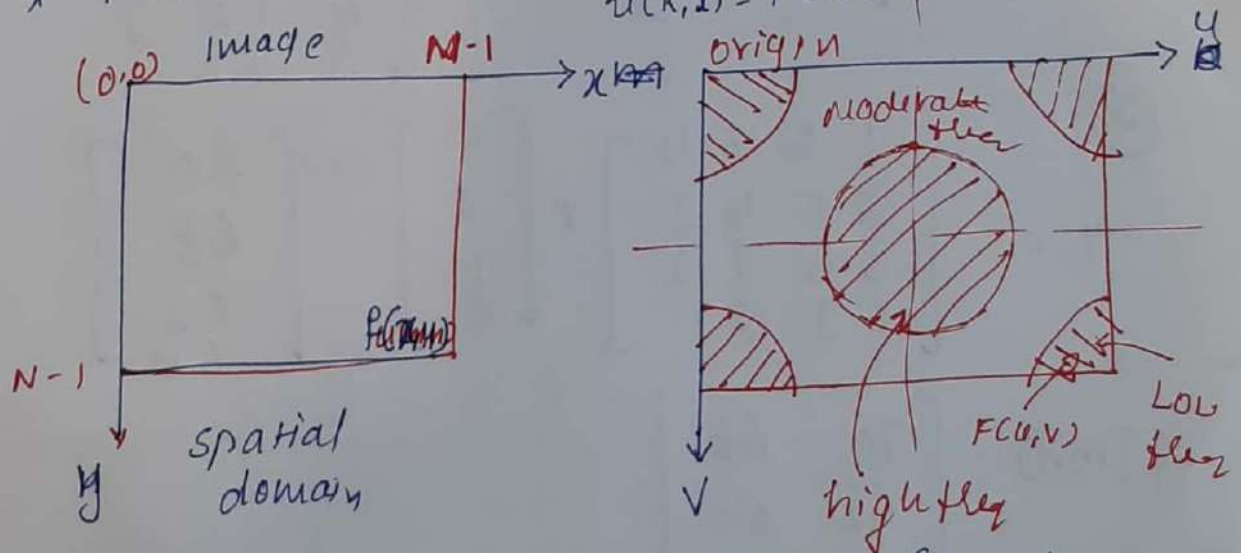
[†] Assumes that the functions have been extended by zero padding. Convolution and correlation are associative, commutative, and distributive.

be used to derive the frequency-domain equivalent of the Laplacian defined in

Understanding the image in Fourier Domain

- * DFT tells us what frequencies are present in the image & their relative strengths.
- * Frequency is directly related to the rate of change. ∴ we can associate DFT with patterns of intensity variations in the image.

* Few observations $u(m,n) = f(x,y)$
 $u(k,l) = F(u,v)$

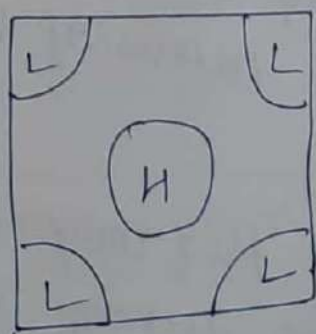
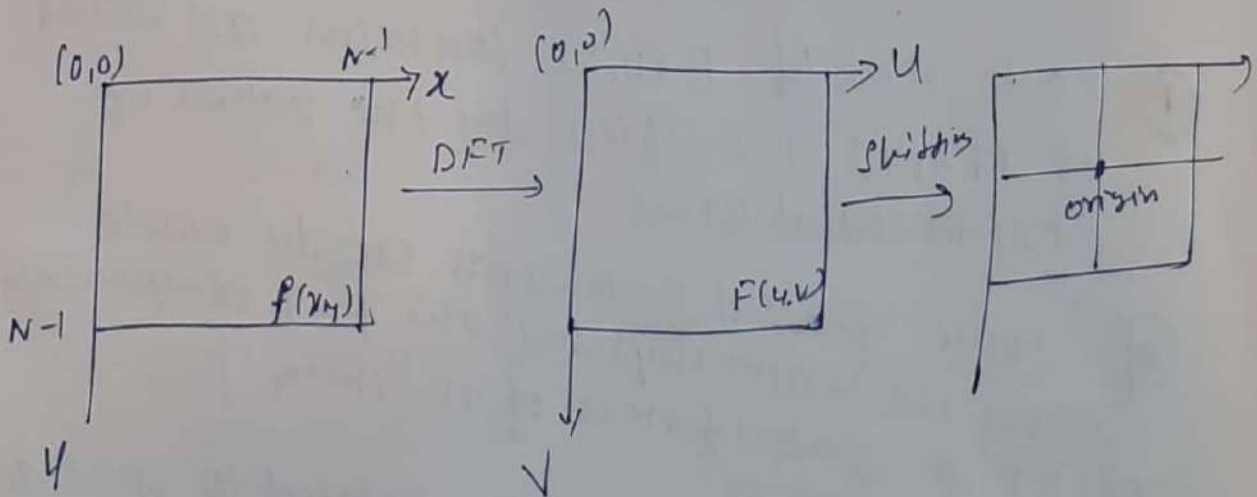


- $u=v=0$ is a DC component (zero freq)
 $x=y=0$
- Near the origin ($x=y=0$) of freq space, low frequencies exist which correspond to slowly varying components in the image
∴ background in any image is smooth grey-level variations
- As we move away from origin, we encounter terms in freq space at higher freq.
[faster & faster grey level variation in the image]
Edges of objects & other components (noise) of an images are characterized by abrupt change in grey level

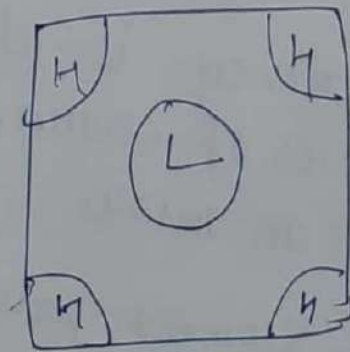
ex. ~~fundamental~~ sudden change in grey level is boundary of a petal in an image

* To avoid problems with displaying complex-valued transform $F(u,v)$ of an image $f(x,y)$, a common approach is to display only the magnitude ~~$|F(u,v)|$~~ $|F(u,v)|$ & ignore the phase of $F(u,v)$.

* Origin of the image is shifted to image centre



Before centralization



after centralization

* After shifting the origin to center of image, lowest freq comp are at centre & freq increases as we go away from centre

4.7 The BASICS OF Filtering in frequency domain

[Image enhancement in freq domain: basic properties of freq domain
4.4 basic steps of filtering in the u.s of vlpulq]

4.7.1 Additional characteristics of freq domain

Let us consider the 2-D DFT eqn

$$F(u, v) = \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x, y) e^{-j2\pi \left(\frac{ux}{M} + \frac{vy}{N} \right)}$$

(#)

- ① each term of $F(u, v)$ contains all values of $f(x, y)$ modified by the values of exponential terms
- ② some general statements can be made about the relationship bet' the freq components of FT & spatial features of an image
- ③ Frequency is directly related to spatial rates of change of intensity variations in an image
- ④ The slowest varying freq component ($u=v=0$) is proportional to the average intensity of an image.
- ⑤ As we move away from the origin of the transform, the low frequencies correspond to the slowly varying intensity components of ~~the~~ an image

* As we move further away from the origin, the higher frequencies begin to correspond to faster & faster intensity changes in the image [edges of objects & other components of image characterized by abrupt changes in intensity]

y Filtering techniques in freq domain are based on modifying the FT to achieve a specific objective & then computing the IDFT to get back to the image domain

$$* F(u, v) = |F(u, v)| e^{j\phi(u, v)}$$

• WKT the 2 components of DFT are magnitude (spectrum) & the Phase angle.

* Visual analysis of phase component is not very useful.

Frequency Domain filtering fundamentals

* Filtering in frequency domain consists of modifying the FT of an image f then computing the inverse transform to obtain the processed result.

* For a given digital image $f(x,y)$ of size $M \times N$, the basic filtering eqn is of the form

$$g(x,y) = F^{-1} [H(u,v) F(u,v)] \quad \text{--- (1)}$$

where

$$F^{-1} \rightarrow \text{IDFT}$$

$F(u,v) \rightarrow$ DFT of i/p image

$H(u,v) \rightarrow$ DFT of a filter fun

$g(x,y) \rightarrow$ filtered o/p image

the size of all the functions are $M \times N$ same as i/p image

* The filter fun, modifies the transform of the i/p image to yield a processed o/p $g(x,y)$.

* $H(u,v)$ is simplified considerably by using fun's that are symmetric about their center.

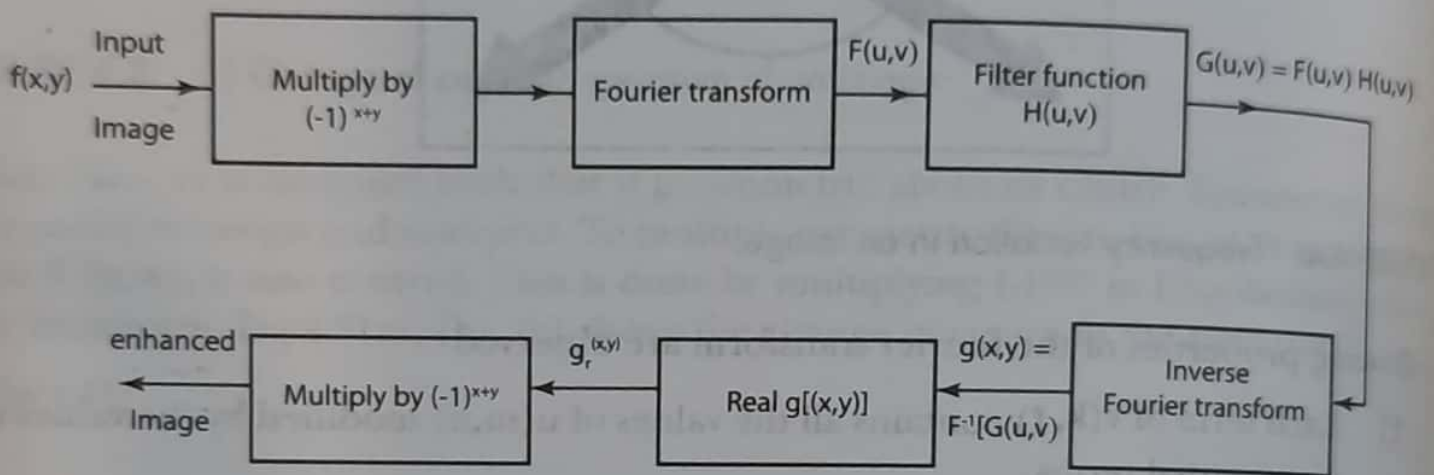


FIGURE 4.5: Block diagram of filtering in frequency domain

* This is accomplished by shifting the original image by $(-1)^{x+y}$ prior to computing its transforms $f(x,y) (-1)^{x+y} \Leftrightarrow F(u,v)$

[shifts the data so that $F(0,0)$ is at the center of the interval $[0, M-1]$

* One of the simplest filters we can construct is a filter $H(u,v)$ is '0' at the center of the transform & '1' elsewhere

* This filter would reject the dc term & pass all other terms of $F(u,v)$.

$$\begin{aligned}
 * \text{ WKT } F(0,0) &= MN \frac{1}{MN} \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x,y) \\
 &= MN \bar{f}(x,y) \\
 \bar{f} &= \text{avg value}
 \end{aligned}$$

From above eqn wkt the dc-term is responsible for the average intensity of an image. (fig 4.20)

* So setting it to zero will reduce the avg intensity of the original image to zero

* The image becomes much darker.
[An avg of zero \Rightarrow existence of -ve intensities]



a b

FIGURE 4.29 (a) SEM image of a damaged integrated circuit. (b) Fourier spectrum of (a). (Original image courtesy of Dr. J. M. Hudak, Brockhouse Institute for Materials Research, McMaster University, Hamilton, Ontario, Canada.)

FIGURE 4.30
Result of filtering
the image in
Fig. 4.29(a) by
setting to 0 the
term $F(M/2, N/2)$
in the Fourier
transform.



* low frequencies in the transform are related to slowly varying intensity components in the image (Walls of room / cloudless sky in an outdoor scene)

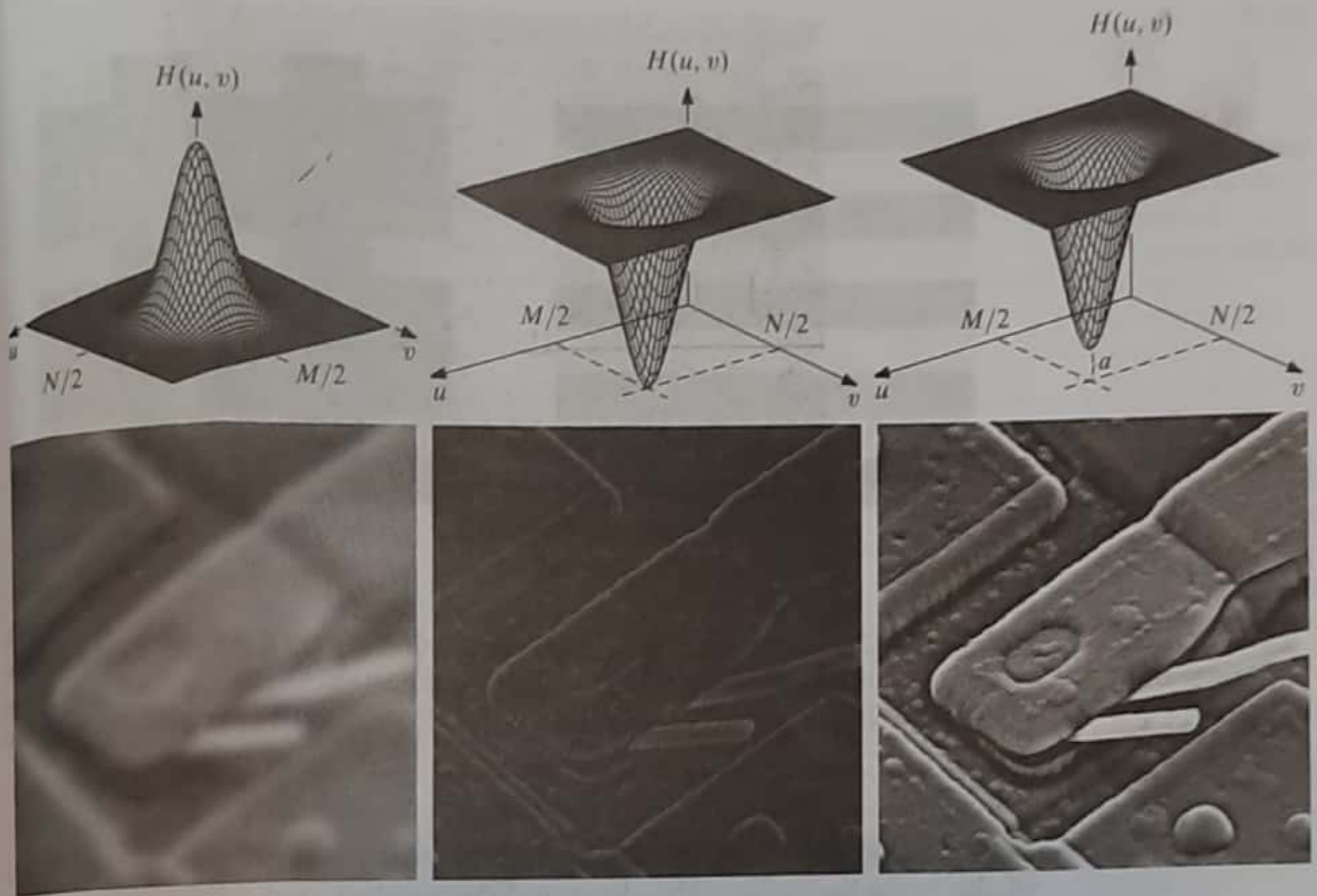
& high frequencies are caused by sharp transitions in intensity such as edges & noise

* ∴ we would expect that a filter $H(u,v)$ that attenuates high freq's while passing low freq's (LPF) would blur an image.

while a filter with opposite property [high pass filter] would enhance sharp details but cause a reduction in contrast in the image (4.31 to 4.32)
[HPF eliminates the dc term]

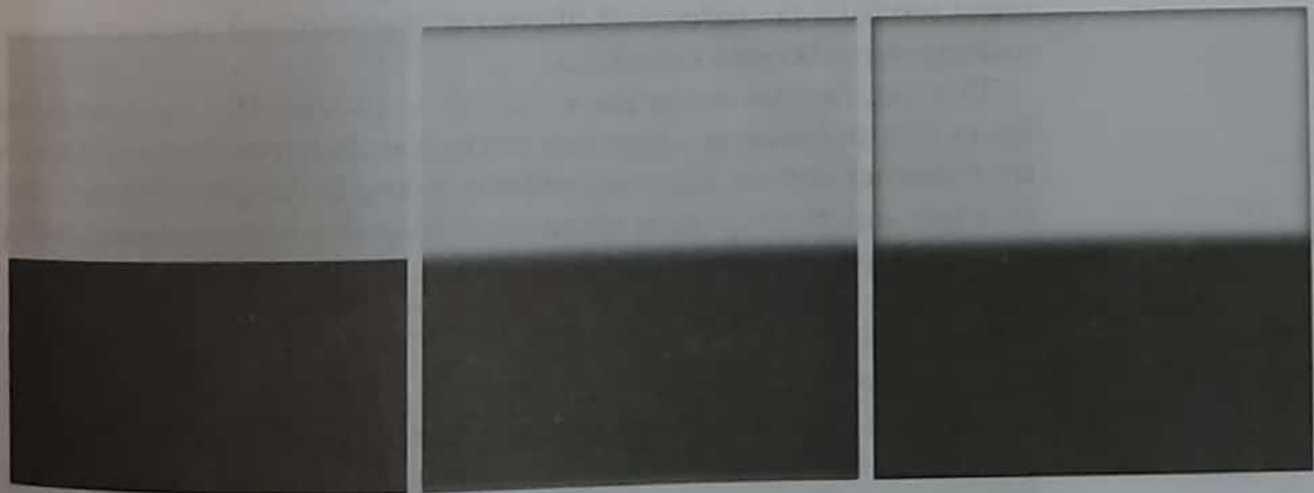
* eq (1) $g(x,y) = F^{-1}[H(u,v), F(x,y)]$
Product of 2 fun's in freq domain
= convol'n in spatial domain.

* If the functions in questions are not padded we can expect wrap around error (discussed earlier)



a b c
d e f

FIGURE 4.31 Top row: frequency domain filters. Bottom row: corresponding filtered images obtained using Eq. (4.7-1). We used $a = 0.85$ in (c) to obtain (f) (the height of the filter itself is 1). Compare (f) with Fig. 4.29(a).



a b c

FIGURE 4.32 (a) A simple image. (b) Result of blurring with a Gaussian lowpass filter without padding. (c) Result of lowpass filtering with padding. Compare the light area of the vertical edges in (b) and (c).

* when we apply eq (1) without padding
fig 4.22 (b) then the image when filtered
using Gaussian LPF would result in
blurring. [

* blurring is not uniform [top white
edges are blurred but side white edges
are not fig 4.22 (b)]

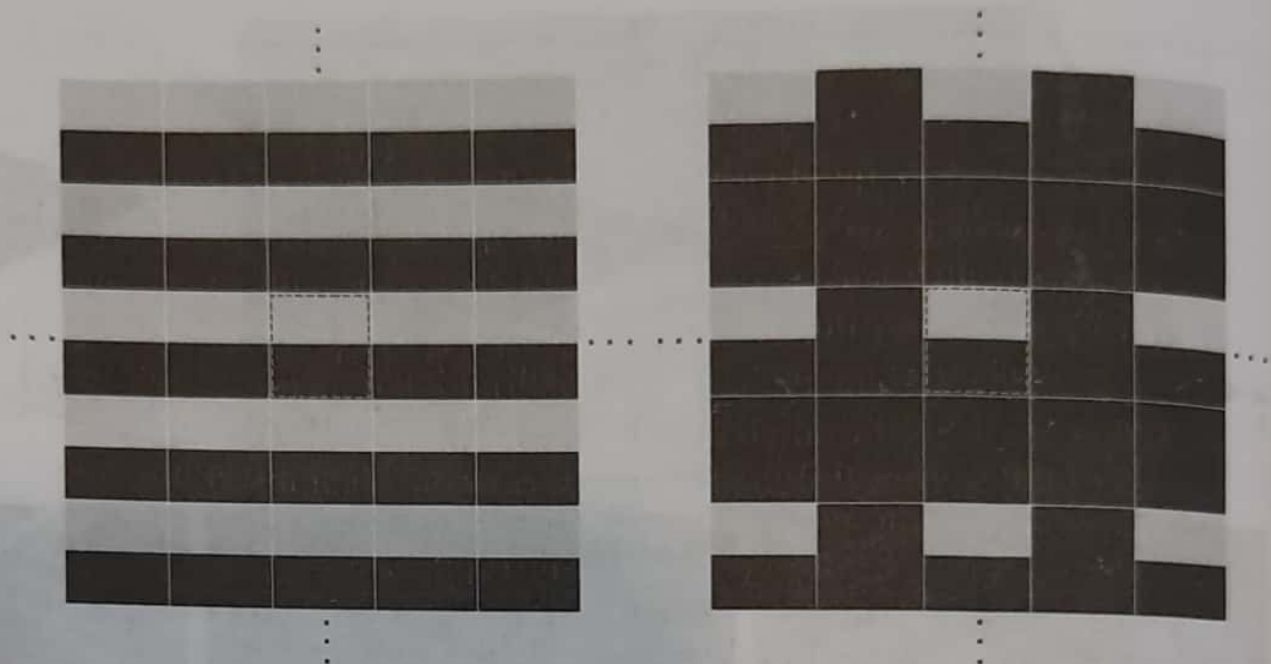
* so padding the I/P image ~~would result~~
before applying eq (1) results in
the filtered image where blurring is
uniform.

* [padding the image ^{with 0s} can create a uniform
border around the periodic seq
fig 4.23] then convolving the blurring fun ^{with}
the padded mosaic gives correct result]

* padding is done in spatial domain

* eq (1) involves a filter that can be
specified either in spatial or freq domain

* the way to handle padding of q
frequency domain filter is to construct
the filter to be of the same size as the image



a b

FIGURE 4.33 2-D image periodicity inherent in using the DFT. (a) Periodicity without image padding. (b) Periodicity after padding with 0s (black). The dashed areas in the center correspond to the image in Fig. 4.32(a). (The thin white lines in both images are superimposed for clarity; they are not part of the data.)

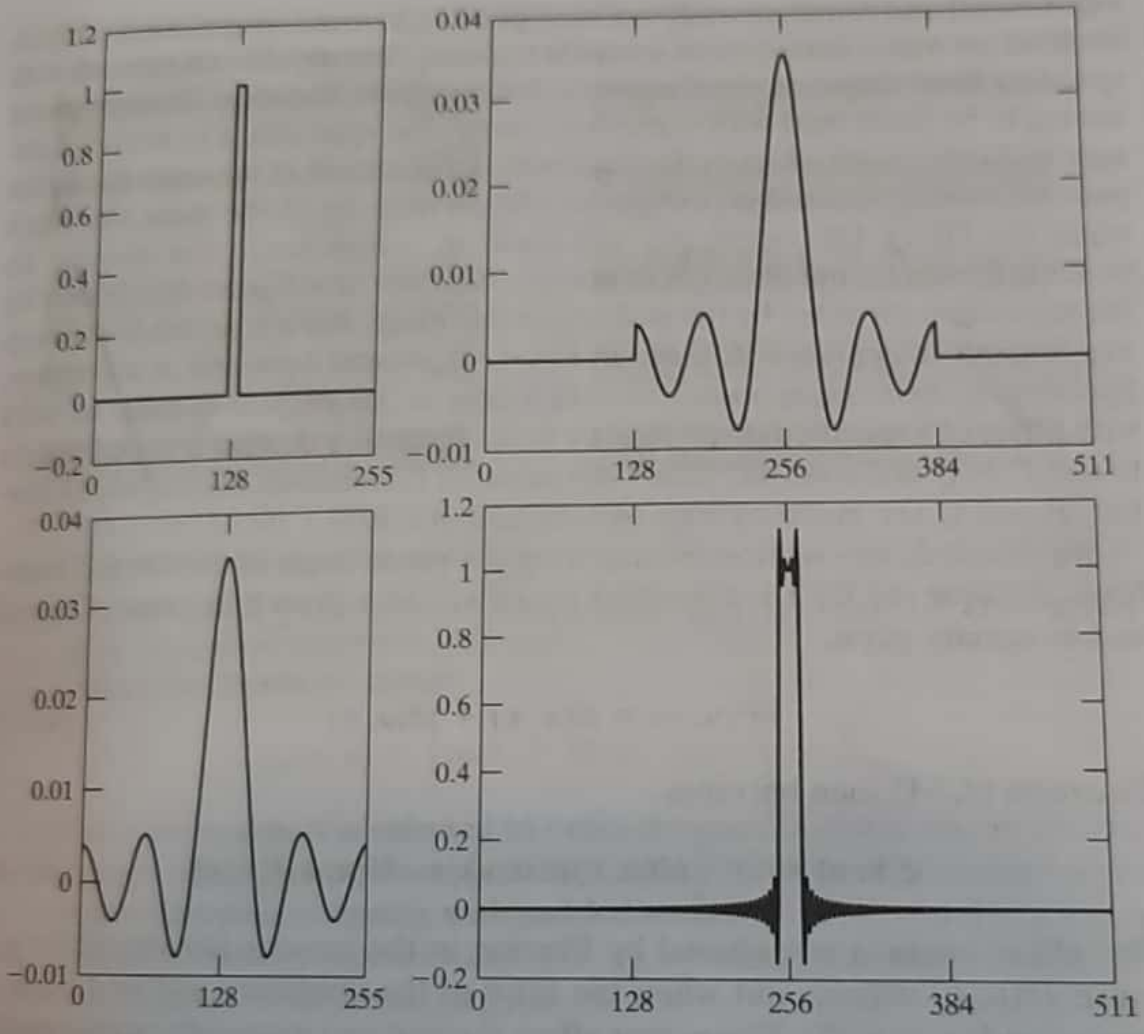


FIGURE 4.34
 (a) Original filter specified in the (centered) frequency domain.
 (b) Spatial representation obtained by computing the IDFT of (a).
 (c) Result of padding (b) to twice its length (note the discontinuities).
 (d) Corresponding filter in the frequency domain obtained by computing the DFT of (c). Note the ringing caused by the discontinuities in (c). (The curves appear continuous because the points were joined to simplify visual analysis.)

compute IDFT of the filter to obtain the corresponding spatial filter.

→ pad that filter in spatial domain & then compute its DFT to return to the freq domain

fig 4.9-4

→ to work with specified filter shapes in freq domain w/o having to ^{be} concerned with truncation issues

- one approach is to zero-pad images & then create filters in freq domain to be of the same size when using the DFT

* let us analyze the phase angle of the filtered transform

∵ DFT is complex & can be expressed as

$$F(u, v) = R(u, v) + jI(u, v) \quad \text{--- (1)}$$

Then eq (1)

$$g(x, y) = F^{-1} \left[\begin{array}{l} H(u, v) R(u, v) \\ + j H(u, v) I(u, v) \end{array} \right] \quad \text{--- (2)}$$

* ~~filters~~

* phase angle is not altered by filtering
because $H(u,v)$ cancels out when the
ratio of imaginary & real part is formed
 $\left[\frac{I(u,v)}{R(u,v)} \right]$

* filters that affect real & imaginary
parts equally & thus have no effect
on the phase & are called zero-phase-shift
filters.

4.7.3

from multiplying the angle array in Eq. (4.6-15) by 0.5, without changing

a b

FIGURE 4.35
(a) Image resulting from multiplying by 0.5 the phase angle in Eq. (4.6-15) and then computing the IDFT. (b) The result of multiplying the phase by 0.25. The spectrum was not changed in either of the two cases.



4.7.3 Summary of Steps for Filtering in the Frequency Domain

The material in the previous two sections can be summarized as follows:

1. Given an input image $f(x, y)$ of size $M \times N$, obtain the padding parameters P and Q from Eqs. (4.6-31) and (4.6-32). Typically, we select $P = 2M$ and $Q = 2N$.
2. Form a padded image, $f_p(x, y)$, of size $P \times Q$ by appending the necessary number of zeros to $f(x, y)$.
3. Multiply $f_p(x, y)$ by $(-1)^{x+y}$ to center its transform.
4. Compute the DFT, $F(u, v)$, of the image from step 3.
5. Generate a real, symmetric filter function, $H(u, v)$, of size $P \times Q$ with center at coordinates $(P/2, Q/2)$.[†] Form the product $G(u, v) = H(u, v)F(u, v)$ using array multiplication; that is, $G(i, k) = H(i, k)F(i, k)$.
6. Obtain the processed image:

$$g_p(x, y) = \left\{ \text{real} \left[\mathfrak{F}^{-1} [G(u, v)] \right] \right\} (-1)^{x+y}$$

where the real part is selected in order to ignore parasitic complex components resulting from computational inaccuracies, and the subscript p indicates that we are dealing with padded arrays.

7. Obtain the final processed result, $g(x, y)$, by extracting the $M \times N$ region from the top, left quadrant of $g_p(x, y)$.

Figure 4.36 illustrates the preceding steps. The legend in the figure explains the source of each image. If it were enlarged, Fig. 4.36(c) would show black dots interleaved in the image because negative intensities are clipped to 0 for display. Note in Fig. 4.36(h) the characteristic dark border exhibited by lowpass filtered images processed using zero padding.

4.7.4 Correspondence bet filtering in spatial & freq domain.

* The link between filtering in the spatial & freq domains is the convolution theorem

* WKT, filtering in freq domain is defined as xtion of a filter function $H(u, v)$ times $F(u, v)$, the FT of i/p image

* Given a filter $H(u, v)$, if we want to find its equivalent representation in spatial domain

* If let $f(x, y) = \delta(x, y)$

FT of $\delta(x, y) = 1$

∴ $F(u, v) = 1$, Then

WKT $g(x, y) = F^{-1}[H(u, v)F(u, v)]$

then filtered o/p from above eqⁿ is $F^{-1}[H(u, v)]$.

* Inverse FT of freq domain filter which is corresponding filter in the spatial domain

* Given spatial filter, we can obtain its freq domain rep. by taking FT of the spatial filter

$$h(x, y) \xleftarrow{\text{FT}} H(u, v) \rightarrow \text{Impulse response}$$

If the quantities in eq (4) are finite, such filters are called as FIR filter

* One way to take advantage of the properties of both domains is to specify a filter in freq domain, compute its IDFT, & then use the resulting full-size spatial filter as a guide for constructing smaller spatial filter masks

* Let us discuss, by using Gaussian filters, how freq domain filters can be used as guides for specifying the coefficients of some of the small masks [box filter, weighted avg, Laplacian, Sobel, Roberts

* Filters based on Gaussian functions are of particular interest, because, both the forward & inverse FT of a Gaussian fun^s are real Gaussian fun^s

* Let $H(u) \Rightarrow$ denoted 1-D freq domain Gaussian filter

$$H(u) = A e^{-u^2/2\sigma^2} \longrightarrow (5)$$

where $\sigma =$ std. deviation of Gaussian curve

* The corresponding filter in spatial domain is obtained by taking IFT of $H(u)$

$$h(x) = \sqrt{2\pi} \cdot \sigma A e^{-2\pi^2 \sigma^2 x^2} \longrightarrow \textcircled{b}$$

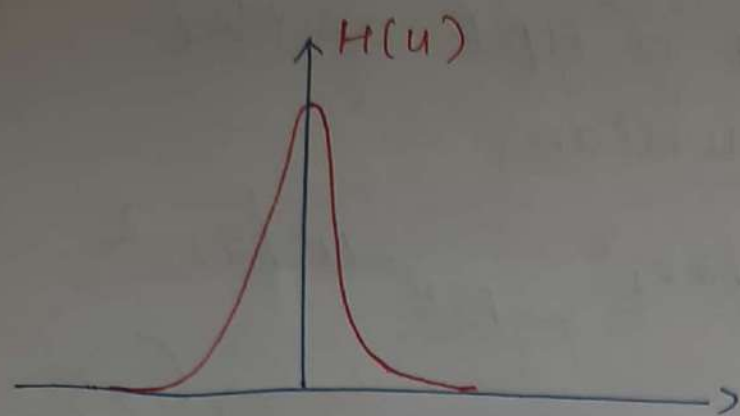
* These eqns are important because

(i) They are FT pair, both components of which are Gaussian & real.
∴ no need to be concerned with complex
no. Gaussian curves are intuitive & easy to manipulate

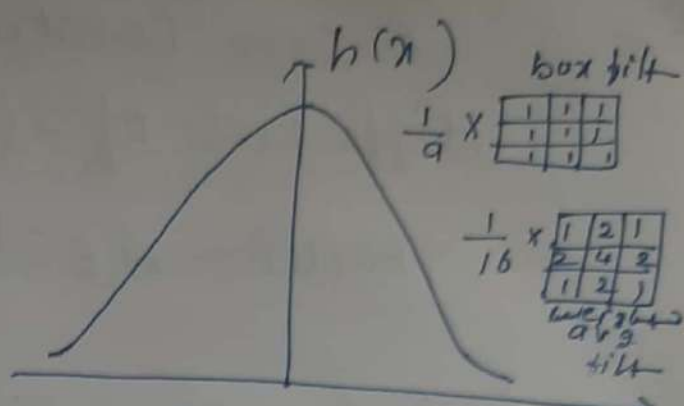
(ii) The fun behaves reciprocally.
when $H(u)$ has a broad profile (large value of σ), $h(x)$ has a narrow profile & vice versa

- if σ approaches ∞ infinity, then $H(u)$ tends towards constant fun & $h(x)$ tends towards an impulse which implies no filtering in freq & spatial domains respectively

* fig 4.37 (a) & (b) shows plots of Gaussian LPF in freq domain & the corresponding filter in spatial domain



4.37(a) A 1-D Gaussian^u LPF in freq domain



4.37(b) spatial LPF

- * If we want to use the shape of $h(x)$ in fig 4.37 (b) as guide for specifying coefficients of a small spatial mask.
- * the similarity bet' 2 filters is that all their values are +ve
- * $\begin{matrix} \circ & \circ & \circ \\ \circ & \circ & \circ \\ \circ & \circ & \circ \end{matrix}$ we conclude that we can implement LPF filtering in spatial domain by using a mask with all positive coefficients
- * The narrower, the freq domain filter, the more it will attenuate the low freq's, resulting in used blurring
- * In spatial domain this means that a larger mask must be used to ~~the~~ blurring
- * more complex filters can be constructed using the basic gaussian fun of eq (5) $H(u)$

* eg we can construct a HPF as the difference of Gaussians

$$H(u) = A e^{-u^2/2\sigma_1^2} - B e^{-u^2/2\sigma_2^2}$$

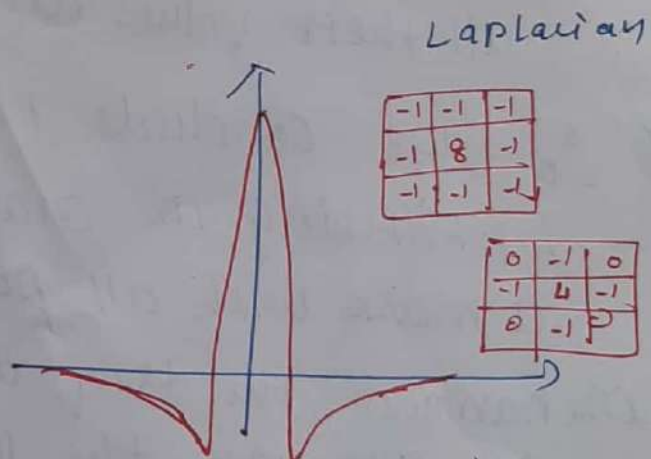
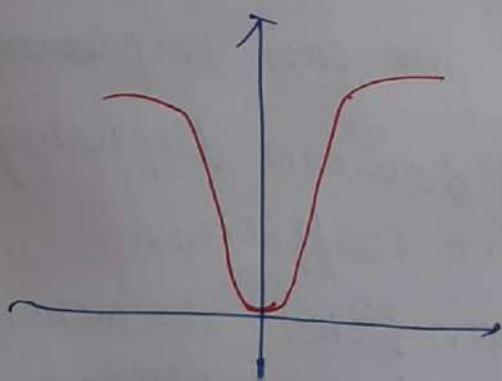
with $A \geq B$ & $\sigma_1 > \sigma_2$ ↪ (7)

* The corresponding filter in spatial domain

$$h(x) = \sqrt{2\pi}\sigma_1 A e^{-2\pi^2\sigma_1^2 x^2} - \sqrt{2\pi}\sigma_2 B e^{-2\pi^2\sigma_2^2 x^2}$$

↪ (8)

* fig 4.37 (c) & (d) shows the plot



* The most important feature here is that $h(x)$ has a +ve center term with -ve terms on either side

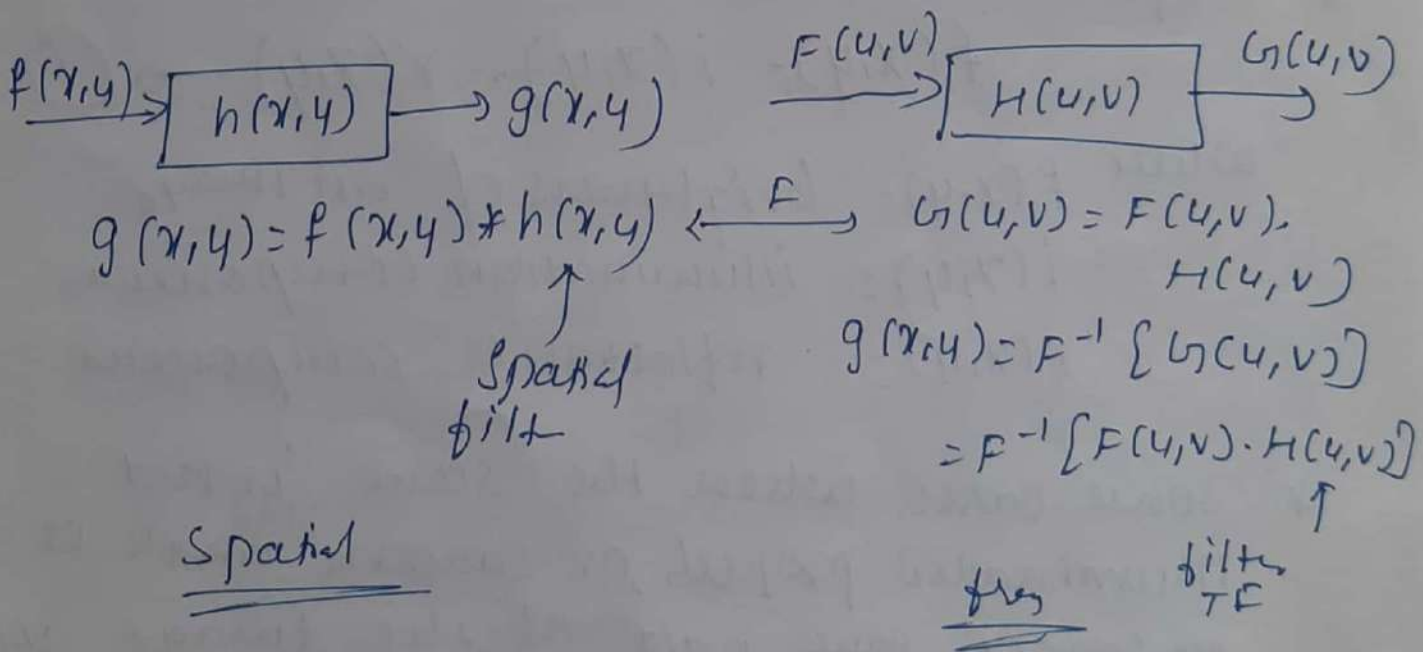
* These 2 masks are sharpening filters which are now HPF

* In spatial domain, filtering is implemented by convolution bet' img & filter

* convolution filtering with small filter mask is preferred ° of speed & ease of implementation in HW

* But filtering is more intuitive in freq domain,

* here filtering is implemented by relation of FT of input image & TF of a filter



Homomorphic Filtering

* Homomorphic filtering is a freq domain procedure to improve the appearance of an image by (a) grey level range compression (b) contrast enhancement

* An image $f(x,y)$ captured by camera is formed by multiplication of illumination & reflectance

* Reflectance model is

$$f(x,y) = i(x,y) \cdot r(x,y) \rightarrow \textcircled{1}$$

where $f(x,y)$ = brightness of an image

$i(x,y)$ = illumination component

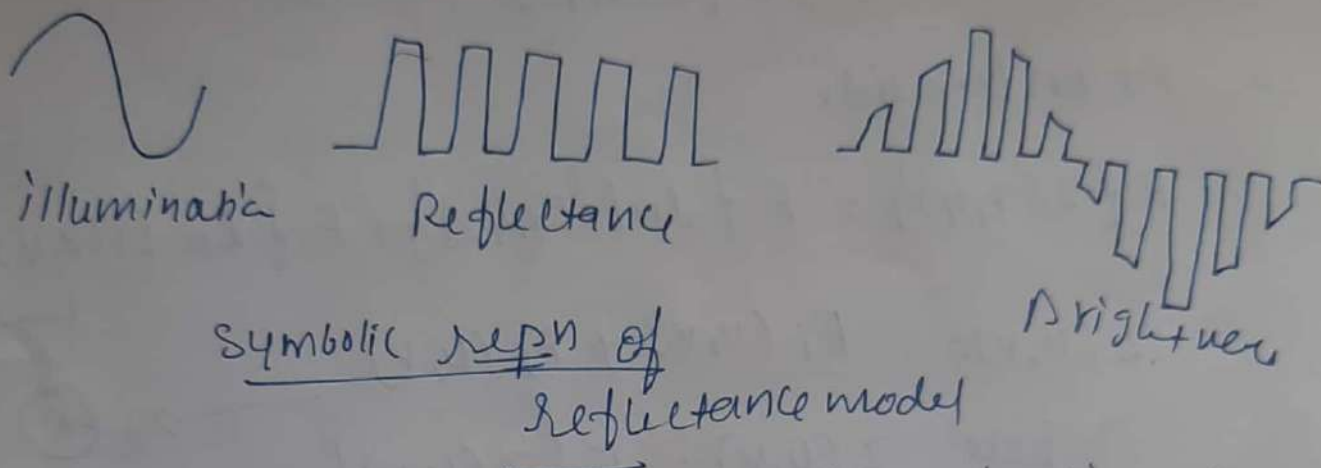
$r(x,y)$ = reflectance component

* Some cases when the scene is not illuminated properly, or camera angle is not correct, some part of the image appears dark.

* In order to improve these types of images, reflectance & illumination has to be treated independently

(*) $i \rightarrow$ slowly varying \Rightarrow low freq components
illumination changes "slowly" across the scene, Thus it is related to low freq

(2) $r \rightarrow$ fast varying \Rightarrow High freq component. surface reflection changes 'sharply' across the scene. Thus it is associated to high freq

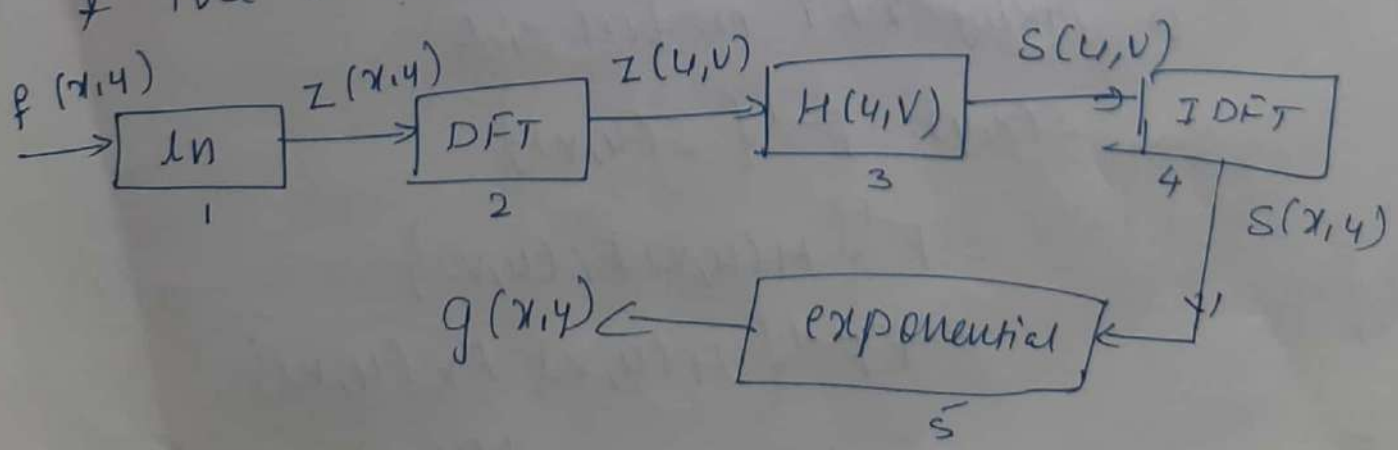


* For image enhancement, illumination & reflectance have to be treated separately which is not possible in freq domain as

$$F[f(x,y)] \neq F[i(x,y)] \cdot F[r(x,y)] \quad \text{--- (2)}$$

* To separate the reflectance & illumination component, Homomorphic filters are used

* The block dig is shown below



1. Take natural logarithm of I/P image

$$\begin{aligned} Z(x, y) &= \ln [f(x, y)] \\ &= \ln [i(x, y) \cdot r(x, y)] \quad \text{--- (3)} \\ &= \ln [i(x, y)] + \ln [r(x, y)] \end{aligned}$$

2. FT on both sides

$$\begin{aligned} F\{Z(x, y)\} &= F\{\ln [i(x, y)]\} + F\{\ln [r(x, y)]\} \\ Z(u, v) &= F_i(u, v) + F_r(u, v) \quad \text{--- (4)} \end{aligned}$$

here $Z(u, v) = F\{Z(x, y)\}$

$$F_i(u, v) = F\{\ln [i(x, y)]\}$$

$$F_r(u, v) = F\{\ln [r(x, y)]\}$$

3. $X^L Y$ with filter $H(u, v)$ with eq (4)

$$\begin{aligned} S(u, v) &= H(u, v) Z(u, v) \\ &= H(u, v) F_i(u, v) \\ &\quad + H(u, v) F_r(u, v) \quad \text{--- (5)} \end{aligned}$$

4. The filtered image in spatial domain
is taking IFT on both sides

$$\begin{aligned} S(x, y) &= F^{-1}\{S(u, v)\} \\ &= F^{-1}\{H(u, v) F_i(u, v)\} \\ &\quad + F^{-1}\{H(u, v) F_r(u, v)\} \quad \text{--- (6)} \\ &= i'(x, y) + r'(x, y) \quad \text{--- (7)} \end{aligned}$$

where

$$i'(x, y) = F^{-1} \{ H(u, v) F_i(u, v) \} \rightarrow (8)$$

$$\& r'(x, y) = F^{-1} \{ H(u, v) F_r(u, v) \} \rightarrow (9)$$

(5) take inverse log transform

$$g(x, y) = e^{s(x, y)}$$

$$= e^{i'(x, y)} \cdot e^{r'(x, y)}$$

$$= i_0(x, y) \cdot r_0(x, y) \rightarrow (10)$$

where $i_0(x, y) = e^{i'(x, y)} \rightarrow (11)$

& $r_0(x, y) = e^{r'(x, y)} \rightarrow (12)$

are illumination & reflectance components of the o/p (processed) image.

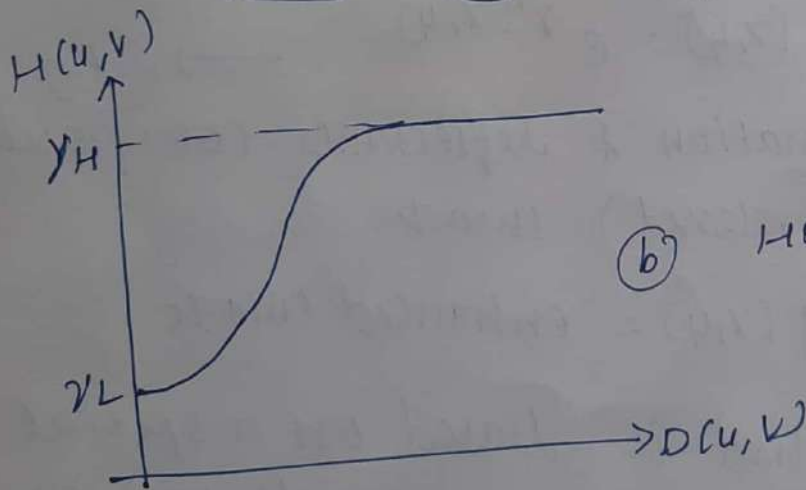
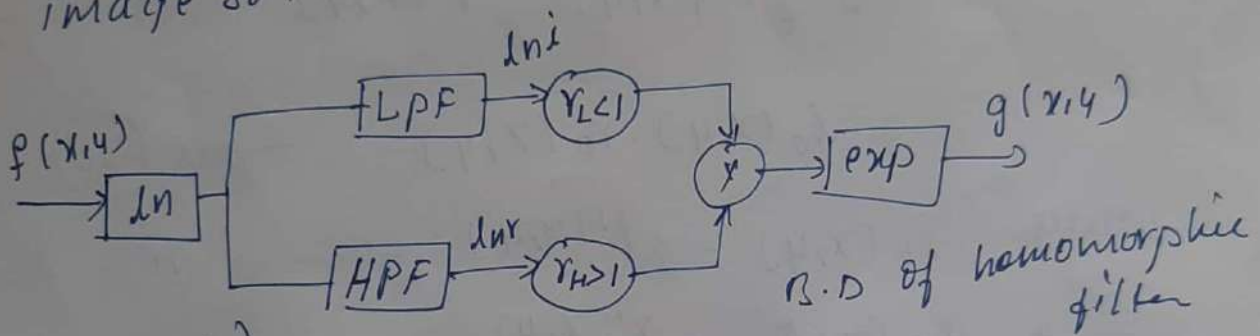
$g(x, y)$ = enhanced image

* This method is based on a special case of a class of systems known as homomorphic system.

* The homomorphic filter fun $H(u, v)$ is indicated in eq (5).

* illumination component of an image is characterized by slow spatial variations while the reflectance component tends to vary abruptly, particularly at the junctions of dissimilar objects.

* The goal of homomorphic filtering is to suppress low frequencies associated with illumination image so that the net effect is enhancement.



* To achieve the above mentioned goal, a filter has to be designed in such a way that illumination component is suppressed & reflectance is enhanced as shown in above B.P

* Low freq's of FT of a log of an image are associated with illumination & high freq's are associated with reflectance

* Although these are approximate associations but can be used for image enhancement

* Transfer fun is controlled in such a way that low freq's are attenuated & high freq's are passed untouched as shown in fig 6.

* fig 6 shows the cross section of a filter ^{such a}

* ~~The~~ If parameters γ_L & γ_H are chosen so that

$\gamma_L < 1 \Rightarrow$ tends to attenuate the contribution made by low freq's (illumination)

& $\gamma_H > 1 \Rightarrow$ amplify the contribution made by high freq's (reflectance)

* The net result is simultaneous dynamic range compression & contrast enhancement

* using a slightly modified form of the Gaussian HPF yields to

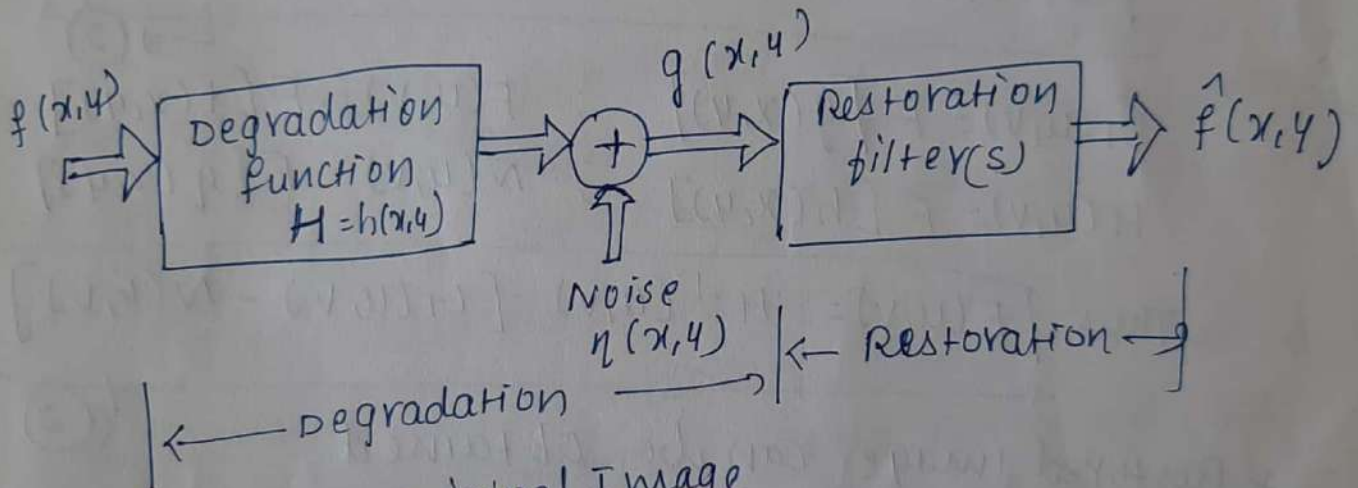
$$H(u, v) = (\gamma_H - \gamma_L) \left[1 - e^{-c [D^2(u, v) / D_0^2]} \right] + \gamma_L$$

IMAGE RESTORATION

5.1 A Model of Image degradation/Restoration Process

* Restoration is the process of inverting a degradation using knowledge about its nature

* Fig 5.1 below shows a model for the degradation/restoration process.



$f(x,y)$ = original image

$h(x,y)$ = degradation function H

$\eta(x,y)$ = additive noise term.

$g(x,y)$ = degraded & noisy image

$\hat{f}(x,y)$ = estimate of the original image
or restored image

* The objective of restoration process is to estimate $\hat{f}(x,y)$ from the degraded version $g(x,y)$, when some knowledge of degradation function H & noise η is there.

* The degraded image $g(x, y)$ can be mathematically expressed as

$$g(x, y) = h(x, y) * f(x, y) + \eta(x, y) \quad \text{--- (1)}$$

spatial domain

* \Rightarrow convol'n.

* An equivalent freq domain representation

is

$$G(u, v) = H(u, v) F(u, v) + N(u, v) \quad \text{--- (2)}$$

$$G(u, v) = F[g(x, y)] \quad ; \quad F(u, v) = F[f(x, y)]$$
$$H(u, v) = F[h(x, y)] \quad ; \quad N(u, v) = F[\eta(x, y)]$$

$$\text{Thus } F(u, v) = H^{-1}(u, v) \cdot [G(u, v) - N(u, v)] \quad \text{--- (3)}$$

* Restored image can be obtained by eq (3).

* The problems in implementing this eq'n is

- (1) The noise N is unknown. only the statistical properties of noise can be known.
- (2) The operation H is singular or ill posed
It is very difficult to estimate H

5.2] Noise models

- * The principal sources of noise in digital image arise during image acquisition and/or transmission
- * The performance of imaging sensors is affected by a variety of factors such as environmental conditions ~~such as~~ during image acquisition & by the quality of the sensing element themselves
- * ~~eg.~~ when acquiring images with a CCD camera, light levels & sensor temperature are major factors affecting the amount of noise in the resulting image.
- * Images are corrupted during transmission due to interference in the channel used for transmission. ~~eg.~~ an image ~~tried~~ using a wireless N/w might be corrupted as a result of lightning or other atmospheric disturbance

5.2.1 Spatial & Frequency Properties of Noise

~~* Spatial characteristics of noise~~

Spatial & freq characteristics of noise are as follows:

- (1) Noise is assumed to be 'white noise', i.e., Fourier spectrum of noise is constant

(2)

(2) Noise is assumed to be independent in spatial domain. Noise is uncorrelated with image i.e., there is no correlation bet' pixel value of image & value of noise components

* The spatial noise descriptor is the statistical behaviour of the intensity values in the noise component

* Noise intensity is considered as a random variable characterized by a certain probability density function (PDF)

* Frequency properties refer to the frequency content of noise in the Fourier sense
- ey when the Fourier spectrum of noise is constant, the noise usually is called white noise.

5.2.2 Some important noise Probability Density functions

Let us discuss the most common PDF's found in image processing applications

④ Gaussian noise:

* Gaussian noise models (normal noise models) are used frequently in practice

* The PDF of a Gaussian random variable 'z' is given by

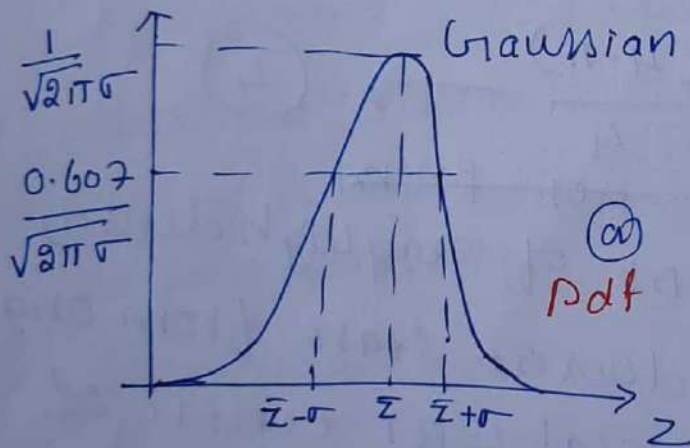
* The PDF of a Gaussian random variable, 'z' is given by

$$P(z) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{(z-\bar{z})^2}{2\sigma^2}} \quad \text{--- (1)}$$

where \bar{z} = intensity values

\bar{z} = mean (average) value of z. (we can write μ)

σ = standard deviation



* The plot of this fun is shown in fig (a)

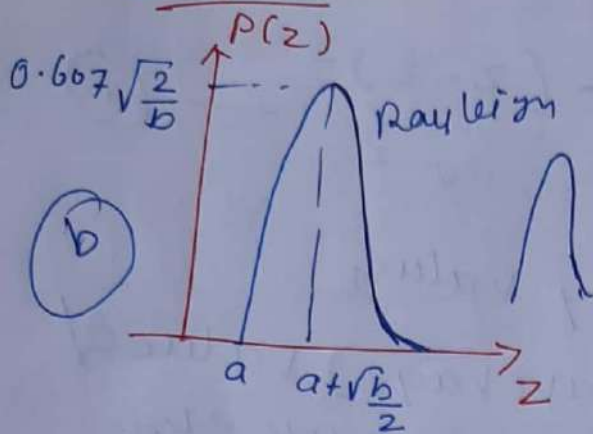
* when z is described by eq (1), 70% of its value will

be in the range $[(\bar{z}-\sigma), (\bar{z}+\sigma)]$ & about 95% will be in the range $[(\bar{z}-2\sigma), (\bar{z}+2\sigma)]$

* DFT of gaussian noise is another gaussian process. \therefore this property of gaussian noise makes it most of only used noise model

* eg. where gaussian model is used are electronic ckt's noise, sensor noise due to low illumination or high temp, poor illumination

② Rayleigh noise



* The PDF of Rayleigh noise is given by

$$P(z) = \begin{cases} \frac{2}{b} (z-a) e^{-\frac{(z-a)^2}{b}} & ; \text{for } z \geq a \\ 0 & ; \text{for } z < a \end{cases} \rightarrow \textcircled{2}$$

* The mean & variance of this density are given by

$$\bar{z} = a + \sqrt{\pi b/4} \rightarrow \textcircled{3}$$

$$\sigma^2 = \frac{b(4-\pi)}{4} \rightarrow \textcircled{4}$$

* ~~displacement is not from~~

* fig ② shows the PDF of Rayleigh density

* note that curve doesn't start from origin

& is not symmetrical w.r.t centre of

curve
* The ^{basic shape of} Rayleigh density is skewed to the right. & ∴ can be useful for approximating skewed histograms

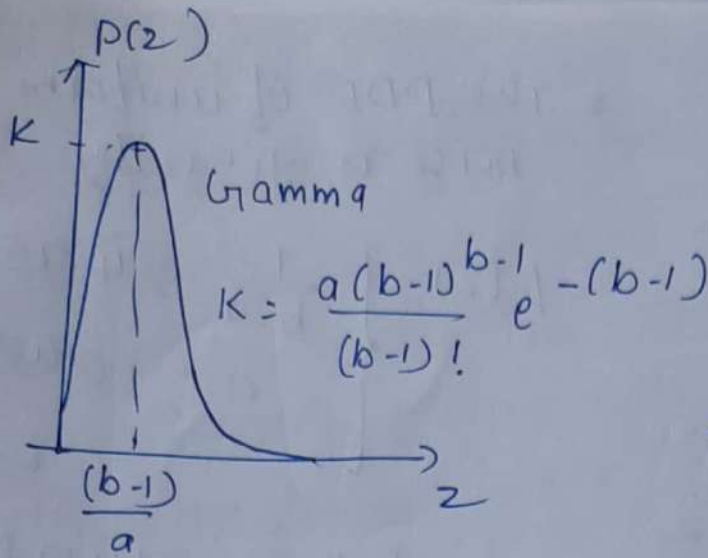
③ Erlang (Gamma) noise

The PDF of Erlang noise is given by

$$P(z) = \begin{cases} \frac{a^b z^{b-1}}{(b-1)!} e^{-az} & ; \text{for } z \geq 0 \\ 0 & ; \text{for } z < 0 \end{cases} \rightarrow \textcircled{5}$$

a & b all +ve integers $a > 0$ & $b = \text{+ve integer}$
! \Rightarrow factorial

b) (c)



* The mean & variance of this density are given by

$$\bar{z} = \frac{b}{a} \rightarrow (6)$$

$$\sigma^2 = \frac{b}{a^2} \rightarrow (7)$$

* eq (5) is referred to as the gamma density, ~~strictly~~ strictly speaking this is correct only when the denominator is the gamma fun $\Gamma(b)$.

* when the denominator is as shown, the density is more appropriately called the Erlang density

(4) Exponential noise

The PDF of exponential noise is given by

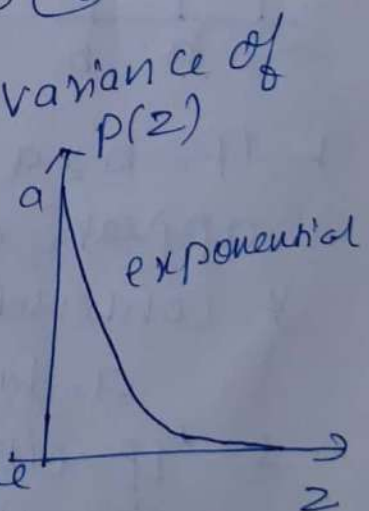
$$p(z) = \begin{cases} ae^{-az} & ; \text{ for } z \geq 0 \\ 0 & ; \text{ for } z < 0 \end{cases} \rightarrow (8)$$

where $a > 0$, this density fun all

$$\bar{z} = \frac{1}{a} \rightarrow (9)$$

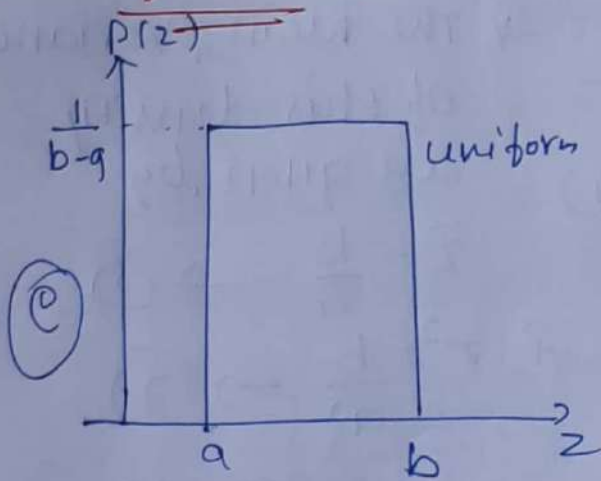
$$\sigma^2 = \frac{1}{a^2} \rightarrow (10)$$

this PDF is a special case of the Erlang PDF, with $b=1$ & shown in fig (d)



(d)

5) Uniform noise



* The PDF of uniform noise is given by

$$p(z) = \begin{cases} \frac{1}{b-a} & ; \text{ if } a \leq z \leq b \\ 0 & ; \text{ otherwise} \end{cases} \rightarrow (11)$$

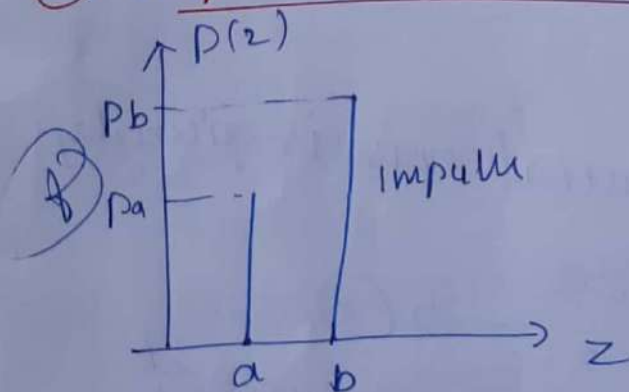
* The mean of this density fun is given by

$$\bar{z} = \frac{a+b}{2} \rightarrow (12)$$

& its variance by

$$\sigma^2 = \frac{(b-a)^2}{12} \rightarrow (13)$$

6) Impulse (salt & pepper) noise



* The PDF of (bipolar) impulse noise is given by

$$p(z) = \begin{cases} P_a & , \text{ for } z=a \\ P_b & ; \text{ for } z=b \\ 0 & ; \text{ otherwise} \end{cases} \rightarrow (14)$$

↳ If $b > a$, intensity b will appear as a light dot in the image

* Conversely, if level a will appear like a dark dot

↳ If either P_a or P_b is zero, the impulse noise is called unipolar

* If neither probability is zero, if they are approximately equal, impulse noise values will resemble salt & pepper granules randomly distributed over the image

* For this reason, bipolar impulse noise is also called as salt & pepper noise

* Generally a & b values are saturated (very high or very low value), resulting in +ve impulses being white (salt) & negative impulses being black (pepper)

* If $p_a = 0$ & only p_b exists i.e., called pepper noise as only black dots are visible

* If $p_b = 0$ & only p_a exists, this is called as 'salt noise' as only white dots are visible on the image as noise

* Impulse noise occurs when quick transitions happen, such as faulty switching takes place

* Noise parameters are generally estimated based on histogram of small flat area of noisy image

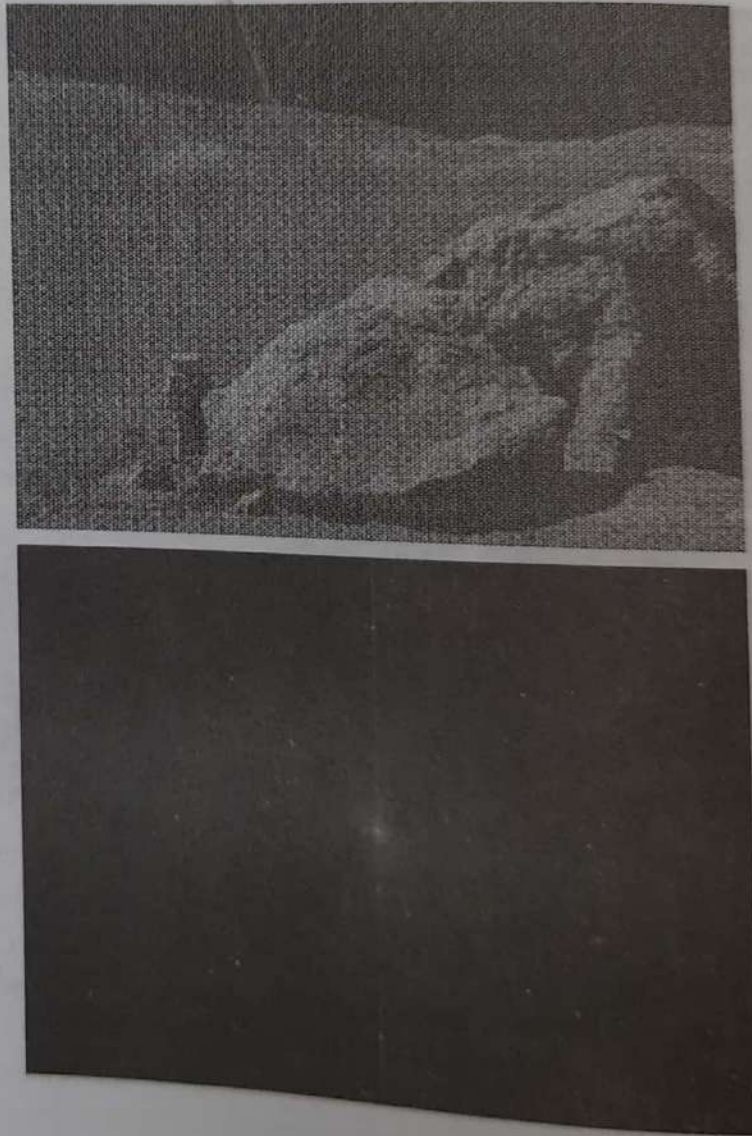
* Each pixel in an image has a probability of $P/2$ ($0 < P < 1$) being contaminated by either white dot (salt) or a black dot (pepper)

fig 5.4

a
b

FIGURE 5.5

(a) Image corrupted by sinusoidal noise.
(b) Spectrum (each pair of conjugate impulses corresponds to one sine wave).
(Original image courtesy of NASA.)



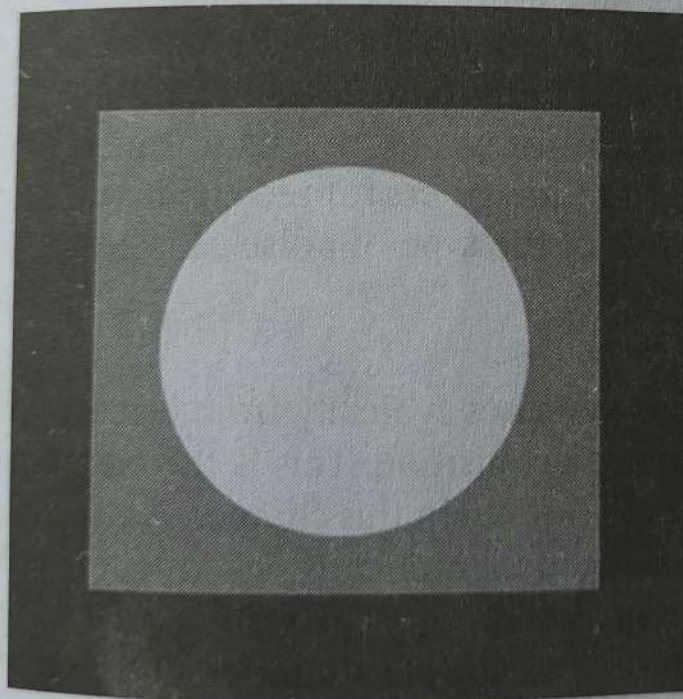


FIGURE 5.3 Test pattern used to illustrate the characteristics of the noise PDFs shown in Fig. 5.2.

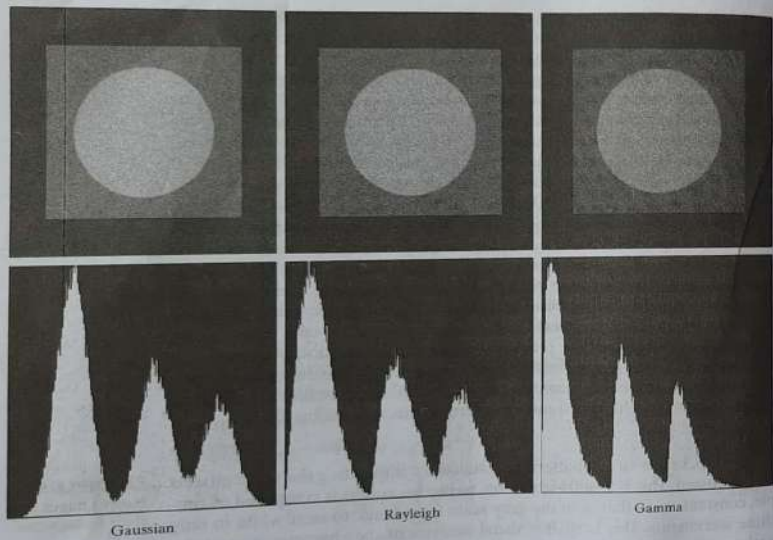


FIGURE 5.4 Images and histograms resulting from adding Gaussian, Rayleigh, and gamma noise to the image in Fig. 5.3.

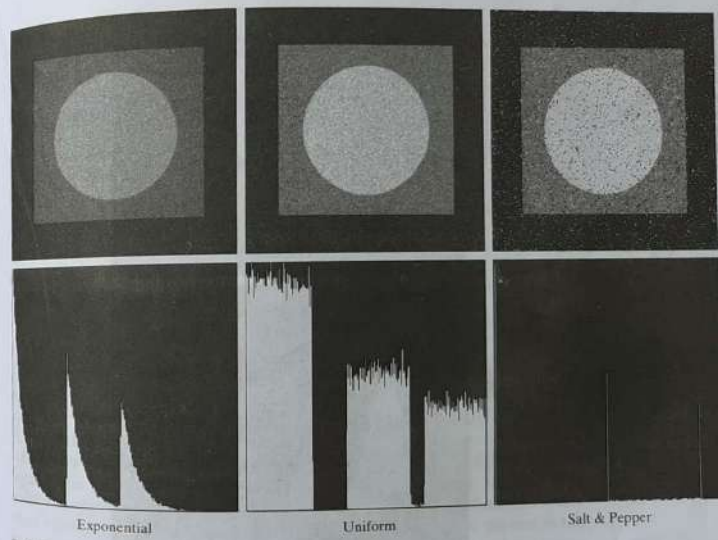


FIGURE 5.4 (Continued) Images and histograms resulting from adding exponential, uniform, and salt-and-pepper noise to the image in Fig. 5.3.

5.2.3 Periodic Noise

* Periodic noise in an image arises typically from electrical or electromechanical interference during image acquisition

* This is the only type of spatially dependent noise

* Periodic noise can be reduced significantly via frequency domain filtering

* A strong periodic noise can be seen in frequency domain as equispaced dots at a particular radius around the centre (origin) of the spectrum

* For ~~ex~~ if the image is severely corrupted by (spatial) sinusoidal ~~noise~~ noise of various frequencies

* The FT of a pure sinusoid is a pair of conjugate impulses located at the conjugate freq's of the sine wave

* Thus if the amplitude of a sine wave in the spatial domain is strong, then we would expect to see a pair of impulses for each sine wave in the spectrum.

5-2.4 Estimation of Noise Parameters

- * The parameters of periodic noise are estimated by inspection of the Fourier spectrum of the image.
- ∇ Periodic noise tends to produce freq spikes that often can be detected by visual analysis.
- * Another approach is to attempt to infer the periodicity of noise components directly from the image, this is possible for simplistic cases.
- * Automated analysis is possible in situations in which the noise spikes are either ~~exp~~ exceptionally pronounced or when knowledge is available about the general location of the freq components of the interference.
- ∇ The parameters of noise PDF's may be known partially from sensor specification but it is often required to estimate them ~~for~~ for a particular imaging arrangement.
- If the imaging system is available, then one simple way to study the characteristics of system noise is to capture, a set of images of "flat" environment and estimate the parameters of the PDF from small patches of reasonably constant background intensity.

* The simplest use of the data from the image strips is for calculating the mean & Variance of intensity levels.

* Consider a strip (subimage) denoted by 's' &

Let $P_s(z_i)$ where $i = 0, 1, 2 \dots L-1$, denote the probability estimates (normalized histogram values) of the intensities of the pixels. where $L = \underline{\text{no}}$ of possible intensities in the entire image.

* The mean & Variance of the pixels 's' can be calculated as

$$\bar{z} = \sum_{i=0}^{L-1} z_i P_s(z_i) \longrightarrow (15)$$

$$\sigma^2 = \sum_{i=0}^{L-1} (z_i - \bar{z})^2 P_s(z_i) \longrightarrow (16)$$

* The shape of the histogram identifies the ~~closest~~ closest PDF match

* If the shape is approximately Gaussian, then mean & variance are used.

* For other shapes, mean & variance are used to solve for the parameters a & b

* For impulse noise, the heights of the peaks corresponding to black & white pixels are the estimates of P_a & P_b .

Restoration in the presence of noise only - spatial filtering

* When only degradation present in image is noise then

$$g(x,y) = f(x,y) + \eta(x,y) \rightarrow (1)$$

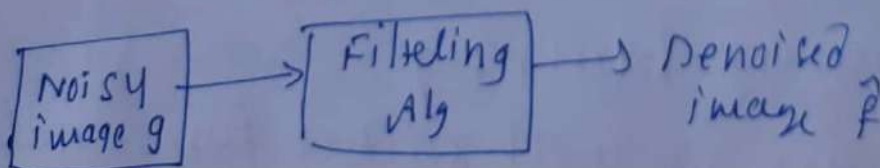
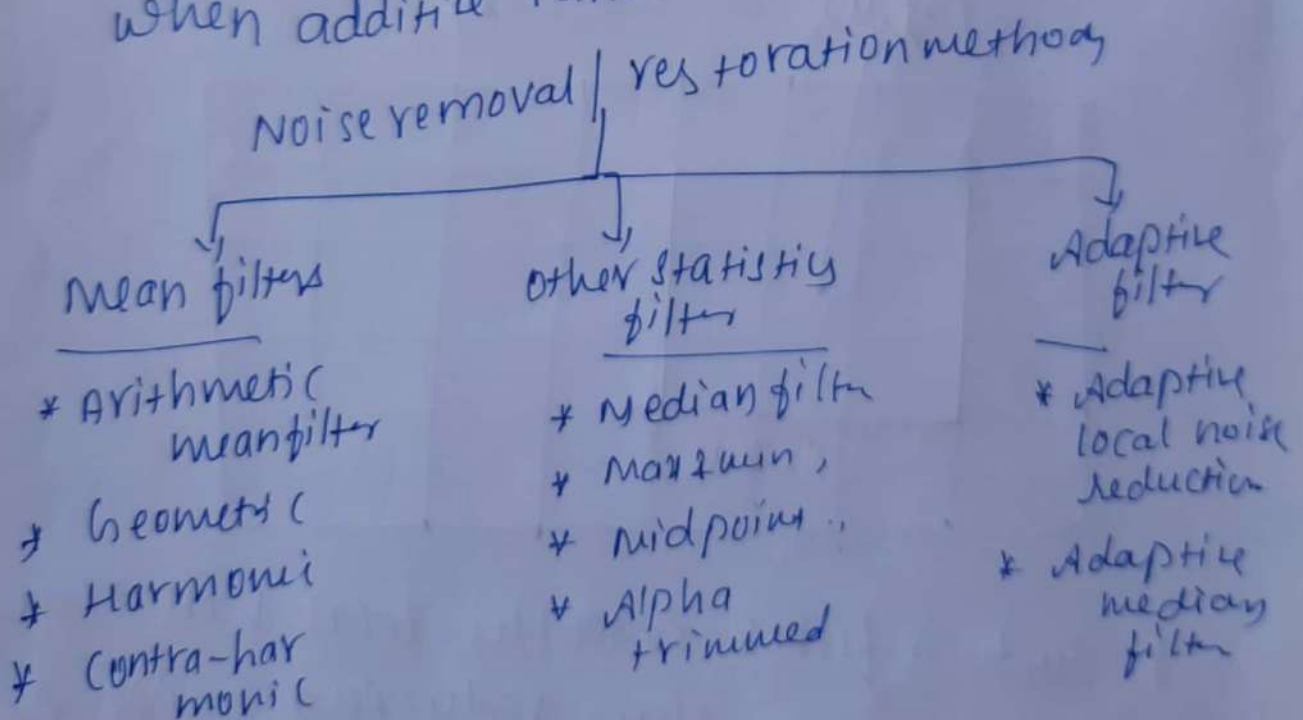
$$G(u,v) = F(u,v) + N(u,v) \rightarrow (2)$$

* noise term is unknown so subtracting them from $g(x,y)$ or $G(u,v)$ i.e.,

$$f(x,y) = g(x,y) - \eta(x,y) \text{ is not a}$$

realistic option.

* Thus spatial filtering is used when additive random noise is present



mean filters

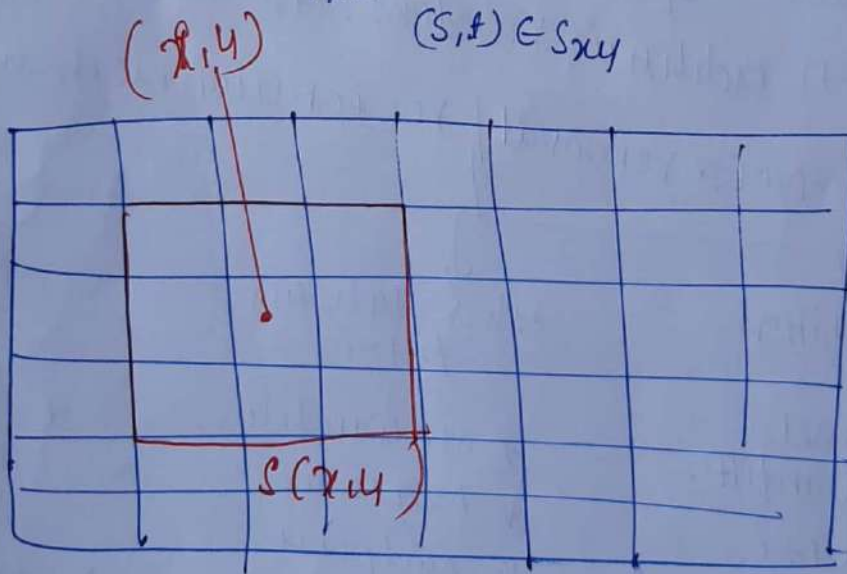
(i) Arithmetic mean filter

* simplest form of mean filter

* $S_{xy} \Rightarrow$ set of coordinates in a rectangular sub image window (neighborhood) of size $m \times n$, centered at a point x, y .

* mean filter computes avg value of the corrupted image $g(x, y)$ in the area defined by S_{xy}

$$* \hat{f}(x, y) = \frac{1}{mn} \sum_{(s, t) \in S_{xy}} g(s, t) \rightarrow \textcircled{i}$$



* such a filter smooths local variations in an image thus reducing noise & introducing blurring.

* This filter is well suited for random noise like Gaussian, uniform noise

Thus new value

at (x,y) in image = mean {g(s,t)}
6.12

$$= \frac{1}{9} [30 + 10 + 20 + 10 + 250 + 25 + 20 + 25 + 3] = 46.7 \approx 47$$

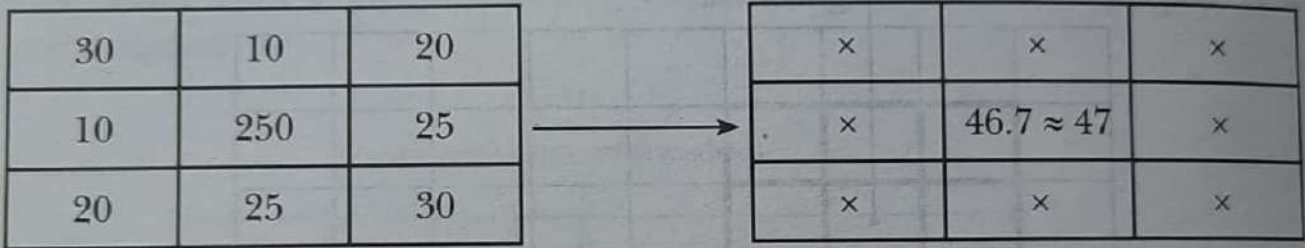
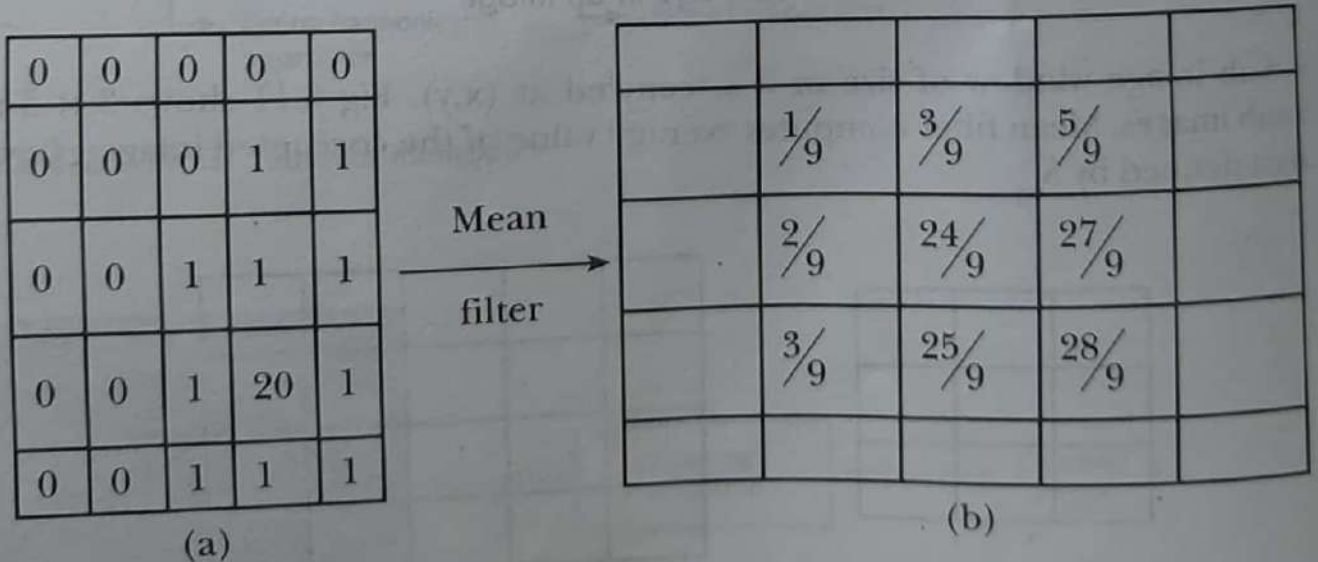


FIGURE 6.12: Example of mean filtering

Example 6.2

Show effect of 3 × 3 mean filter on a simple image in fig 6.13 (a) and (c)

Solution:



(a)

(b)

b. Geometric Mean Filter

Restored image by a geometric mean filter is given by

$$\hat{f}(x,y) = \left[\prod_{(s,t) \in S_{xy}} g(s,t) \right]^{1/mn} \quad (6.20)$$

Thus new value at (x,y) in image 6.15 = Geometric mean $[g(s,t)]_{s,t \in S_{xy}}$

$$= [30 \times 10 \times 20 \times 10 \times 250 \times 25 \times 20 \times 25 \times 30]^{1/81} = 1.436$$

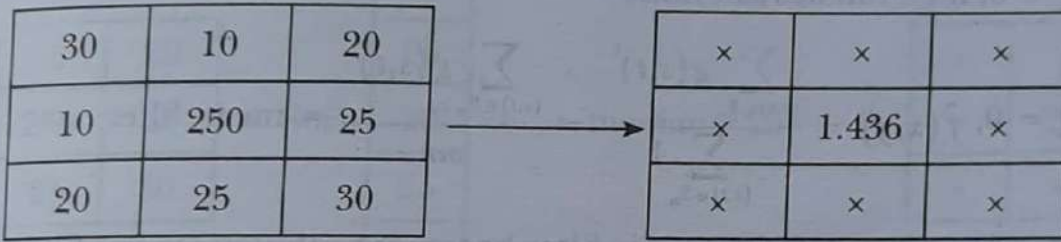


FIGURE 6.15: Example of geometric mean filter

Geometric mean filter achieves less smoothing as compared to the arithmetic mean filters but it preserves more details.

c. Harmonic Mean Filter

Harmonic mean filtered image is given by,

$$\hat{f}(x,y) = \frac{mn}{\sum_{(s,t) \in S_{xy}} \frac{1}{g(s,t)}} \quad (6.21)$$

Thus new value at (x,y) in image 6.16 = Harmonic mean $[g(s,t)]_{s,t \in S_{xy}}$

$$= \frac{9}{\frac{1}{30} + \frac{1}{10} + \frac{1}{20} + \frac{1}{10} + \frac{1}{250} + \frac{1}{25} + \frac{1}{20} + \frac{1}{25} + \frac{1}{30}}$$

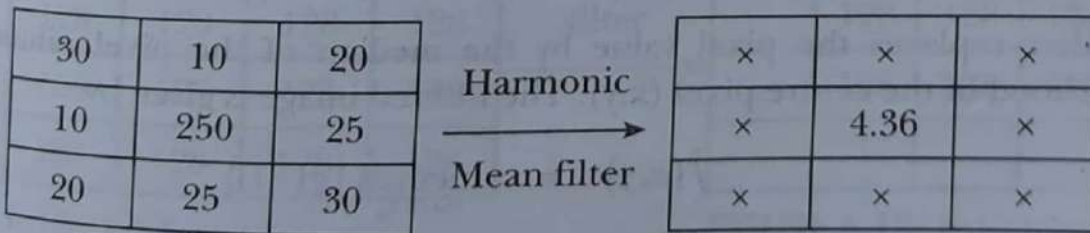


FIGURE 6.16: Example of Harmonic mean filter

Harmonic mean works well for salt noise and gaussian noise, but fails for pepper noise.

d. Contra Harmonic Mean Filter

Restored image from contra harmonic filter is

$$\hat{f}(x, y) = \frac{\sum_{(s,t) \in S_{xy}} g(s, t)^{Q+1}}{\sum_{(s,t) \in S_{xy}} g(s, t)^Q} \quad (6.22)$$

Here, Q is the order of the filter. This filter reduces salt & pepper (impulse) noise.

For $Q > 0$, it eliminates pepper noise.

For $Q < 0$, it eliminates salt noise.

$$\text{For } Q = 0, \hat{f}(x, y) = \frac{\sum_{(s,t) \in S_{xy}} g(s, t)^1}{\sum_{(s,t) \in S_{xy}} 1} = \frac{\sum_{(s,t) \in S_{xy}} g(s, t)^1}{mn} = \text{mean filter}$$

Thus for $Q = 0$, contra-harmonic filter becomes arithmetic mean filter.

$$\begin{aligned} \text{For } Q = -1, \hat{f}(x, y) &= \frac{\sum_{(s,t) \in S_{xy}} g(s, t)^0}{\sum_{(s,t) \in S_{xy}} \frac{1}{g(s, t)}} = \frac{mn}{\sum_{(s,t) \in S_{xy}} \frac{1}{g(s, t)}} \\ &= \text{Harmonic mean filter} \end{aligned}$$

Thus, for $Q = -1$, it becomes harmonic mean filter. Q has to be chosen properly. Wrong Q gives disastrous results.

Harmonic mean works well for salt noise and gaussian noise, but fails for pepper noise.

d. Contra Harmonic Mean Filter

Restored image from contra harmonic filter is

$$\hat{f}(x, y) = \frac{\sum_{(s,t) \in S_{\eta}} g(s, t)^{Q+1}}{\sum_{(s,t) \in S_{\eta}} g(s, t)^Q} \quad (6.22)$$

Here, Q is the order of the filter. This filter reduces salt & pepper (impulse) noise.

For $Q > 0$, it eliminates pepper noise.

For $Q < 0$, it eliminates salt noise.

$$\text{For } Q = 0, \hat{f}(x, y) = \frac{\sum_{(s,t) \in S_{\eta}} g(s, t)^1}{\sum_{(s,t) \in S_{\eta}} 1} = \frac{\sum_{(s,t) \in S_{\eta}} g(s, t)^1}{mn} = \text{mean filter}$$

Thus for $Q = 0$, contra-harmonic filter becomes arithmetic mean filter.

$$\begin{aligned} \text{For } Q = -1, \hat{f}(x, y) &= \frac{\sum_{(s,t) \in S_{\eta}} g(s, t)^0}{\sum_{(s,t) \in S_{\eta}} \frac{1}{g(s, t)}} = \frac{mn}{\sum_{(s,t) \in S_{\eta}} \frac{1}{g(s, t)}} \\ &= \text{Harmonic mean filter} \end{aligned}$$

Thus, for $Q = -1$, it becomes harmonic mean filter. Q has to be chosen properly. Wrong Q gives disastrous results.

6.5.2 Order Statistics Filter

Order statistics filter are **non-linear** spatial filters. Its response is based on ordering the pixels contained in sub - image area. Filter is implemented by replacing the centre pixel value with the value determined by the ranking result. As shown in table 6.2, four types of order statistics filters are discussed here.

a. Median Filter

Median filter replaces the pixel value by the median of the pixel values in the neighbourhood of the centre pixel (x, y) . The filtered image is given by

$$\hat{f}(x, y) = \text{median}_{(s,t) \in S_{\eta}} \{g(s, t)\} \quad (6.23)$$

Fig 6.17 shows the procedure of applying 3×3 median filter on an image. As impulse

noise appears as black (minimum) or white (maximum) dots, taking median effectively suppresses the noise. It is clear from example 6.3, fig 6.18 (a,b) that if noise strength is low in noisy image, output is completely clean. But if noise strength is more (more number of noisy pixels in the image), output is not completely noise free as can be seen in fig 6.18 (c,d)

Thus, median filter provides excellent results for salt and pepper noise with considerably less blurring than linear smoothing filter of the same size. These filters are very effective for both bipolar and unipolar noise. But, for higher noise strength, it affects clean pixels as well and a noticeable edge blurring exists after median filtering.

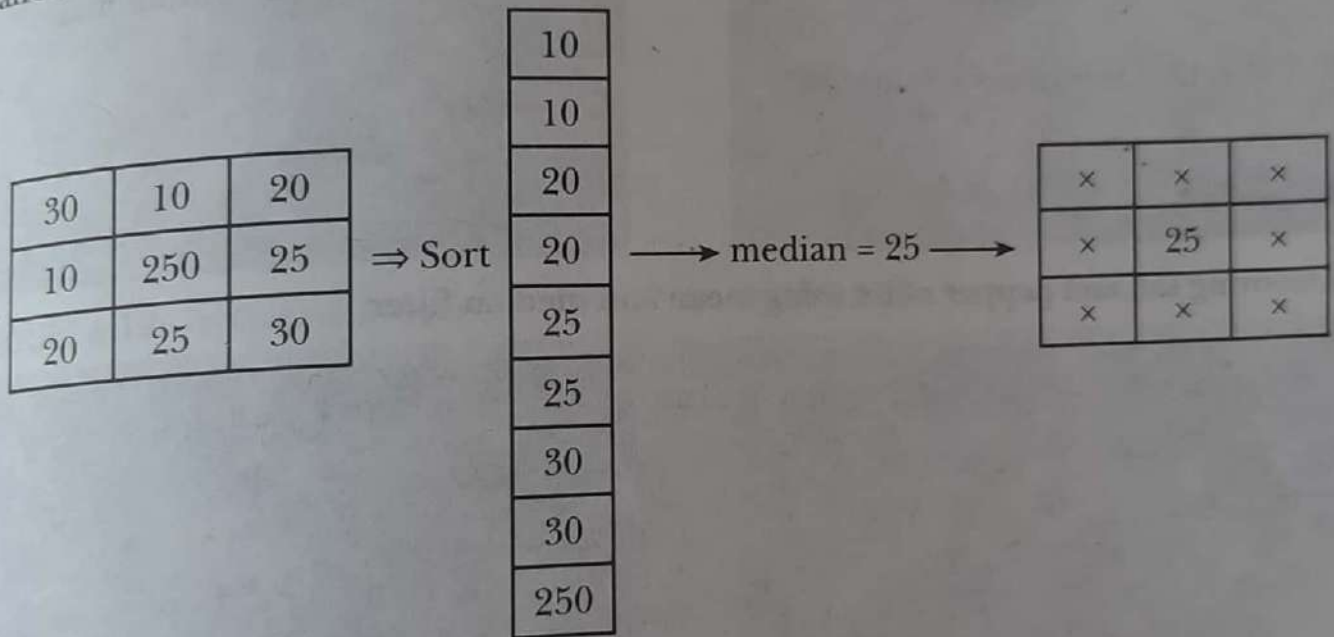


FIGURE 6.17: Example of median filtering

Example 6.3

Example 6.3 show the effect of 3×3 median filter on a simple image in fig 6.18 (a and c).

Solution

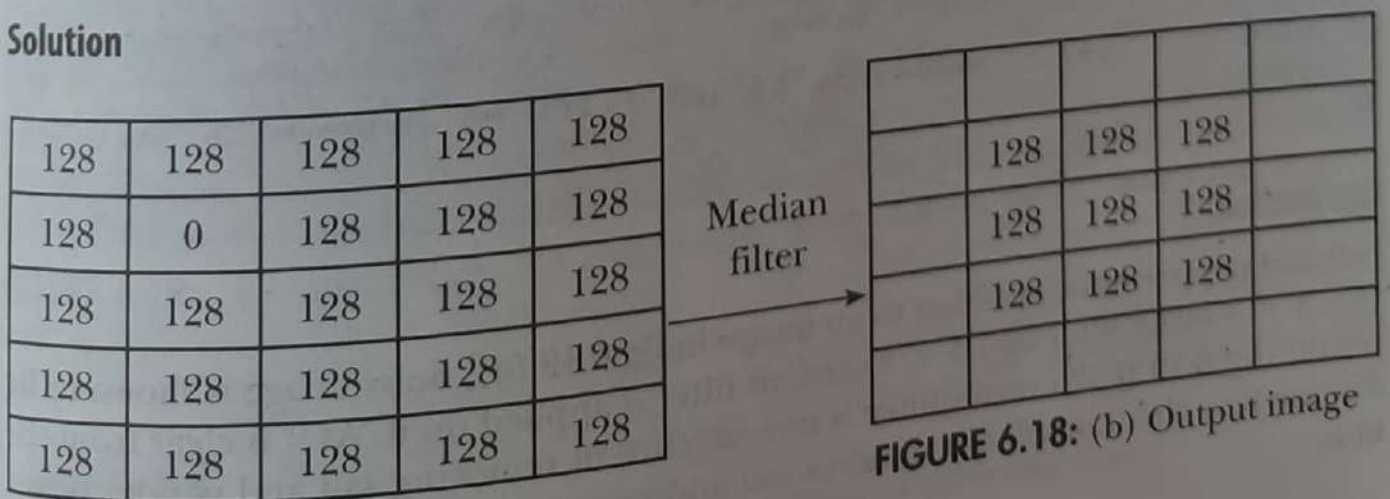


FIGURE 6.18: (a) Input image

FIGURE 6.18: (b) Output image

128	128	128	0	128
128	0	128	128	128
0	0	255	255	255
0	0	128	255	0
128	0	0	0	128

Median filter

	128	128	255	
	0	128	255	
	0	0	128	

FIGURE 6.18: (c) Input image

FIGURE 6.18: (d) Output image

FIGURE 6.18: Example of median filter



FIGURE 6.19: (a) Original image



FIGURE 6.19: (b) Noisy image



FIGURE 6.19: (c) Filtered image with mean filter



FIGURE 6.19: (d) Filtered image with median filter

FIGURE 6.19: (a) Input image (b) noisy image, image filtered by (c) mean (d) Median filter

Matlab Ex 6.4

Explanation

Salt and pepper noise with density of 0.3 is added to an image. The noisy image (fig 6.20 (a)) is filtered using 3×3 , 5×5 and 7×7 , median filter. The results in fig 6.20 b,c,d show that 3×3 median filter is unable to remove the noise completely as the noise density is high. But 5×5 and 7×7 median filters remove noise completely but some distortions are seen specially in fig (d).



FIGURE 6.20: (a) Noisy image



FIGURE 6.20: (b) Filtered image with 3×3 median filter



FIGURE 6.20: (c) Filtered image with 5 × 5 median filter



FIGURE 6.20: (d) Filtered image with 7 × 7 median filter

FIGURE 6.20: (a) Noisy image, image filtered by median filter of size (b) 3 × 3 (c) 5 × 5 (d) 7 × 7

b. Max and Min Filter

The restored image from a max filter is given by

$$\hat{f}(x, y) = \max_{(s,t) \in S_y} \{g(s, t)\} \tag{6.23}$$

Thus new value at (x, y) in fig 6.21 = $\max_{s,t \in S_y} \{g(s, t)\}$ = $\max \{ 30, 10, 20, 10, 250, 25, 20, 25, 30 \}$
 = 250

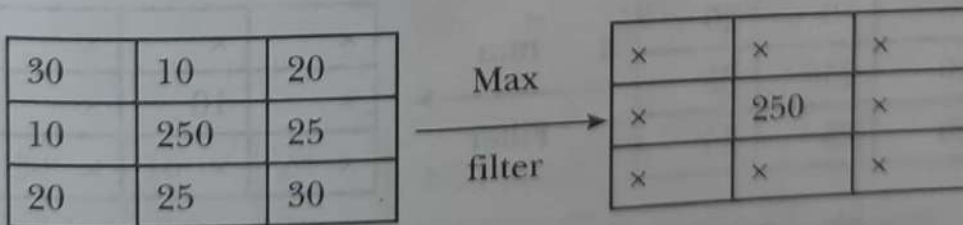


FIGURE 6.21: Example of max filter

Example 6.3

Show the effect of 3 × 3 max on image in fig 6.22 (a)

Solution

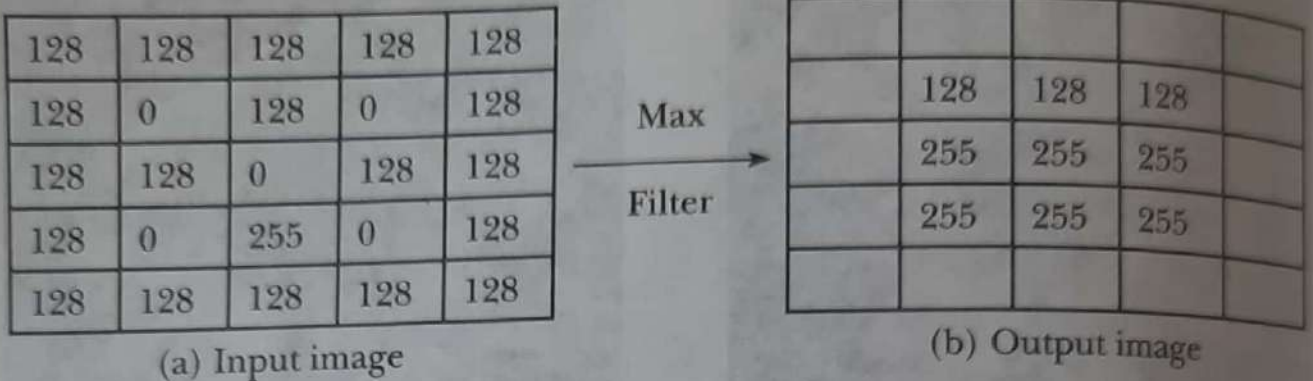


FIGURE 6.22: Example of max filter

This filter is useful in finding the brightest points in an image, therefore it is effective against pepper noise. Problem occurs when both salt & pepper noise is there and there are more noisy pixels. In this case, even non-noisy pixel values are also replaced by salt noise values. As it is clear from example 6.3, 128 pixel value is non-noisy.

0 → pixel affected by pepper noise, 255 → pixel affected by salt noise

After the application of filter in fig 6.22 (b), only the first row values are non-noisy, other rows have noise values (255).

Image restored from a **min filter** is given by

$$\hat{f}(x,y) = \min_{(s,t) \in S_y} \{g(s,t)\} \tag{6.24}$$

Thus new value at (x,y) in fig 6.23 = $\min_{s,t \in S_y} \{g(s,t)\}$ = $\min \{ 30, 10, 20, 10, 250, 25, 20, 25, 30 \}$
 = 10

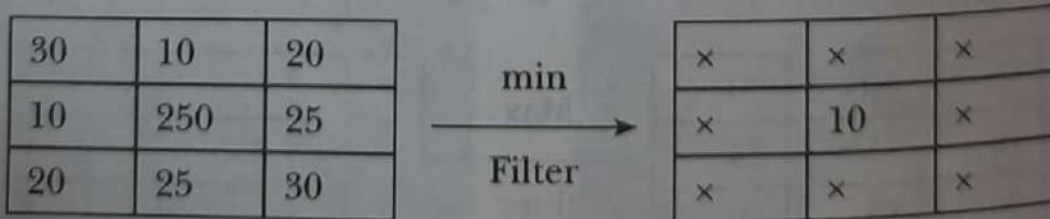


FIGURE 6.23: Example of min filter

Example 6.4

Show the effect of 3 × 3 min filter on image in fig 6.24 (a).

Solution

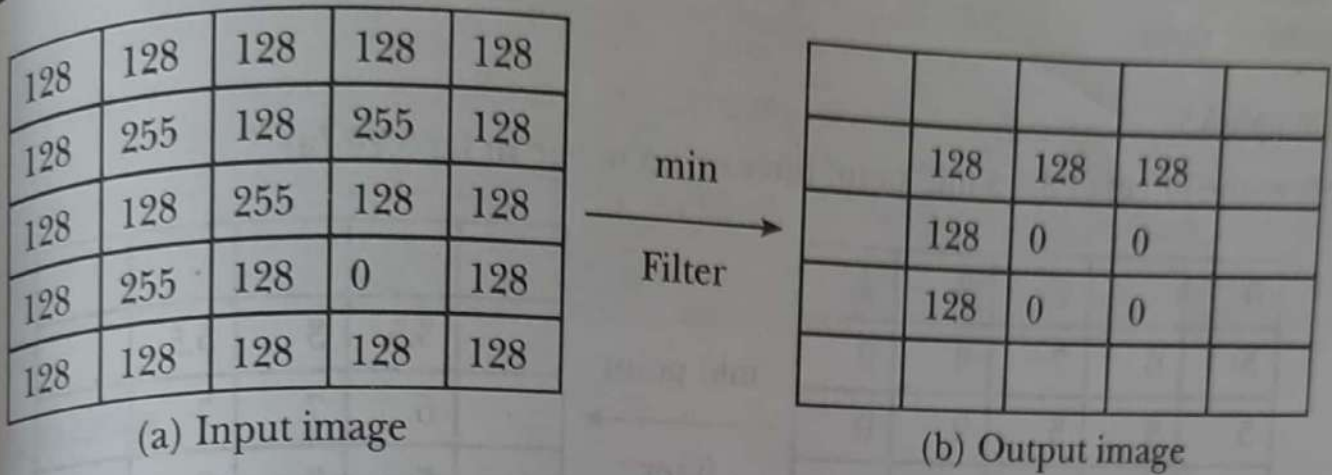


FIGURE 6.24: Example of min filter

In the above example 6.4, 128 pixel is non noisy value

255 → pixel affected by salt noise, 0 → pixel affected by pepper noise

In the output Fig 6.24 (b) first row has non noisy pixel values, where as 2nd and 3rd row has pepper noise values a output.

This filter is useful in finding darkest points in an image, it is effective against only salt noise. The problem occurs when both salt and pepper noise is present in an the image, even non-noisy pixel values are replaced by pepper noise.

c. Midpoint Filter

This filter computes the mid point of maximum and minimum values of intensities.

$$\hat{f}(x,y) = \frac{1}{2} \left[\max_{(s,t) \in S_y} \{g(s,t)\} + \min_{(s,t) \in S_y} \{g(s,t)\} \right] \quad (6.25)$$

The new value at (x,y) in image in fig 6.25 = $\frac{1}{2} [\max \{g(s,t)\} + \min \{g(s,t)\}]$
 = $\frac{1}{2} [250 + 10] = 130$

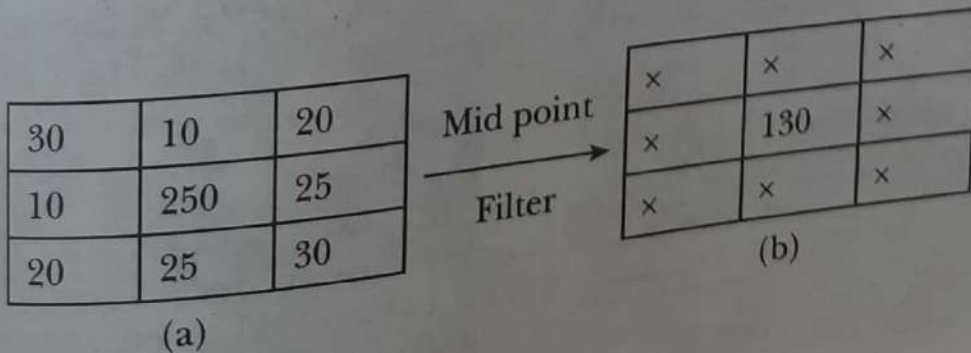


FIGURE 6.25: Example of mid point filter

This filter is a combination of order statistics and averaging. It works well for Gaussian uniform noise.

Example 6.5

Show the effect of 3×3 mid point filter on an image in fig 6.26 (a)

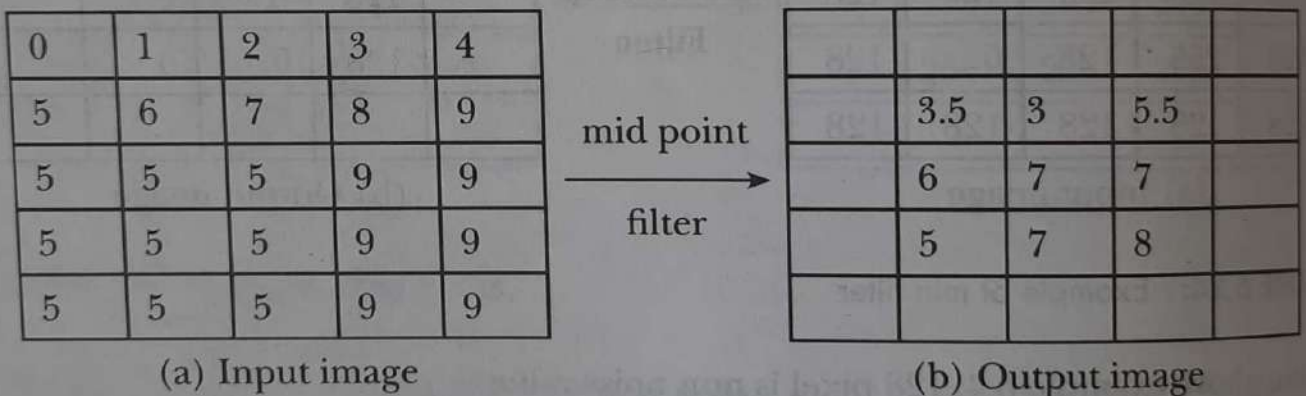


FIGURE 6.26: Example of mid point filter

Explanation

Salt and pepper noise is added to an input image shown in fig 6.27 (a). Median filter is implemented by `ordfilt2` command by choosing 5 (center value in $3 \times 3 = 9$ pixels). Max filter is implemented by choosing 9th (highest value in 9 pixel) and min filter is implemented by choosing 1 (minimum value in 9 pixels). Mid point filter is implemented by taking average of min and max filter values. As it is clear from the output (fig 6.27 (c)) that, median filter completely removes salt and pepper noise. But max filter fig (d) removes only pepper noise (black dots) but salt noise remains and same distortions in terms of salt noise is added in the output (fig d). Similarly, min filter removes only salt noise (white dots) completely but pepper noise remains and same distortions in terms of pepper noise is added in the output (fig e). In case of mid point filter, noise values and other pixel values are also replaced by average value(125). Therefore lot of grey pixels are seen in the image (fig f).



FIGURE 6.27: (a) Original image



FIGURE 6.27: (b) Noisy image



FIGURE 6.27: (c) Filtered image using median filter



FIGURE 6.27: (d) Filtered image using max filter



FIGURE 6.27: (e) Filtered image using min filter



FIGURE 6.27: (f) Filtered image using mid point filter

FIGURE 6.27: Original image (b) noisy image, filtered image using (c) median (d) max (e) min (f) mid point filter

d. Alpha-trimmed Mean Filter

Let there be $m \times n$ pixels in neighbourhood S_{xy} . Remove $d/2$ lowest and $d/2$ highest grey

level valued pixels. Number of remaining pixels are $(mn - d)$ which are represented by $g_r(s,t)$. Restored image by alpha - trimmed mean filter is given by

$$\hat{f}(x,y) = \frac{1}{mn - d} \sum_{(s,t) \in S_r} g_r(s,t) \tag{6.26}$$

Here d can range from 0 to $mn - 1$.

For $d = 0$, alpha trimmed filter = Arithmetic filter

For $d = \frac{mn - 1}{2}$ alpha trimmed filter = median filter

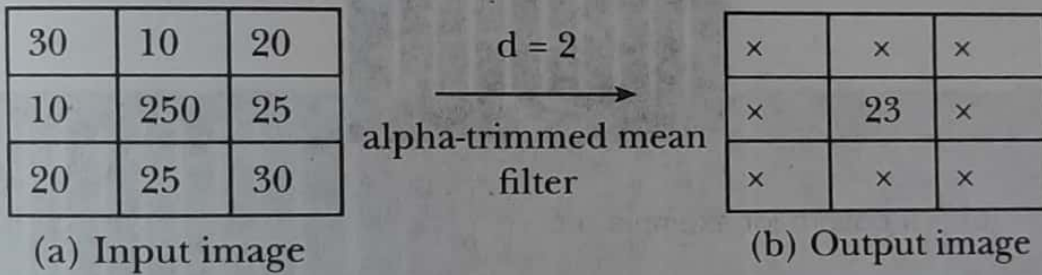


FIGURE 6.28: Example of alpha-trimmed filter with $d = 2$

Let $d = 2$, we remove $\frac{d}{2} = 1$ min value (10 in this case) and $\frac{d}{2} = 1$ max value

(250 in this case) and then the value at (x,y) in image in fig 6.28 (a) = $\frac{1}{(9 - 2)}$
 $[30 + 10 + 20 + 25 + 20 + 25 + 30] = 22.85 \approx 23$

For $d = 4$, remove 2 min (10, 10 in this case) and 2 max (250, 30 in this case) values and

the new value at (x,y) in image fig 6.28 (c) = $\frac{1}{(9 - 4)}$ $[30 + 20 + 25 + 20 + 25] = 24$

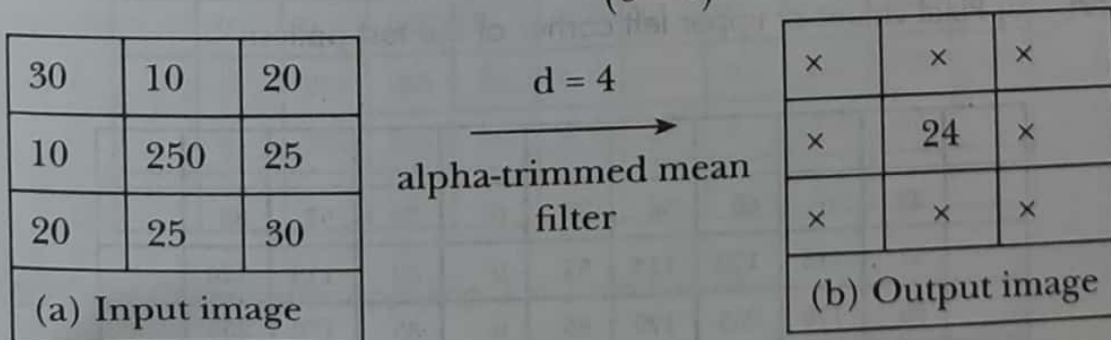


FIGURE 6.28: Example of alpha-trimmed filter with $d = 4$

This filter removes a combination of salt & pepper and Gaussian noise.

Adaptive filters

- * Mean filters & order statistics filters are not capable of distinguishing noise from pixel values.
- * ~~Mean~~
- * These filters replace all pixel values with mean/median which causes distortions
- * Adaptive filters are capable of superior performance because its behaviour adapts to the change in characteristics of image area being filtered.
- * This gives the complexity of the filter

(a) Adaptive Local Noise Reduction Filter

- * This filter changes its action based on statistical properties of the pixels in region S_{xy} .
- * The simplest statistical measure of a random variables are its mean & variance.
- * These are the quantities closely related to appearance of an image
- * Mean gives a measure of avg intensity in the region over which mean is computed
- * Variance gives a measure of contrast in that region
- * These 2 parameters are chosen to change the behavior of adaptive local noise reduction filters

* filter is operated on a local region S_{xy} .

* The response of the filter at any point (x, y) on which the region is centered is to be based on 4 quantities

(i) $g(x, y) \rightarrow$ value of the noisy image at (x, y)

(ii) $\sigma_n^2 \Rightarrow$ variance of noise corrupting $f(x, y)$ to form $g(x, y)$

(iii) $m_L =$ Local mean of the pixels in S_{xy} .

(iv) $\sigma_L^2 =$ Local variance of the pixels in S_{xy} .

* Behaviour of noise reducing filter should be as follows

(1) If $\sigma_n^2 = 0$; the filter should return simply the value of $g(x, y)$. [in case of non-noise]

$$\therefore g(x, y) = \hat{f}(x, y).$$

(2) * If the local variance is high relative to σ_n^2 , the filter should return a value close to $g(x, y)$.

* A high variance typically is associated with edges & these should be preserved.

(3) If the 2 variances are equal, we want the filter to return the arithmetic mean value of the pixels in S_{xy} .

* This condition occurs when the local area has the same properties as the overall image & the local noise is to be reduced by averaging.

* Adaptive filter is given by

$$\hat{f}(x,y) = g(x,y) - \frac{\sigma_n^2}{\sigma_L^2} [g(x,y) - m_L]$$

σ_n^2 is the only quantity that needs to be known or estimated is the variance of the overall noise σ_n^2 .

* The other parameters are computed from the pixels in S_{xy} , at each location (x,y) on which the filter window is centered.

* σ_L^2 & m_L is estimated for the selected area

(1) In case of no noise $\sigma_n^2 = 0$, then eq (1) becomes

$$\hat{f}(x,y) = g(x,y)$$

(2) In case of edges $\sigma_n^2 < \sigma_L^2$

$$\text{Then } \frac{\sigma_n^2}{\sigma_L^2} \approx 0$$

substituting this in eq (1)

$$\begin{aligned} \hat{f}(x, y) &= g(s, t) - 0 [g(s, t) - m_L] \\ &\approx g(s, t) \end{aligned}$$

(3) In case of presence of noise

$$\text{If } \sigma_n^2 = \sigma_L^2 \text{ then } \frac{\sigma_n^2}{\sigma_L^2} = 1$$

Then eq (1)

$$\hat{f}(x, y) = g(s, t) - [g(s, t) - m_L]$$

$$\hat{f}(x, y) = m_L$$

* Adaptive filter achieves approximately the same performance in noise reduction as the mean filter, but introduces less blurring than the mean filter.

* Thus adaptive filter yields considerably better results in overall performance at the price of filter complexity.

* If the noise variance is not estimated correctly, filter gives undesirable results.

* If estimated variance value is too low as compared to actual variance, noise correction will be smaller than it should be.

Δ If the estimate is too high, the noise correction is large & o/p image loose dynamic range

(b) Adaptive median filter

* median filter performs well if the spatial density of the impulse noise is not large i.e., impulse noise with smaller probability ($P_a \& P_b < 0.2$).

* Adaptive median filtering can handle impulse noise with probabilities larger than these

* Additional benefit of the adaptive median filter is it seeks to preserve detail while smoothing nonimpulse noise.

* main objective of the adaptive median filter is

* TO remove salt & pepper (impulse) noise

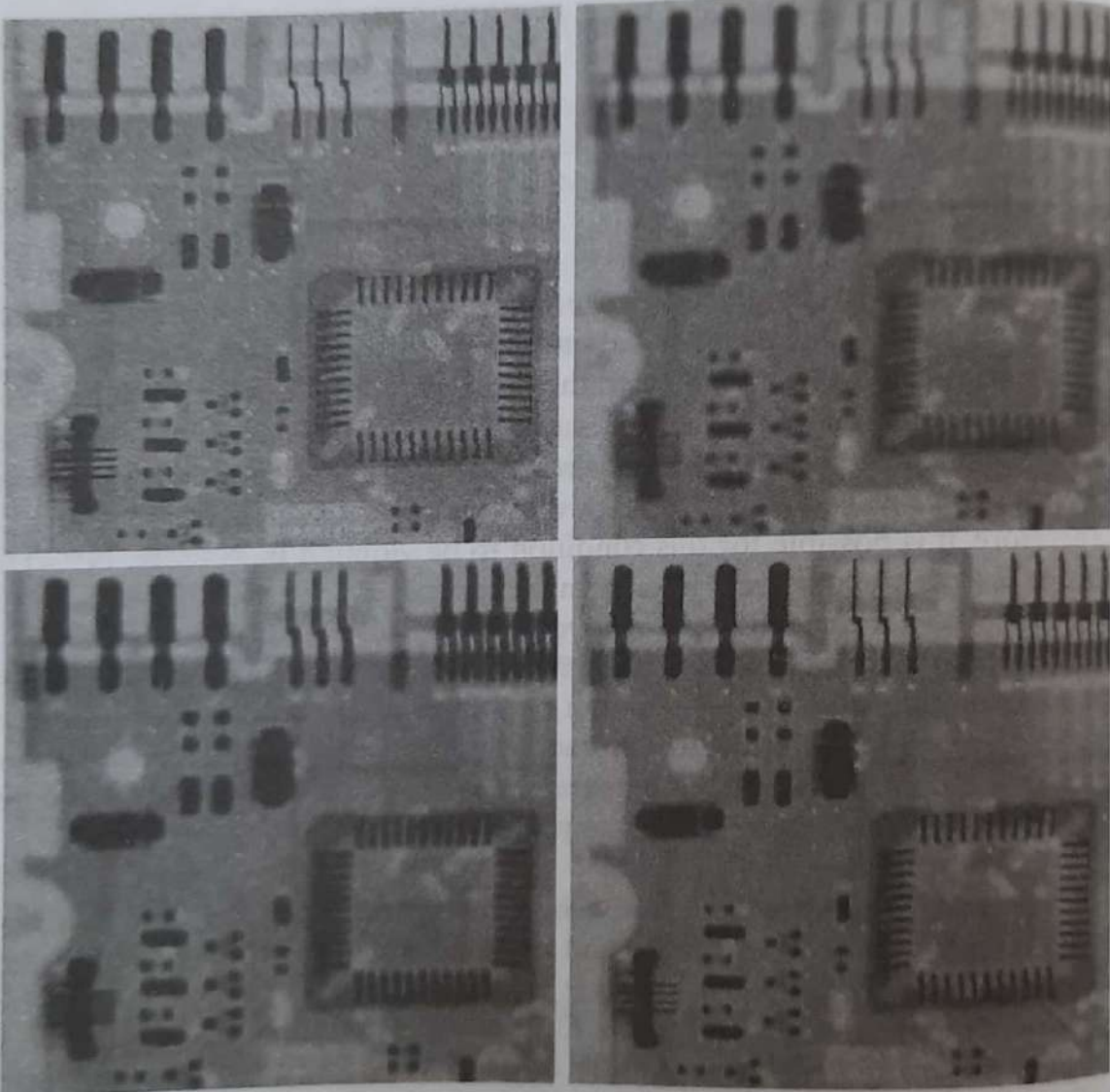
* TO smoothen noise ~~over~~ other than impulse noise

* TO reduce distortion of thinning & thickening of edges.

* adaptive median filter works in a rectangular window area S_{xy} unlike ~~as~~ like other filters

a b
c d

FIGURE 5.13
(a) Image corrupted by additive Gaussian noise of zero mean and variance 1000.
(b) Result of arithmetic mean filtering.
(c) Result of geometric mean filtering.
(d) Result of adaptive noise reduction filtering. All filters were of size 7×7 .



* unlike other filters, the adaptive ~~mean~~ median filter changes (increases) the size of S_{xy} during filter operation depending on certain conditions.

* o/p of the filter is a single value used to replace the value of the pixel at (x, y) , the point on which the window S_{xy} is centered at a given time

* variables used in this algorithm are
 S_{xy} = rectangular window whose size $m \times n$ during operation of adaptive filter centered at (x, y)

Z_{min} = min grey level value in S_{xy}

Z_{max} = max grey level value in S_{xy}

Z_{med} = median of grey values in S_{xy}

Z_{xy} = grey level at (x, y)

S_{max} = max allowed size S_{xy} .

* In the algorithm, Z_{min} & Z_{max} are considered to be "impulse like" noise

Algorithm of Adaptive median filter

Stage A

$$\left. \begin{aligned} \text{if } A_1 = Z_{\text{med}} - Z_{\text{min}} \\ A_2 = Z_{\text{med}} - Z_{\text{max}} \end{aligned} \right\} \text{(or) if } \cancel{Z_{\text{min}}} < Z_{\text{med}} < Z_{\text{max}}$$

if $A_1 > 0$ AND $A_2 < 0$ go to stage B

else increase the window size

if window size $\leq S_{\text{max}}$ repeat stage A

else output = Z_{med} .

Stage B

$$B_1 = Z_{xy} - Z_{\text{min}}$$

$$B_2 = Z_{xy} - Z_{\text{max}}$$

if $B_1 > 0$ AND $B_2 < 0$, o/p Z_{xy} [do not filter]

else output Z_{med}

* ~~Explanation~~

* To understand, the mechanism of this algorithm, the key is to keep in mind that it has 3 main purposes.

- to remove salt & pepper (impulsive) noise
- to provide smoothing of other noise that may not be impulsive
- to reduce distortion such as ~~considering~~ excessive thinning or thickening of object boundaries

* The values Z_{min} & Z_{max} are considered to be impulse-like noise component
[Z_{min} = pepper noise Z_{max} = salt noise]

* Z_{xy} = pixel value which is to be filtered.

* If Z_{xy} is either salt noise or pepper noise, it should be replaced by median value

→ In the region S_{xy} , centered at (x, y) find the median value Z_{med} .

* Stage A checks if Z_{med} is impulse or not.

* Stage A: If $Z_{med} \neq$ impulse, then go to stage B. In stage B, we check if Z_{xy} is impulse or not

→ Stage B: If $Z_{xy} \neq$ impulse, then there is no need to filter & OLP value is same as Z_{xy}

If $Z_{xy} =$ impulse ($Z_{xy} = Z_{min}$ || $Z_{xy} = Z_{max}$),

then $olp =$ median value (which is not noisy checked at stage A).

→ thus here we are ensuring a noisy pixel.

(1) In case of non noisy pixel \Rightarrow no filter action should take place, $o/p = Z_{xy}$

(2) In case Z_{xy} is noisy, then it should be replaced by a non-noisy median value (if it is noisy stage A takes care).

* In case, the 1st statement in stage A fails, then Z_{med} is either salt noise or pepper noise.

then in this case Z_{med} can't be used to replace a noisy pixel Z_{xy} at ~~that~~ stage B.

* In stage B we ensure that median is never a noisy value

* To do this size of window is fixed & Z_{med} is tested again for $Z_{min} < Z_{med} < Z_{max}$

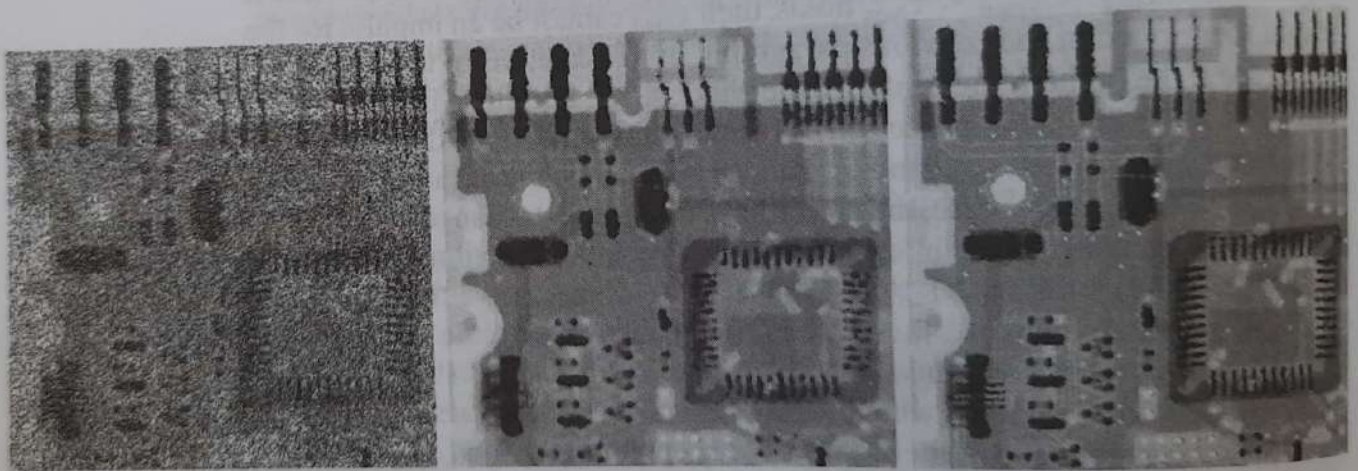
If the condⁿ is true, we go to stage B else again size of window 's' is fixed till it reaches S_{max} .

* If max limits of window is reached & still Z_{med} is noisy then $o/p = Z_{xy}$ we don't filter Z_{xy} & o/p is not Z_{med} which is also noisy

x every time o/p is generated,
window shifts & algorithm is reinitialized

* Advantages of this filter

- 1. only a noisy pixel is filtered
- 2. if filtering is done, we make sure that the median value is not noise



a b c

FIGURE 5.14 (a) Image corrupted by salt-and-pepper noise with probabilities $P_a = P_b = 0.25$. (b) Result of filtering with a 7×7 median filter. (c) Result of adaptive median filtering with $S_{\max} = 7$.

Module 4

Chapter 9: Morphological Image Processing

(Digital Image Processing – Gonzalez/Woods)

Chapter 10: image segmentation

Syllabus

Morphological Image Processing :

- ❖ Preliminaries,
- ❖ Dilation and Erosion
- ❖ opening and closing
- ❖ the Hit- or-Miss Transformation
- ❖ some basics Morphological Algorithm

Ch9: 9.1 to 9.5

Image segmentation :

- ❖ Fundamentals, point, line and edge detection, detection of isolated point, line detection edge models, basic edge detection
- ❖ [10.1,10.2.2 to 10.2.5]

9.1 Preliminaries

- ✓ “**Morphology**” – a branch in biology that deals with the form and structure of animals and plants.
- ✓ “**Mathematical Morphology**” – as a tool for extracting image components, that are useful in the representation and description of region shape.
- ✓ The language of mathematical morphology is – **Set theory**.
- ✓ Morphology offers a unified and powerful approach to numerous image processing problems.
- ✓ Sets in mathematical morphology represents objects in an image.
- ✓ For example, the set of all white pixels in a binary image is a complete morphological description of the image.

Preliminaries

- ✓ In binary images , the set elements are members of the 2-D integer space Z^2 . where each element (x,y) is a coordinate of a black (or white) pixel in the image.
- ✓ Gray scale digital images can be represented as sets whose components are in Z^3 .
- ✓ In this case two components of each elements of the set refers to the coordinates of a pixel and the third corresponds to its discrete intensity values.
- ✓ Sets in higher dimensional spaces can contain other images attributes such as color and time varying components.

Basic Concepts in Set Theory

• Subset $A \subseteq B$

• Union $A \cup B$

• Intersection $A \cap B$

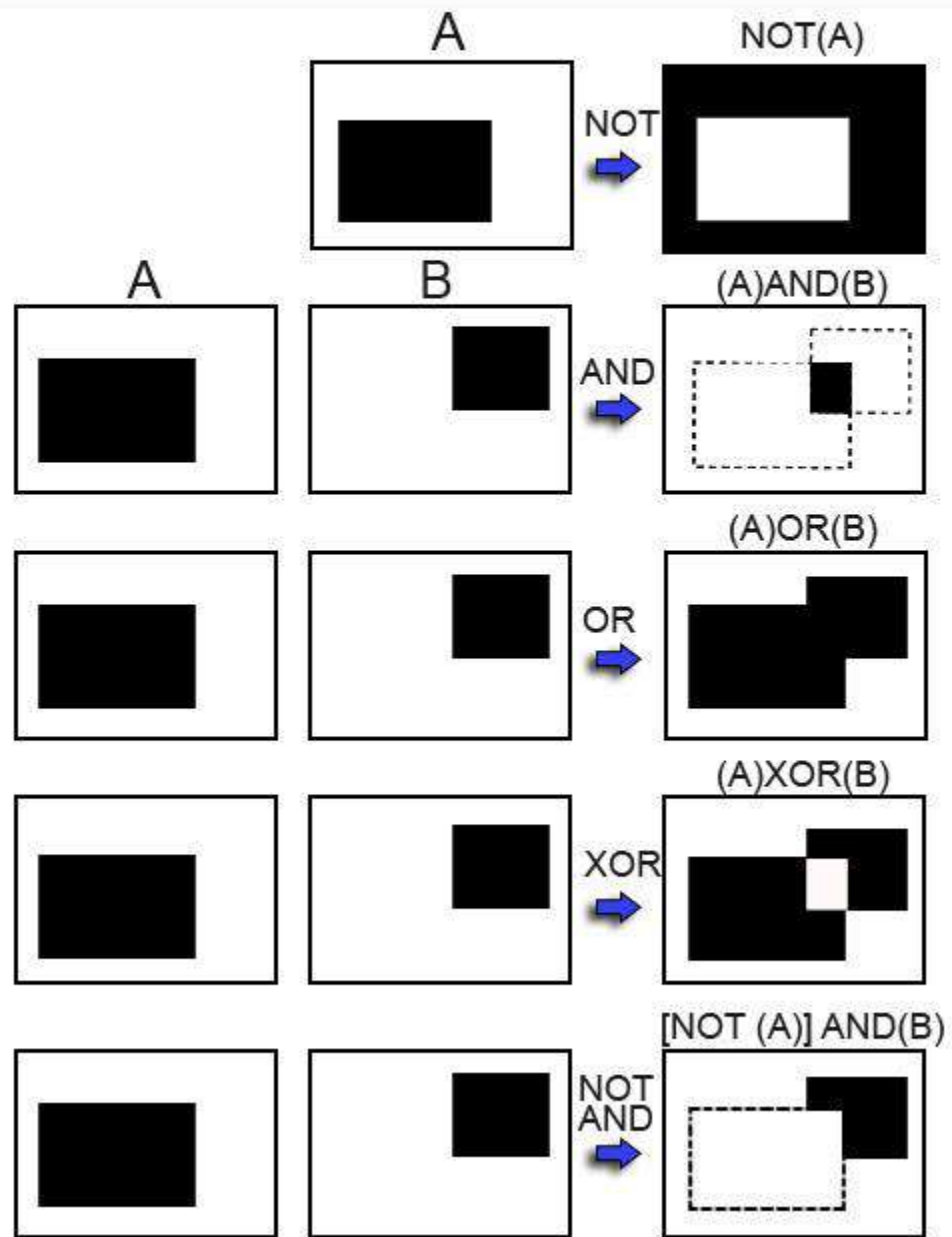
disjoint / mutually exclusive $A \cap B = \emptyset$

• Complement $A^c \equiv \{w \mid w \notin A\}$

• Difference $A - B \equiv \{w \mid w \in A, w \notin B\} = A \cap B^c$

Logic Operations Involving Binary Pixels and Images

- The principal logic operations used in image processing are: **AND, OR, NOT (COMPLEMENT)**.
- These operations are *functionally complete*.
- Logic operations are performed on a pixel by pixel basis between corresponding pixels (bitwise).
- Other important logic operations :
XOR (exclusive OR), NAND (NOT-AND)
- Logic operations are just a private case for a **binary set operations**, such : AND – Intersection , OR – Union, NOT-Complement.



Reflection and Translation

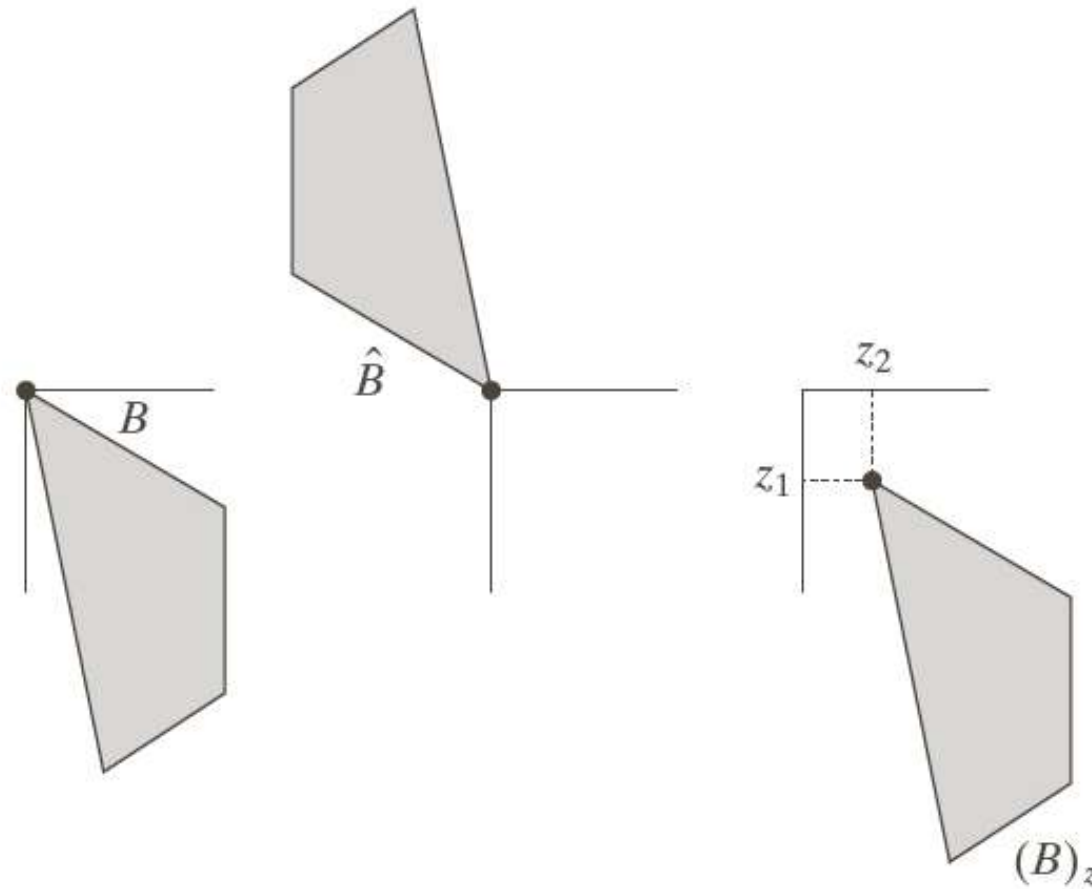
- Reflection

The reflection of a set B , denoted \hat{B} is defined as

$$\hat{B} = \{w \mid w = -b, \text{ for } b \in B\}$$

If B is a set of pixels (2-D points) representing an object in an image, the \hat{B} is simply the set of points in B whose (x, y) coordinates have been replaced by $(-x, -y)$.

Example: Reflection and Translation



a b c

FIGURE 9.1

(a) A set, (b) its reflection, and (c) its translation by z .

- **Translation**

- ✓ The Translation of a set B by point $z = (z_1, z_2)$ denoted by $(B)_z$ is defined as

$$(B)_z = \{c \mid c = b + z, \text{ for } b \in B\}$$

- ✓ If B is the set of pixels representing an object in an image, then $(B)_z$ is the set of points in B whose (x, y) coordinates have been replaced by $(x + z_1, y + z_2)$

Structure elements (SEs)

- Set reflection and translation are employed extensively in morphology to formulate operations based on so called structuring elements (SEs) : small set or sub images used to probe an image under study for properties of interest.

Examples: Structuring Elements (1)

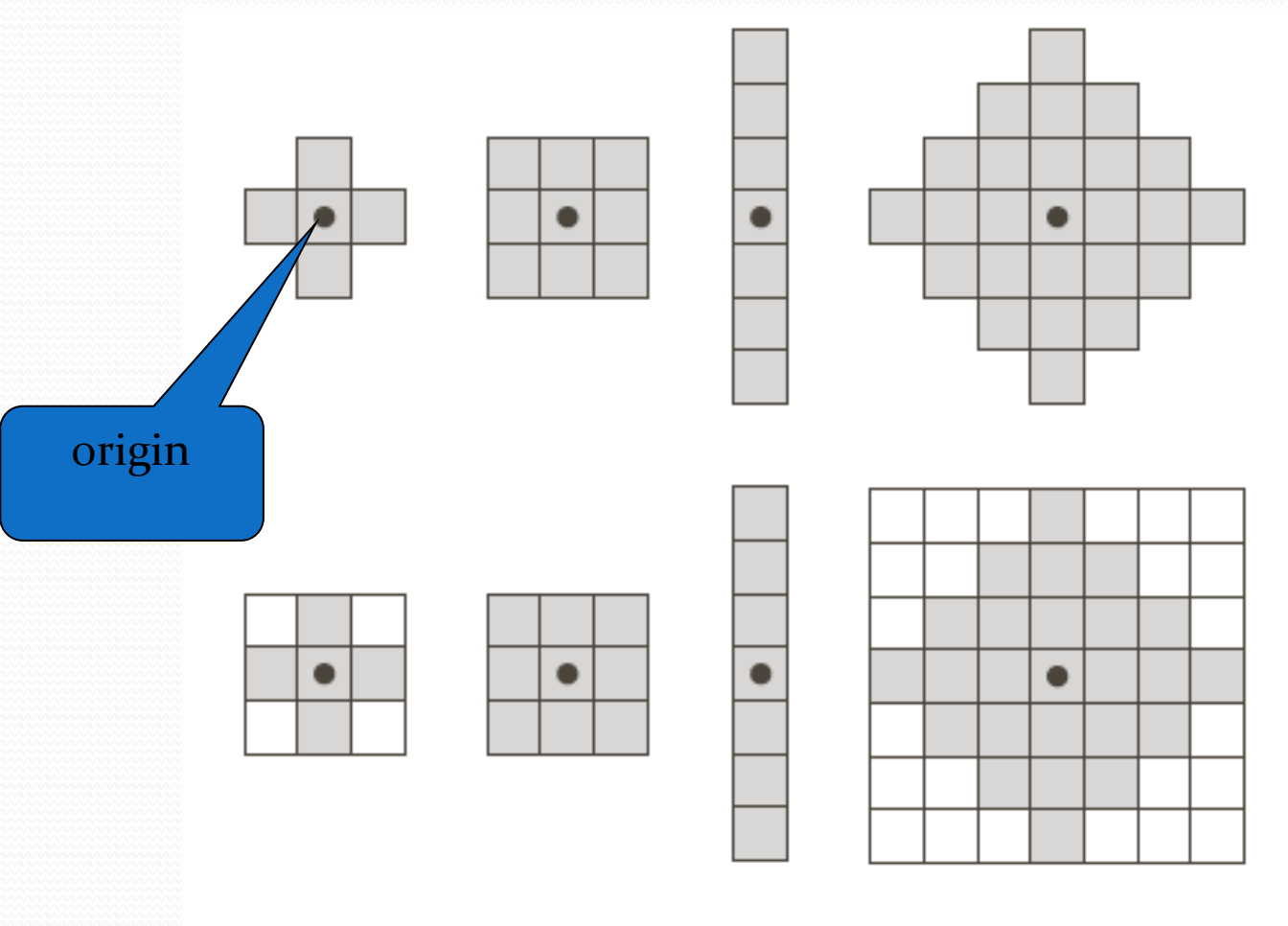
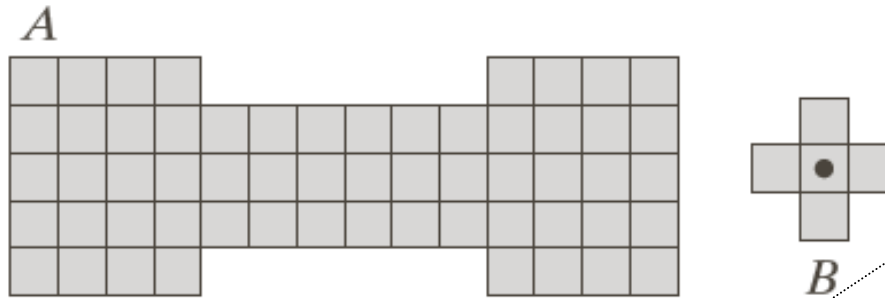


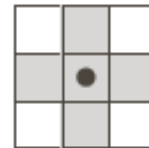
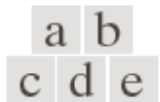
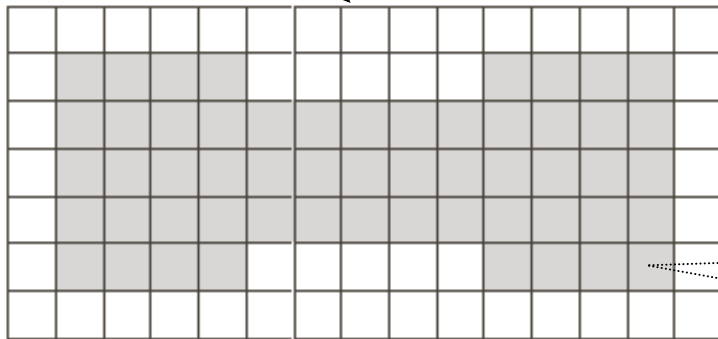
FIGURE 9.2 First row: Examples of structuring elements. Second row: Structuring elements converted to rectangular arrays. The dots denote the centers of the SEs.

Examples: Structuring Elements (2)

Accommodate the entire structuring elements when its origin is on the border of the original set A



Origin of B visits every element of A



At each location of the origin of B, if B is completely contained in A, then the location is a member of the new set, otherwise it is not a member of the new set.

FIGURE 9.3 (a) A set (each shaded square is a member of the set). (b) A structuring element. (c) The set padded with background elements to form a rectangular array and provide a background border. (d) Structuring element as a rectangular array. (e) Set processed by the structuring element.

9.2 Erosion and Dilation

- ✓ These two operations are fundamental to morphological processing.

9.21. Erosion

With A and B as sets in Z^2 , the erosion of A by B is denoted by $A \ominus B$,

$$\text{is defined as } A \ominus B = \{z \mid (B)_z \subseteq A\} \quad \text{-----9.2.1}$$

In words, this equation indicates that the erosion of A by B is the set of all points z such that B , translated by z , is contained in A .

We can express erosion in the following equivalent form :

$$A \ominus B = \{z \mid (B)_z \cap A^c = \emptyset\} \quad \text{-----9.2.2}$$

Example for Erosion

Input image



Structuring Element



Output Image



Example for Erosion

Input image



Structuring Element



Output Image

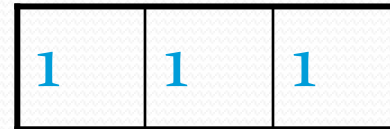


Example for Erosion

Input image



Structuring Element



Output Image

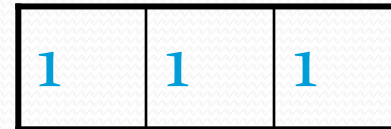


Example for Erosion

Input image



Structuring Element



Output Image



Example for Erosion

Input image



Structuring Element



Output Image



Example for Erosion

Input image



Structuring Element



Output Image

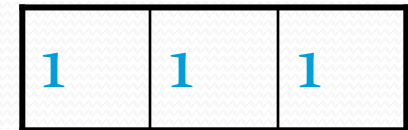


Example for Erosion

Input image



Structuring Element



Output Image

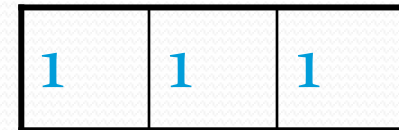


Example for Erosion

Input image



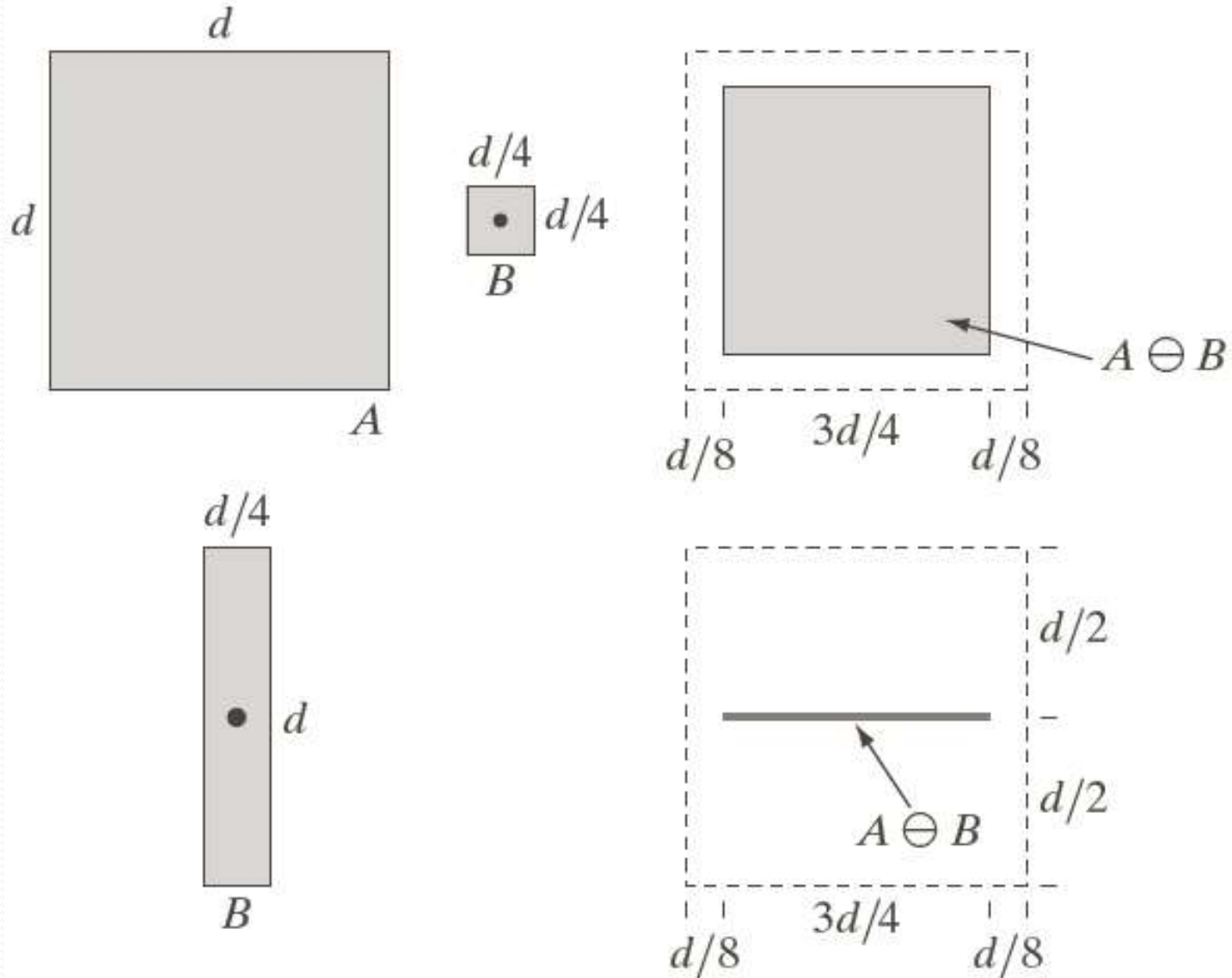
Structuring Element



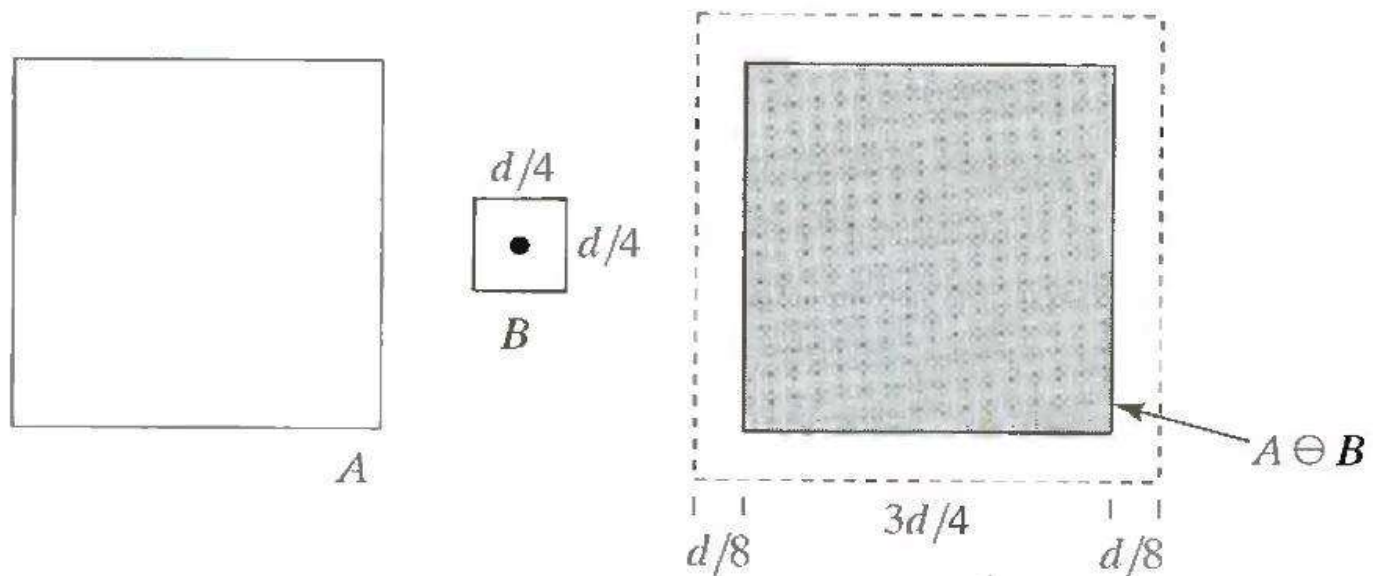
Output Image



Example for Erosion



9.2.2 Erosion – Example 1



a b c

FIGURE 9.6 (a) Set A . (b) Square structuring element. (c) Erosion of A by B , shown shaded

9.2.2 Erosion – Example 2

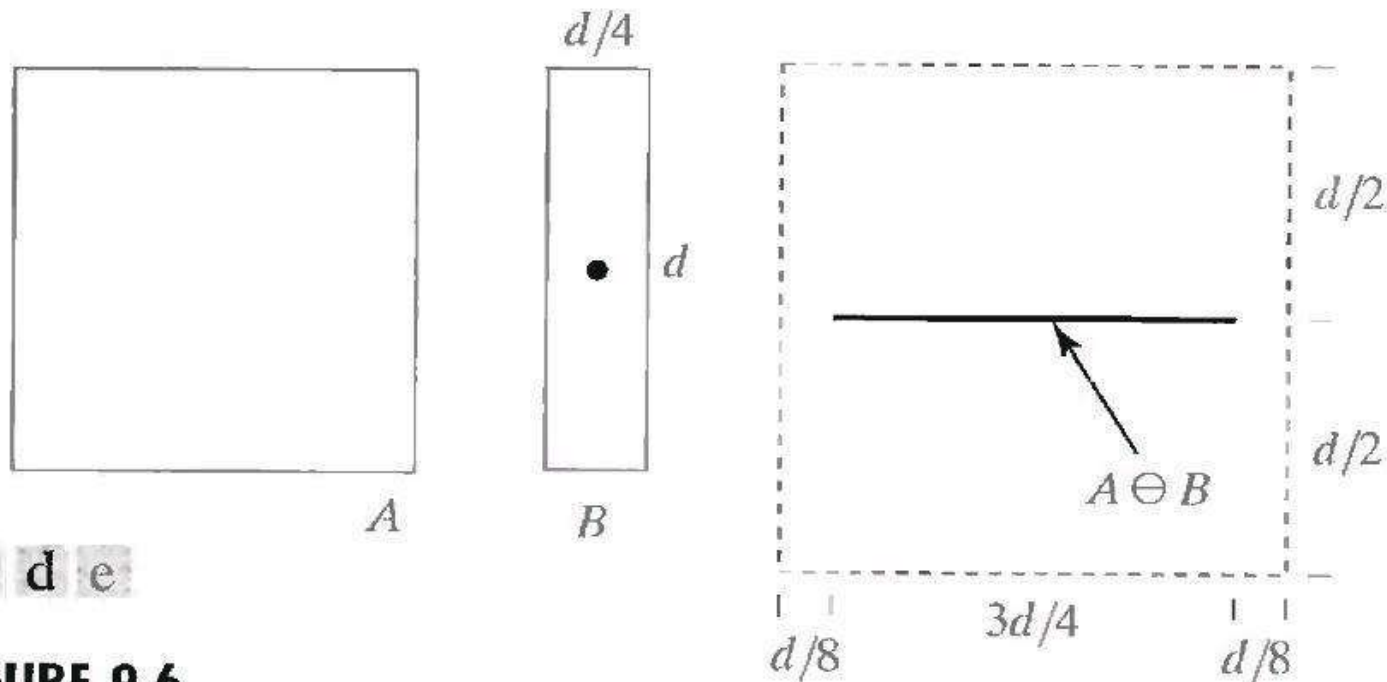
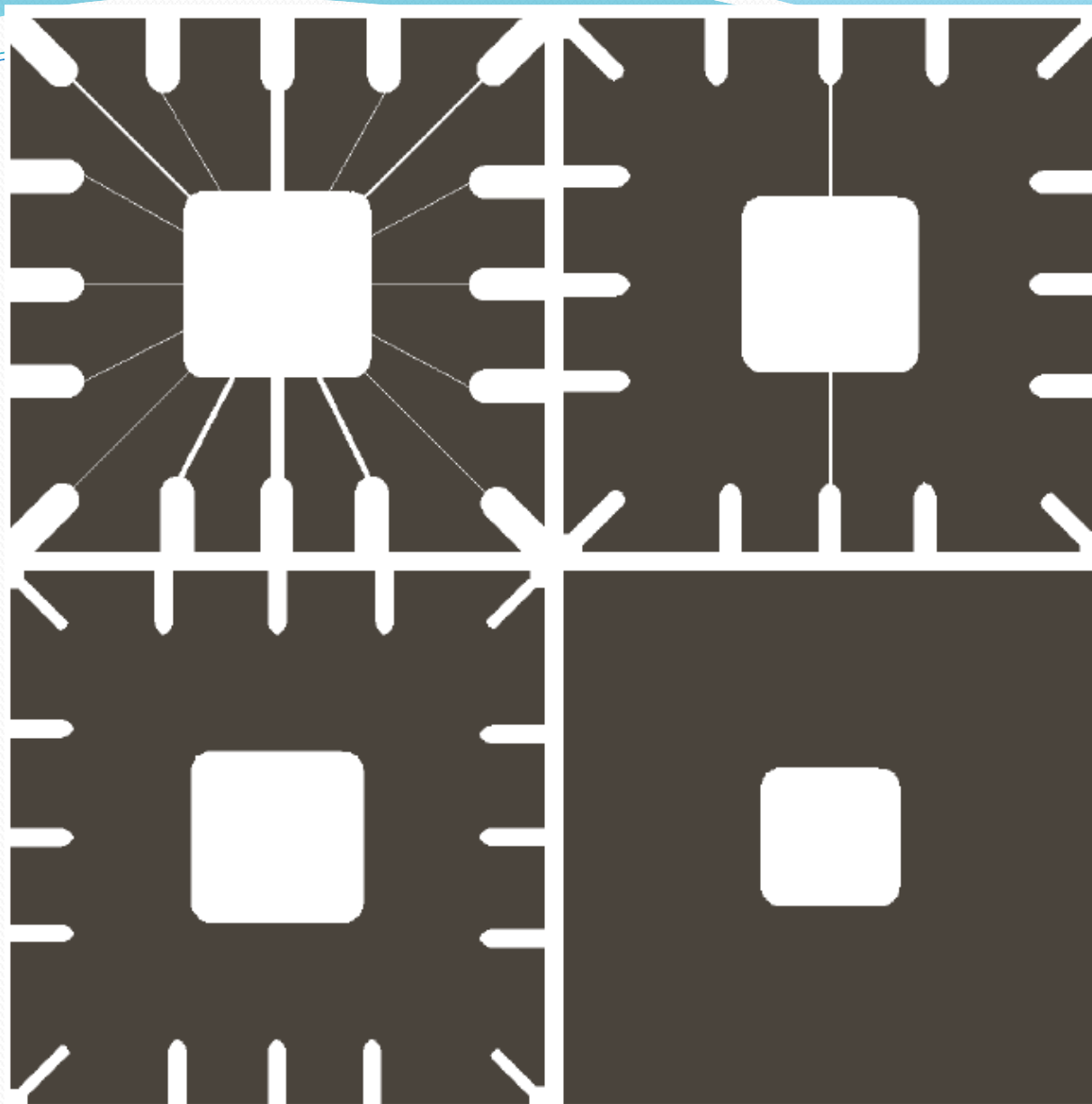


FIGURE 9.6

(a) Set A . (d) Elongated structuring element. (e) Erosion of A using this element.

Example of Erosion (2)



a	b
c	d

FIGURE 9.5 Using erosion to remove image components. (a) A 486×486 binary image of a wire-bond mask. (b)–(d) Image eroded using square structuring elements of sizes 11×11 , 15×15 , and 45×45 , respectively. The elements of the SEs were all 1s.

- ✓ The erosion shrinks or thins objects in a binary image.
- ✓ We can view erosion as a morphological filtering operation in which image details smaller than the structuring element are filtered from the image.

Dilation:

- Dilation is used for expanding an element A by using structuring element B
- With A & B as sets in Z^2 Dilation of A by B and is defined by the following equation:

$$A \oplus B = \{z | (\hat{B})_z \cap A \neq \emptyset\} \text{ -----9.2.3}$$

- This equation is based on reflecting B about its origin and shifting this reflection by z.
- The dilation of A by B is the set of all displacements z, such that \hat{B} and A overlap by at least one element.
- Based on this interpretation the equation of (9.2-1) can be rewritten as:

$$A \oplus B = \{z | [(\hat{B})_z \cap A] \subset A\} \text{ -----9.2.4}$$

- ✓ We assume that B is a structuring element and A is the set to be dilated.

- Structuring element B is viewed as a convolution mask.
- The basic process of flipping (rotating) B about its origin and then successively displacing it so that it slides over a set (image)A .
- It is analogous to spatial convolution , however dilation is based on set operations and therefore is a nonlinear operation unlike convolution.

Example for Dilation

Input image



Structuring Element



Output Image



Example for Dilation

Input image



Structuring Element



Output Image

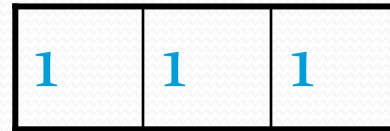


Example for Dilation

Input image



Structuring Element



Output Image

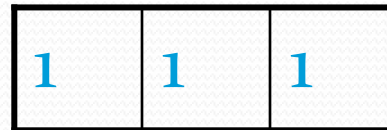


Example for Dilation

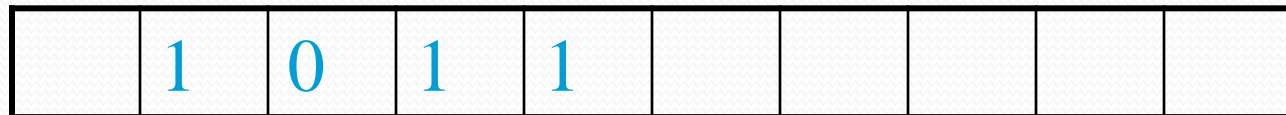
Input image



Structuring Element



Output Image

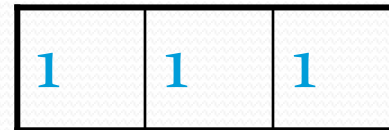


Example for Dilation

Input image



Structuring Element



Output Image

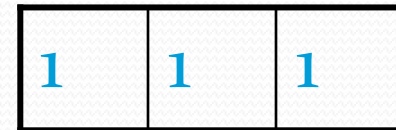


Example for Dilation

Input image



Structuring Element



Output Image



Example for Dilation

Input image



Structuring Element



Output Image

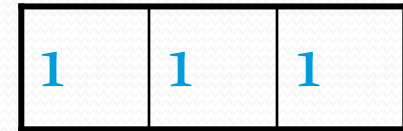


Example for Dilation

Input image

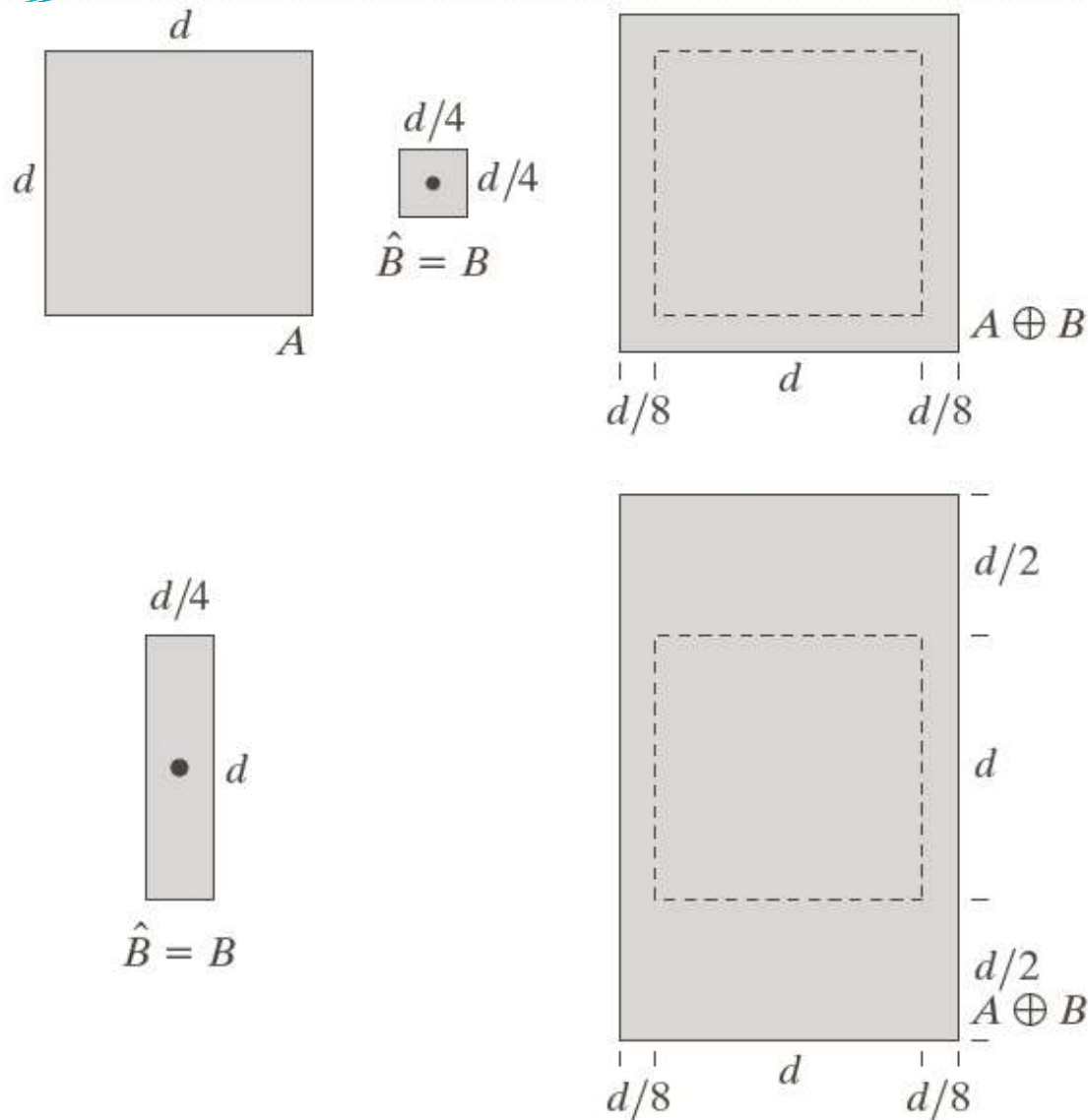


Structuring Element



Output Image





a	b	c
d		e

FIGURE 9.6

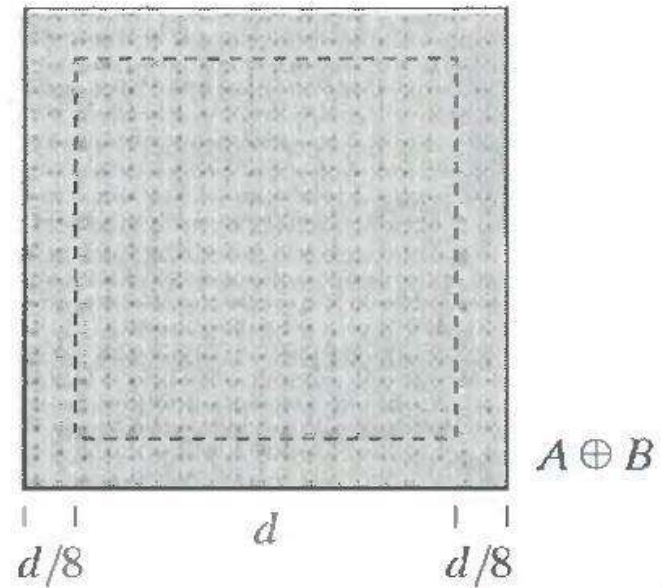
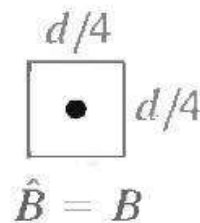
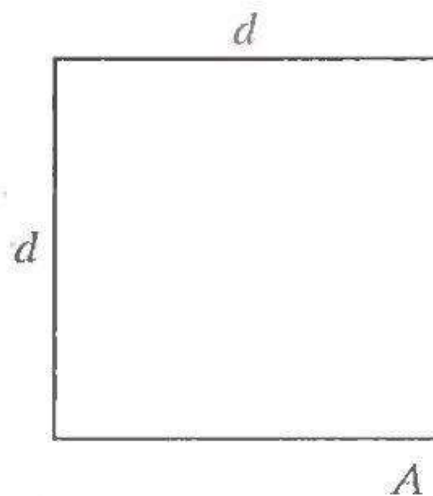
(a) Set A .
 (b) Square structuring element (the dot denotes the origin).
 (c) Dilation of A by B , shown shaded.
 (d) Elongated structuring element.
 (e) Dilation of A using this element. The dotted border in (c) and (e) is the boundary of set A , shown only for reference

9.2.1 Dilation – Example 1

a b c

FIGURE 9.4

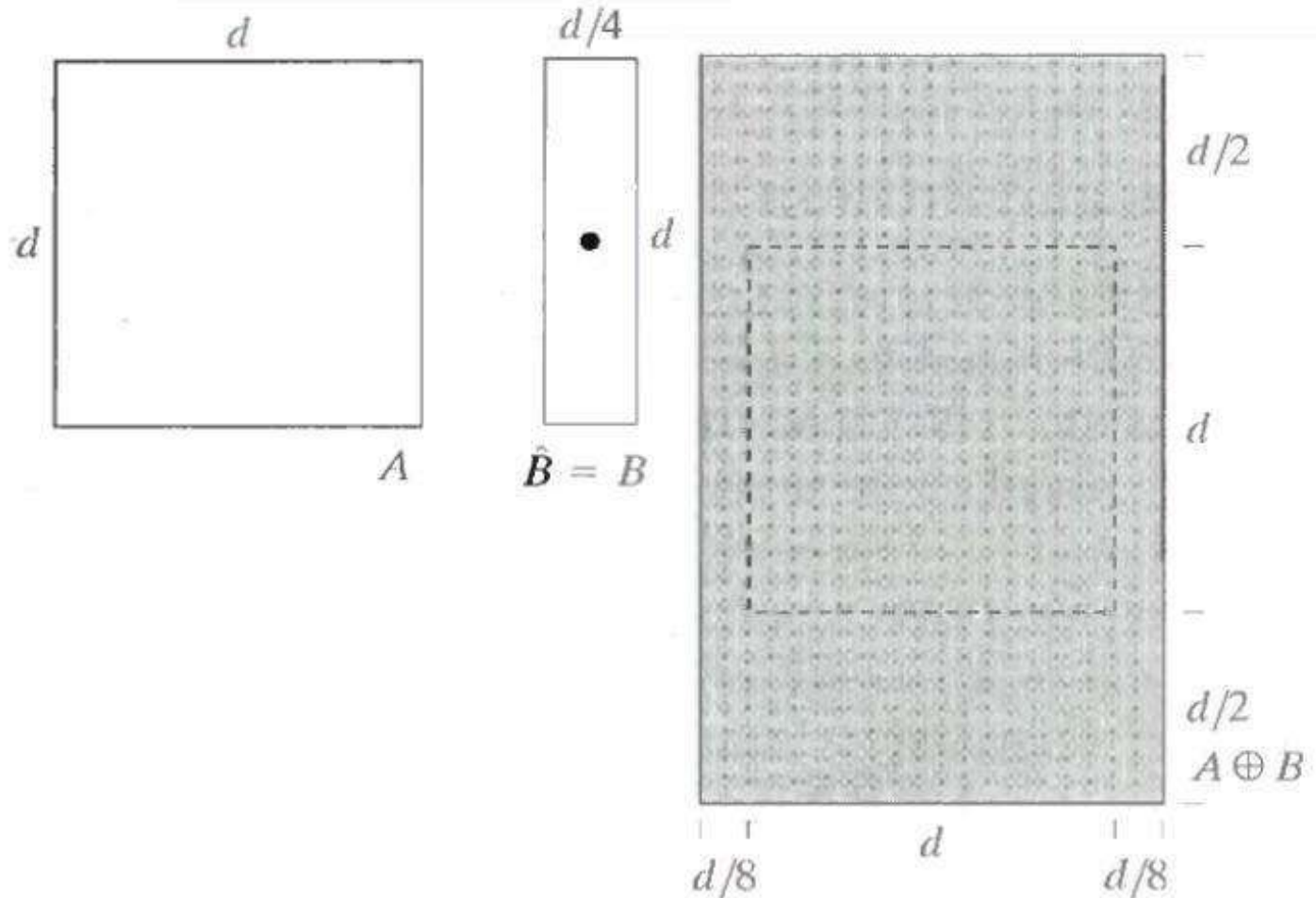
- (a) Set A .
- (b) Square structuring element (dot is the center).
- (c) Dilation of A by B , shown shaded.



9.2.1 Dilation – Example 2

a d e

(d) Elongated structuring element.
(e) Dilation of A using this element.



- One of the simplest applications of dilation is for bridging gaps.
- Fig below shows the same image with broken characters.
- The maximum length of the breaks is known to be two pixels.
- Instead of shading, we used 1s to denote the elements of SE and 0's for background , because, SE is now being treated as a sub image and not a graphic.

Examples of Dilation (2)

Historically, certain computer programs were written using only two digits rather than four to define the applicable year. Accordingly, the company's software may recognize a date using "00" as 1900 rather than the year 2000.



Historically, certain computer programs were written using only two digits rather than four to define the applicable year. Accordingly, the company's software may recognize a date using "00" as 1900 rather than the year 2000.



0	1	0
1	1	1
0	1	0

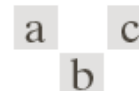


FIGURE 9.7

(a) Sample text of poor resolution with broken characters (see magnified view).
(b) Structuring element.
(c) Dilation of (a) by (b). Broken segments were joined.

Duality between dilation and erosion

- Dilation and erosion are duals of each other with respect to set complementation and reflection. That is,

$$(A \ominus B)^c = A^c \oplus \hat{B} \quad \text{-----9.2-5}$$

$$(A \oplus B)^c = A^c \ominus \hat{B} \quad \text{-----9.2-6}$$

- Eq 9.2-5 indicated that erosion of A & B is the complement of dilation of A^c by \hat{B} and viceversa

Dilation and erosion are duals

Starting with definition of erosion

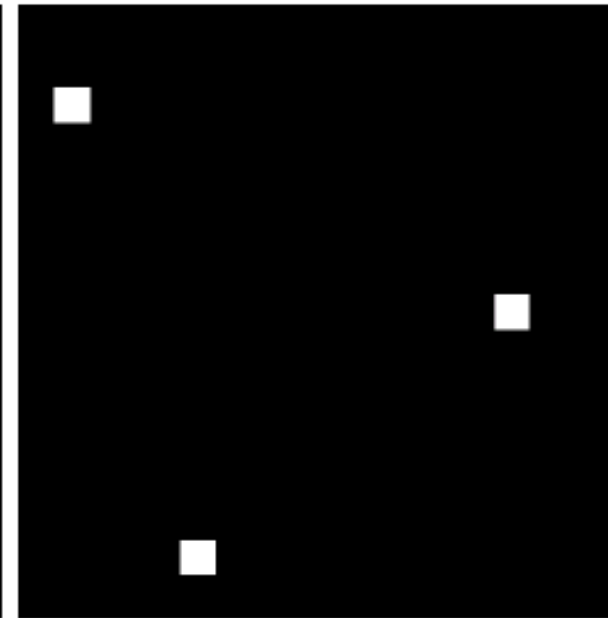
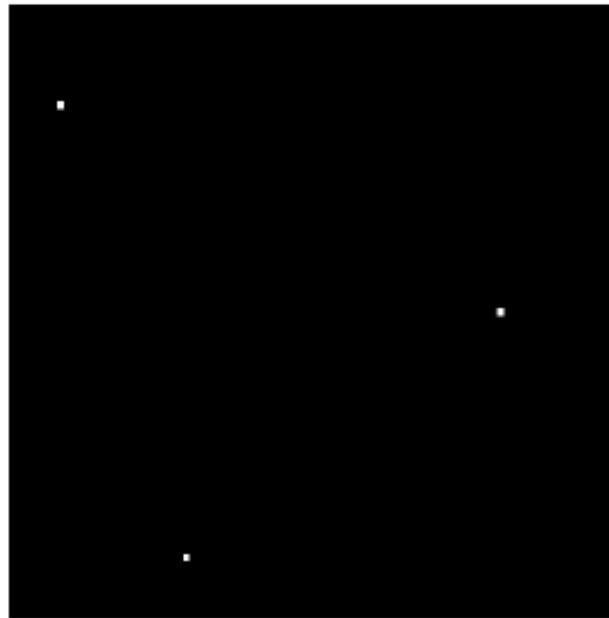
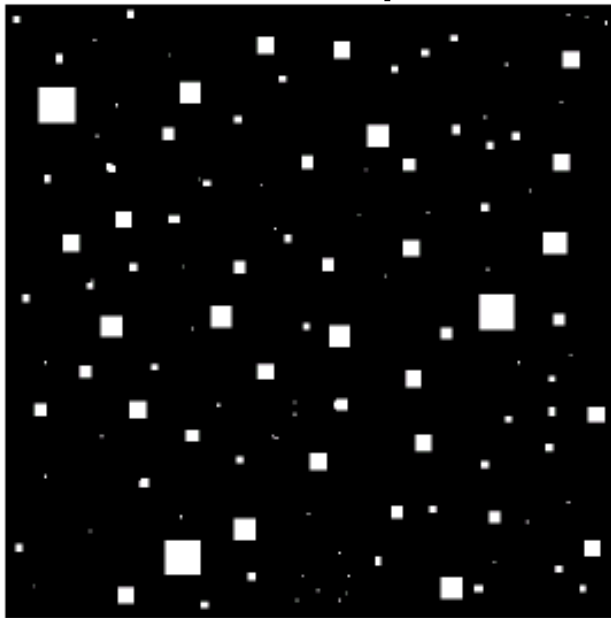
$$\begin{aligned}(A \ominus B)^c &= \left\{ z \mid (B)_z \subseteq A \right\}^c \\ &= \left\{ z \mid (B)_z \cap A^c = \emptyset \right\}^c \\ &= \left\{ z \mid (B)_z \cap A^c \neq \emptyset \right\} \\ &= A^c \oplus \hat{B}\end{aligned}$$

$$A \oplus B = \left\{ z \mid (\hat{B})_z \cap A \neq \emptyset \right\}$$

Application of erosion: eliminate irrelevant detail

Squares of size
1,3,5,7,9,15 pels

Erode with
13x13 square



original image

erosion

dilation

→
One of the simplest uses of erosion is for eliminating irrelevant details (in terms of size) from a binary image.

- Dilation adds pixels to the boundaries of an object.
- Erosion removes pixels on object boundaries.
- The number of pixels added or removed from the objects in an image depends on the size and shape of the structuring elements used to process the image.

Applications:

- Dilation : for bridging gaps in an image.
- Erosion: eliminating unwanted detail in an image.

	0	0	0	0	0	0						1 fully match : 1
	0	0	1	1	0	0						2 partially match :1
A=	0	1	1	1	1	0	B=	1				3 no match :0
	0	0	0	1	1	0		1				
	0	0	0	0	0	0		1				
A Dilation B							dilation					
	0	0	1	1	0	0						
	0	1	1	1	1	0						
	0	1	1	1	1	0						
	0	1	1	1	1	0						
	0	0	1	1	0	0						

erosion	
1	fully match : 1
2	partially match :0
3	no match :0

Links to refer

- <https://www.youtube.com/playlist?list...>
for problems refer problem the following link
- <https://www.youtube.com/watch?v=uMfoOP2Emxs>
- <https://www.youtube.com/watch?v=fiSkqmlbQao>
- <https://www.youtube.com/watch?v=T8uWZXB92AU>
- <https://www.youtube.com/watch?v=2LAooUu1IjQ>
- C:\Users\admin\Desktop\module4_DIP\Erosion
- C:\Users\admin\Desktop\module4_DIP\dilation

9.3 Opening And Closing

- Opening – smoothes the contour of an object, breaks narrow isthmuses & eliminates thin protrusions.
- Closing – also tends to smooth sections of contours, but as opposed to opening it generally fuses narrow breaks and long thin gulfs, eliminates small holes and fills gaps in contours
- These operations are dual to each other
- These operations are can be applied few times, but has effect only once

- The opening of set A by structuring element B, denoted as $A \circ B$, is defined as

$$A \circ B = (A \ominus B) \oplus B$$

- Opening A by B is erosion of A by B followed by a dilation of the result by B.

- Similarly closing of set A by structuring element B is denoted by $A \bullet B$, is defined as

$$A \bullet B = (A \oplus B) \ominus B$$

- This means that closing of A by B is simply the dilation of A by B, followed by the erosion of the result by B.
- Opening \rightarrow Erosion followed by a dilation.
- Closing \rightarrow A dilation followed by an erosion.

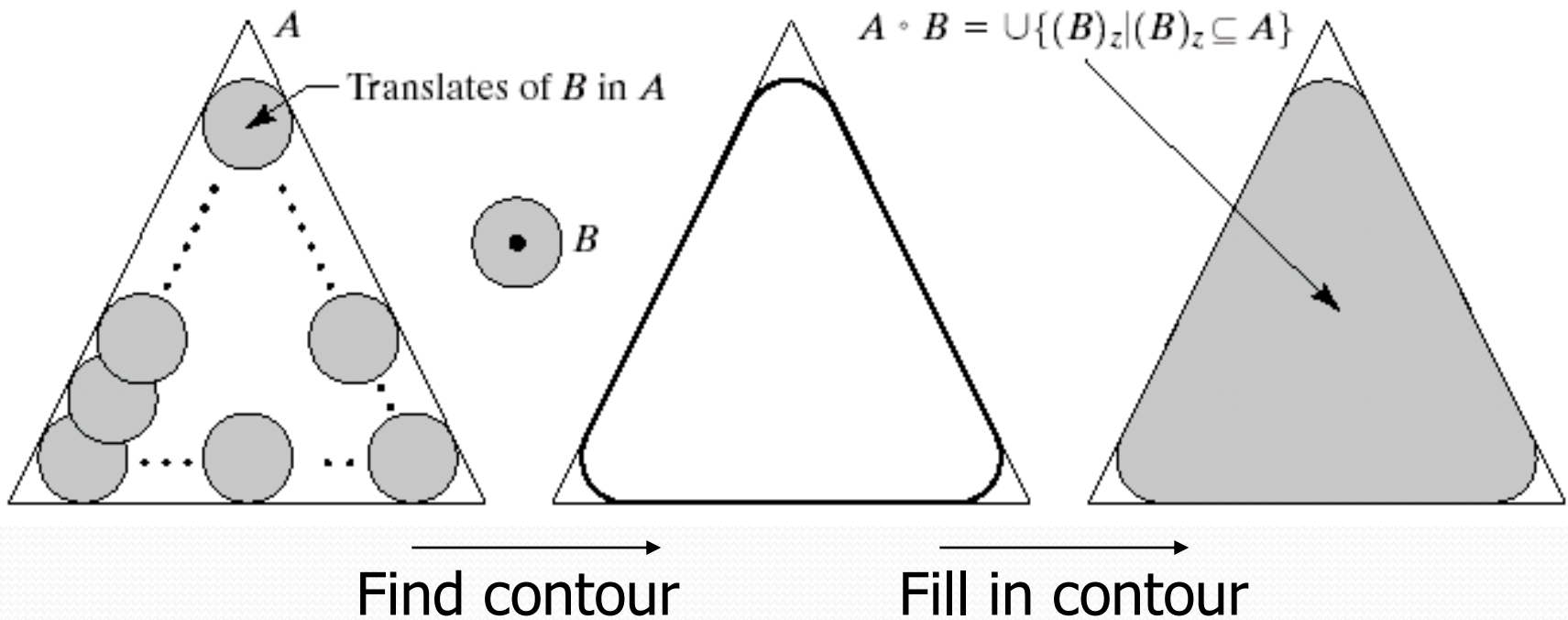
Problem : Suppose two discrete functions are represented by the sequences $A = \{5, 7, 11, 8, 2, 6, 8, 9, 7, 4, 3\}$ $B = \{1, 2, 1\}$

		5	7	11	8	2	6	8	9	7	4	3
1	1	2	1	x								
2		1	2	1								
3			1	2	1							
4				1	2	1						
5					1	2	1					
6						1	2	1				
7							1	2	1			
8								1	2	1		
9									1	2	1	
10										1	2	1
11											1	2
	add	1,7,8										
Dilation	max: D	8	12	13	12	9	9	10	11	10	8	5
	sub	1,3,6										
Erosion	min: E	3	4	6	1	0	1	5	6	3	2	1

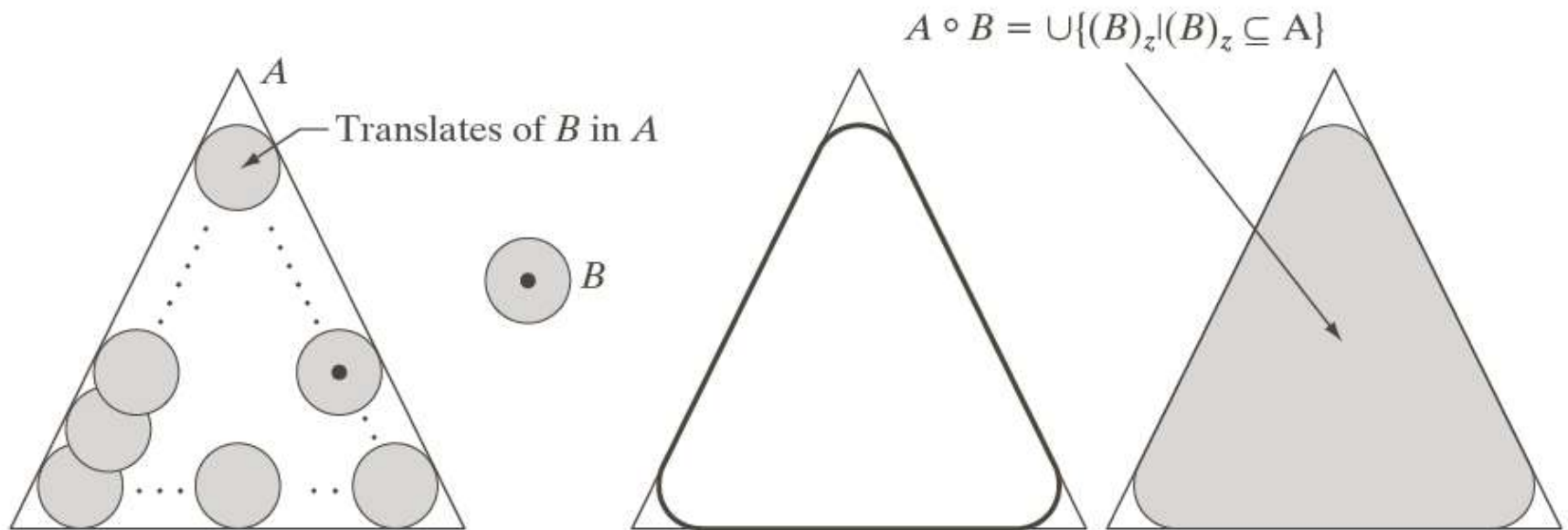
Opening = $A \circ B$ = first perform erosion then on that result perform dilation :
erosion result : $\{3, 4, 6, 1, 0, 1, 5, 6, 3, 2, 1\}$ on this perform dilation with B.

Closing = $A \bullet B$ = first perform dilation then on that result perform an erosion:

Dilation result : $\{8, 12, 13, 12, 9, 9, 10, 11, 10, 8, 5\}$ on this perform erosion with B

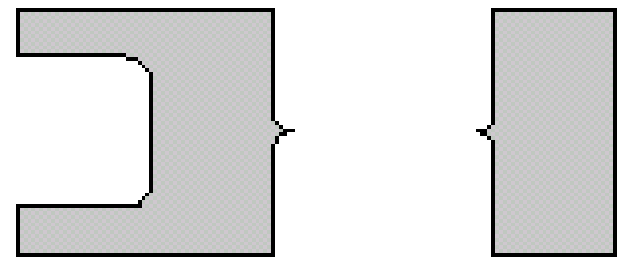
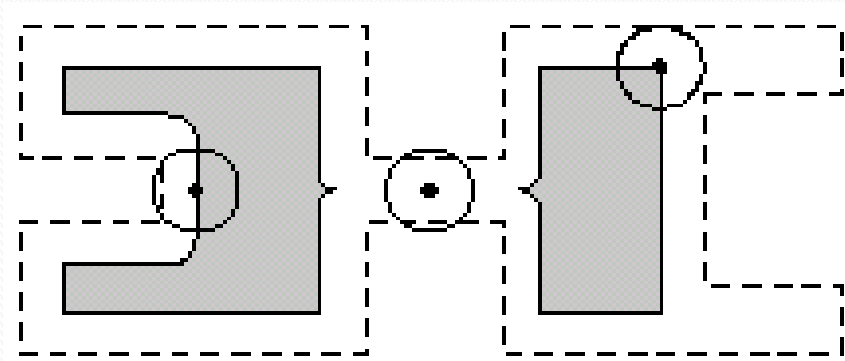
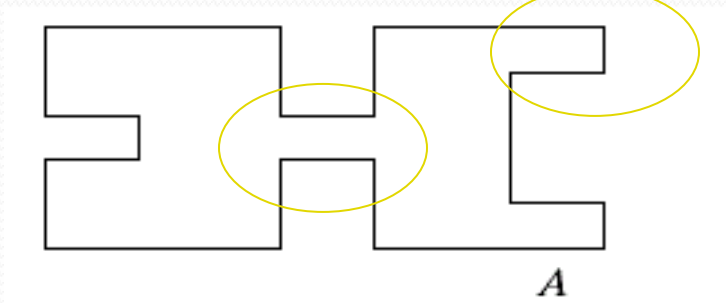


Smooth the contour of an image, breaks narrow isthmuses, eliminates thin protrusions

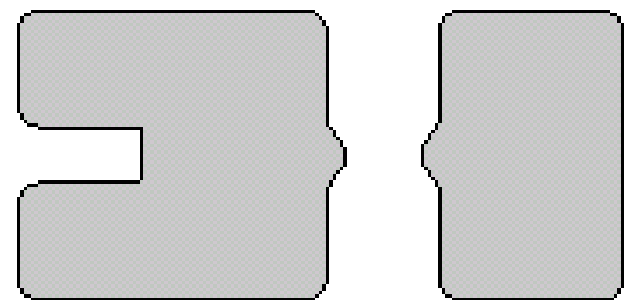
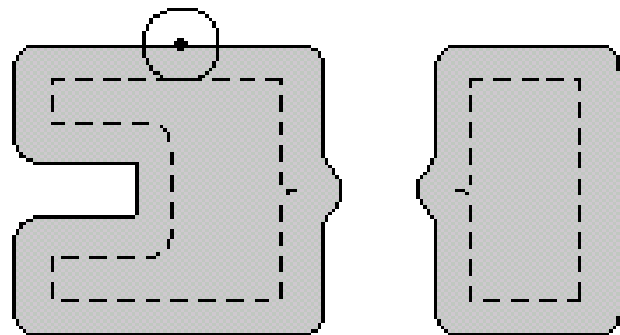


a b c d

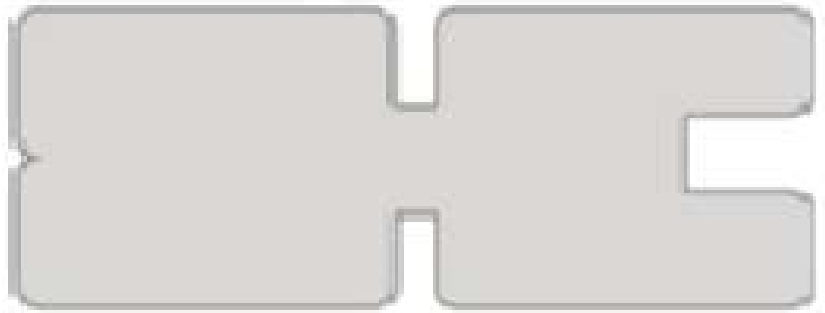
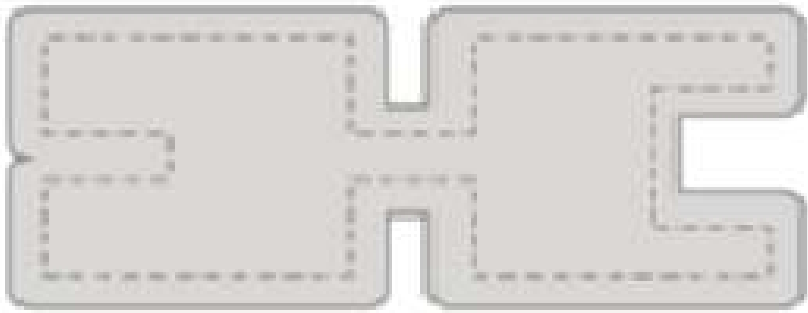
FIGURE 9.8 (a) Structuring element B “rolling” along the inner boundary of A (the dot indicates the origin of B). (b) Structuring element. (c) The heavy line is the outer boundary of the opening. (d) Complete opening (shaded). We did not shade A in (a) for clarity.



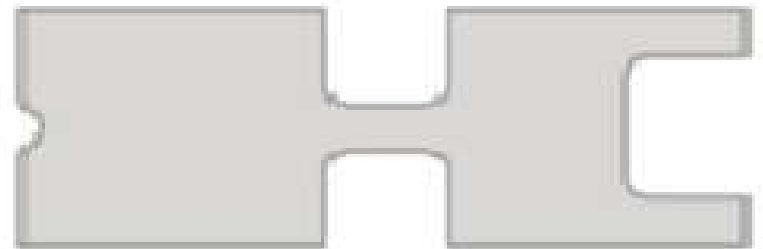
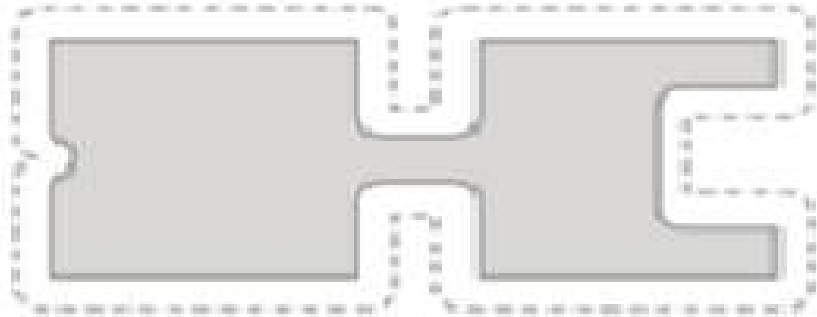
$A \ominus B$



$$A \circ B = (A \ominus B) \oplus B$$



$$A \oplus B$$



$$A \cdot B = (A \oplus B) \oplus B$$

Use of opening and closing for morphological filtering

original image



erosion



opening of A



dilation of the opening



closing of the opening



1	1	1
1	1	1
1	1	1

B

As in the case with dilation and erosion, opening and closing are duals of each other with respect to set complementation and reflection. That is,

$$(A \bullet B)^c = (A^c \circ \hat{B}) \quad (9.3-4)$$

and

$$(A \circ B)^c = (A^c \blacktriangleright \hat{B}) \quad (9.3-5)$$

We leave the proof of this result as an exercise (Problem 9.14).

The opening operation satisfies the following properties:

- (a) $A \circ B$ is a subset (subimage) of A .
- (b) If C is a subset of D , then $C \circ B$ is a subset of $D \circ B$.
- (c) $(A \circ B) \circ B = A \circ B$.

Similarly, the closing operation satisfies the following properties:

- (a) A is a subset (subimage) of $A \blacktriangleright B$.
- (b) If C is a subset of D , then $C \blacktriangleright B$ is a subset of $D \blacktriangleright B$.
- (c) $(A \blacktriangleright B) \blacktriangleright B = A \blacktriangleright B$.

Note from condition (c) in both cases that multiple openings or closings of a set have no effect after the operator has been applied once.

Proof link <https://www.youtube.com/watch?v=SccZvIDMcAk>

9.4 The Hit-or-Miss Transformation

- A basic morphological tool for shape detection.
- Is used for template matching.
- The transformation involves two templates sets , B and (W-B) which are disjoint.
- Template B is used to match the foreground image while (W-B) is used to match the background of the image.
- The hit-or-miss transforms is the intersection of the erosion of the foreground with B and the erosion of the background with (W-B).
- The hit – or-miss transforms is defined as

$$A \circledast B = (A \ominus D) \cap [A^c \ominus (W - D)]$$

- The small window W is assumed to have at least one pixel , thicker than B.
- We can generalize the notation somewhere by letting B=(B1, B2)
- B1= B and B2= (W-B)

$$A \circledast B = (A \ominus B_1) \cap (A^c \ominus B_2)$$

Thus, set $A \circledast B$ contains all the (origin) points at which, simultaneously, B_1 found a match ("hit") in A and B_2 found a match in A^c .

by using the definition of set differences and dual relationship between erosion and dilation we can write the above equation as

$$A \circledast B = (A \ominus B_1) - (A \oplus \hat{B}_2)$$

Any of these three equations can be used and are called morphological Hit-or-miss transforms..

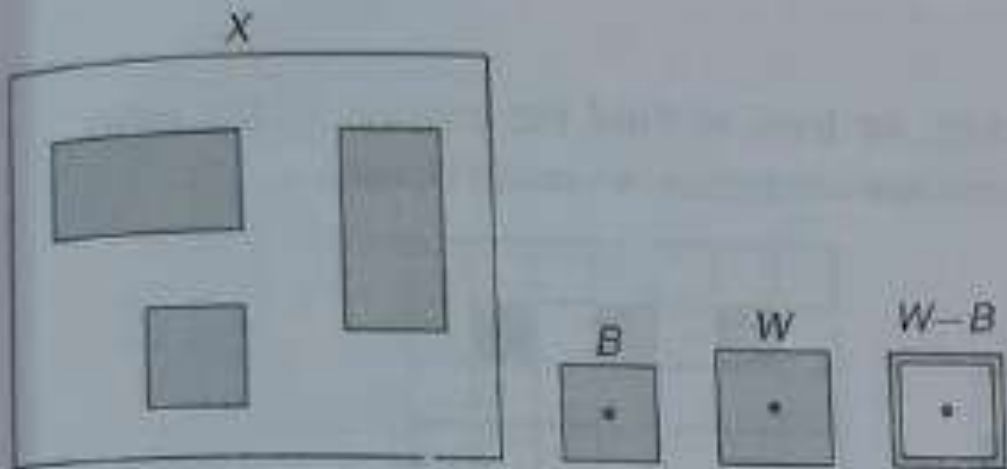


Fig. 10.38 Input image X , structuring element $B, W, W-B$

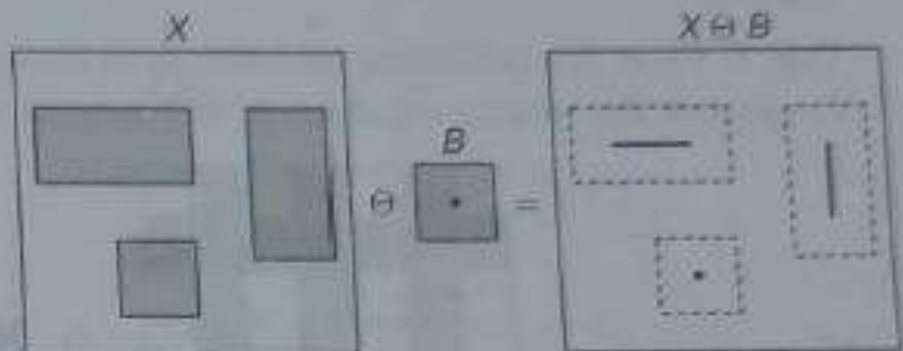


Fig. 10.39 Eroded image of X

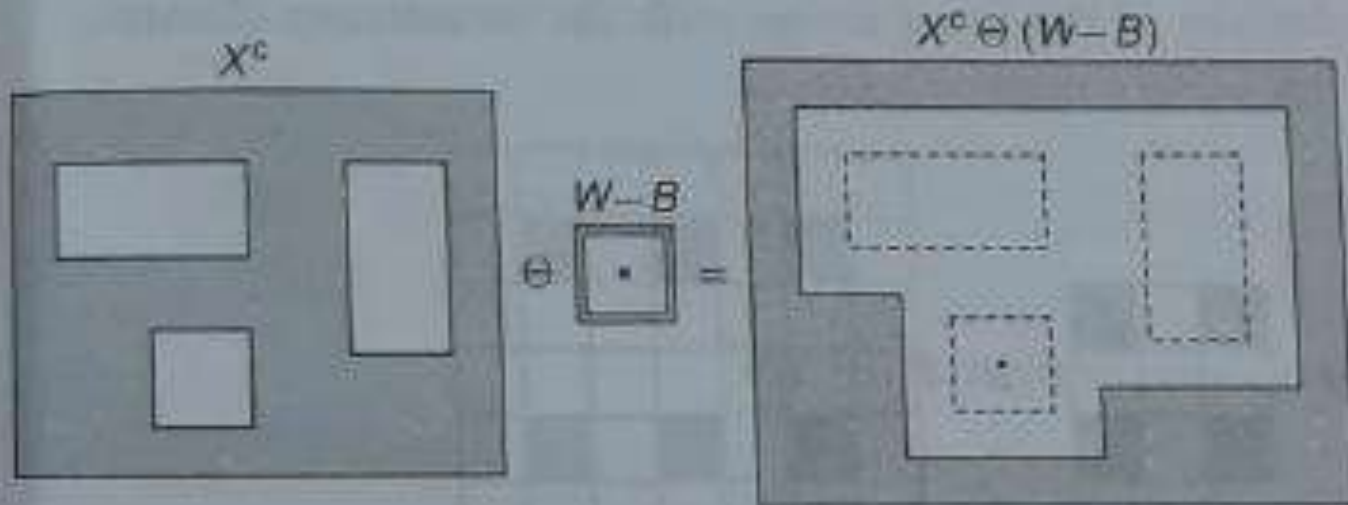


Fig. 10.40 Eroded image of X^c

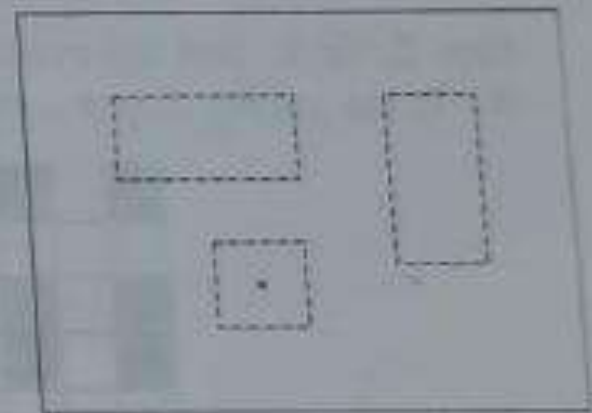
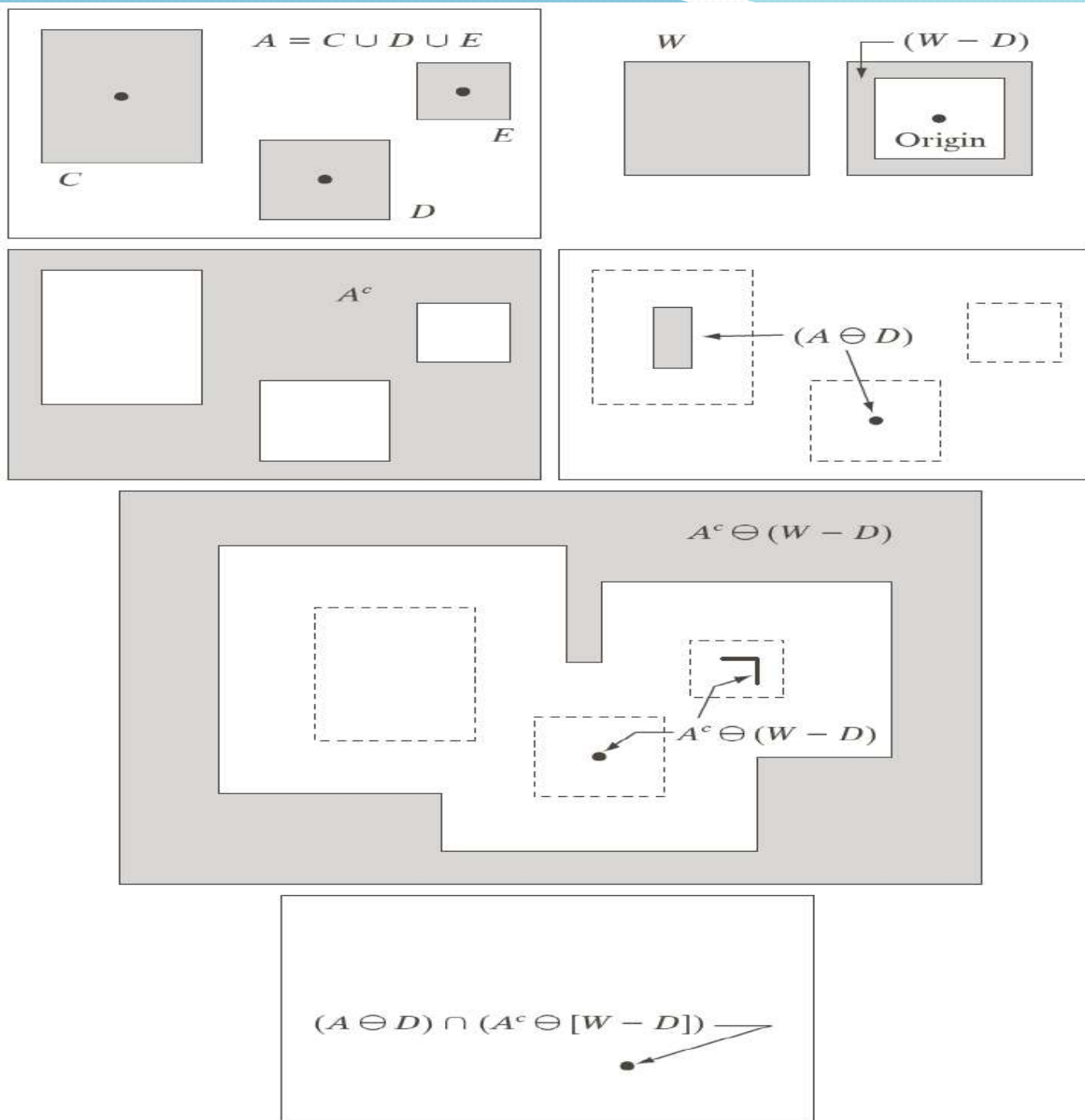


Fig. 10.41 Intersection result of above two results

- First we have to find the erosion of the input image X with the structuring element B .
- Find the complement of the input image X and then erode it with the structuring element $(W-B)$.
- Now find the intersection of the images of the above two steps , this gives the hit-or-miss transformation of input image X .

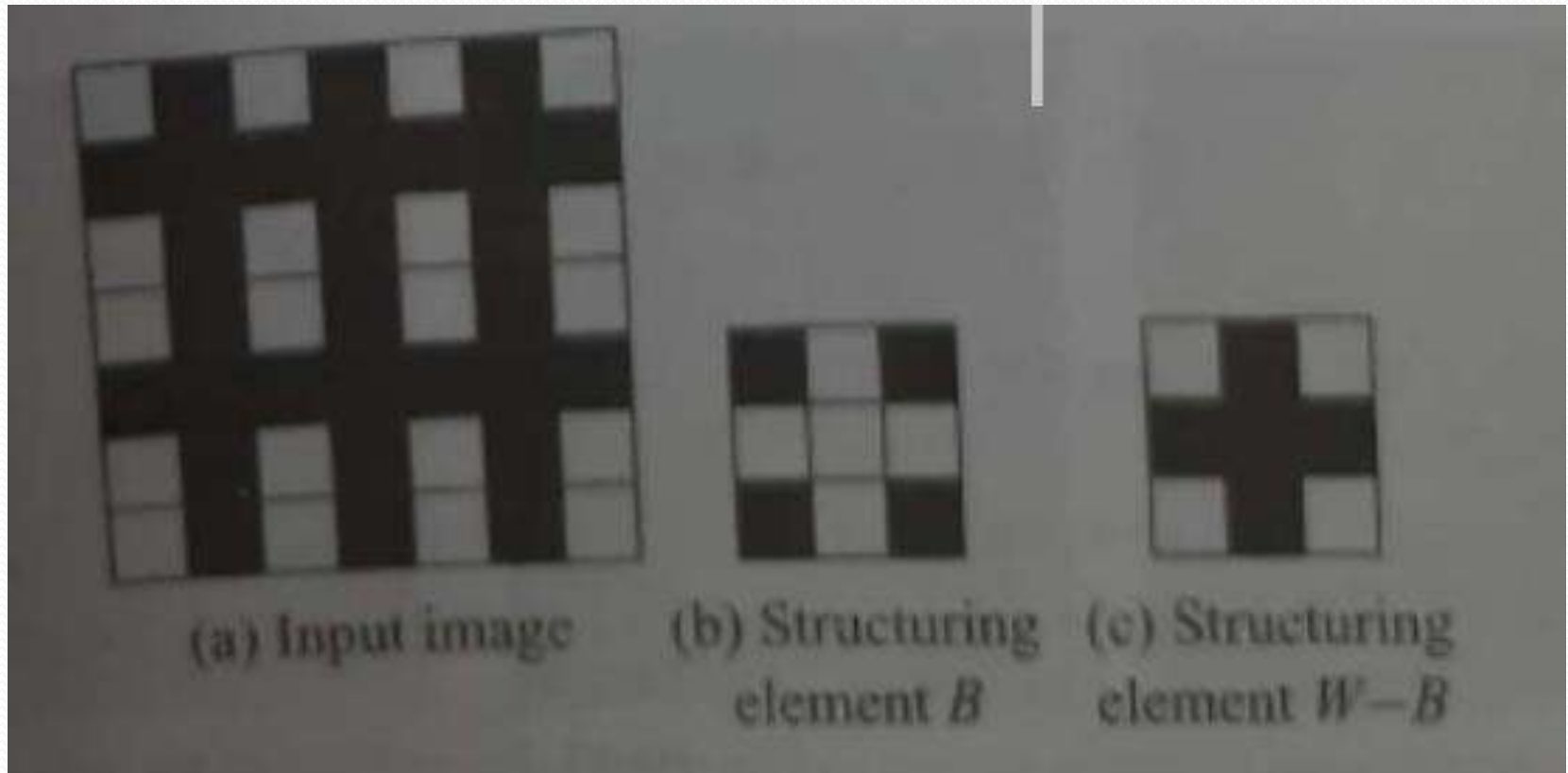


a	b
c	d
e	
f	

FIGURE 9.12
 (a) Set A . (b) A window, W , and the local background of D with respect to W , $(W - D)$. (c) Complement of A . (d) Erosion of A by D . (e) Erosion of A^c by $(W - D)$. (f) Intersection of (d) and (e), showing the location of the origin of D , as desired. The dots indicate the origins of C , D , and E .

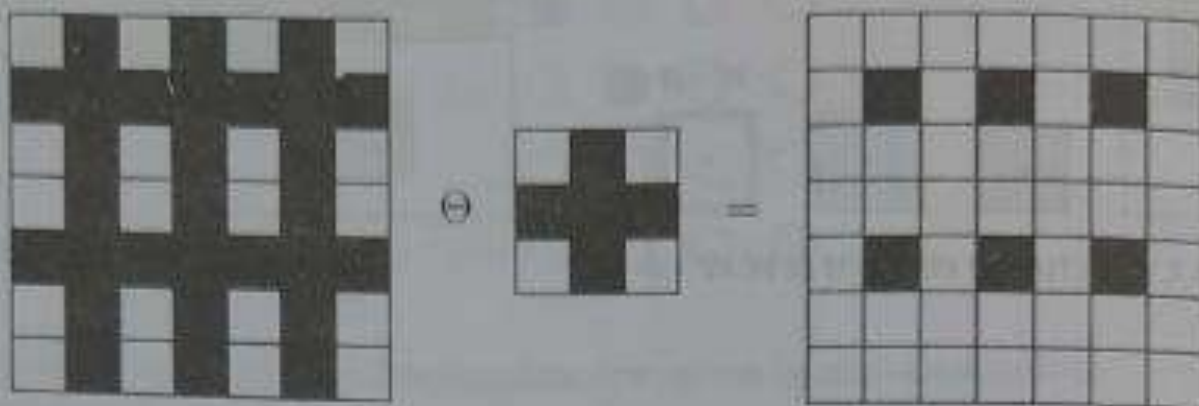
- The reason for using these kind of structuring element – $B = (B_1, B_2)$ is based on an assumed definition that, **two or more objects are distinct only if they are disjoint (disconnected) sets.**
- In some applications , we may interested in detecting **certain patterns (combinations)** of 1's and 0's. and not for detecting individual objects.
- In this case a background is not required. and the *hit-or-miss transform* reduces to simple erosion.
- This simplified pattern detection scheme is used in some of the algorithms for – **identifying characters within a text.**

The input image and the structuring elements are shown in below fig. find the hit or mass transformation for the input image

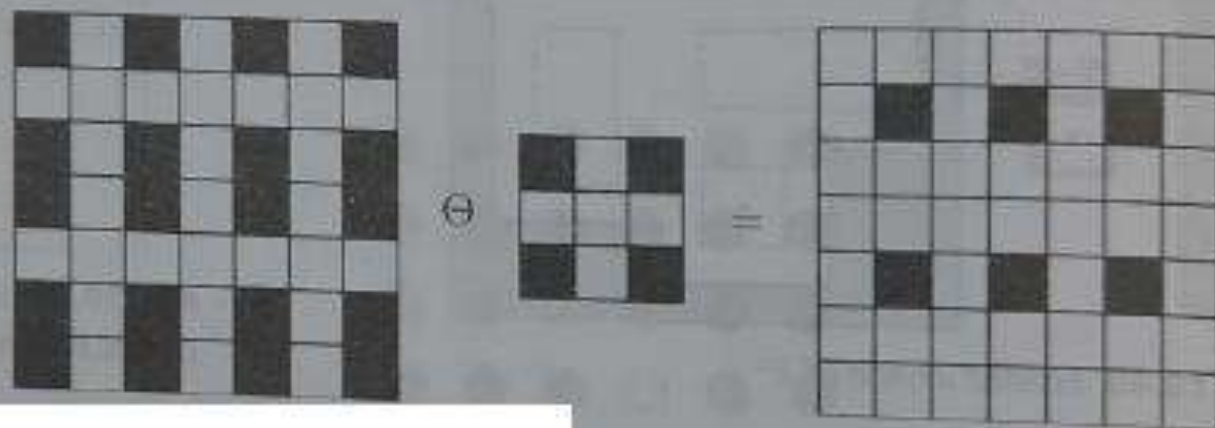


Solution

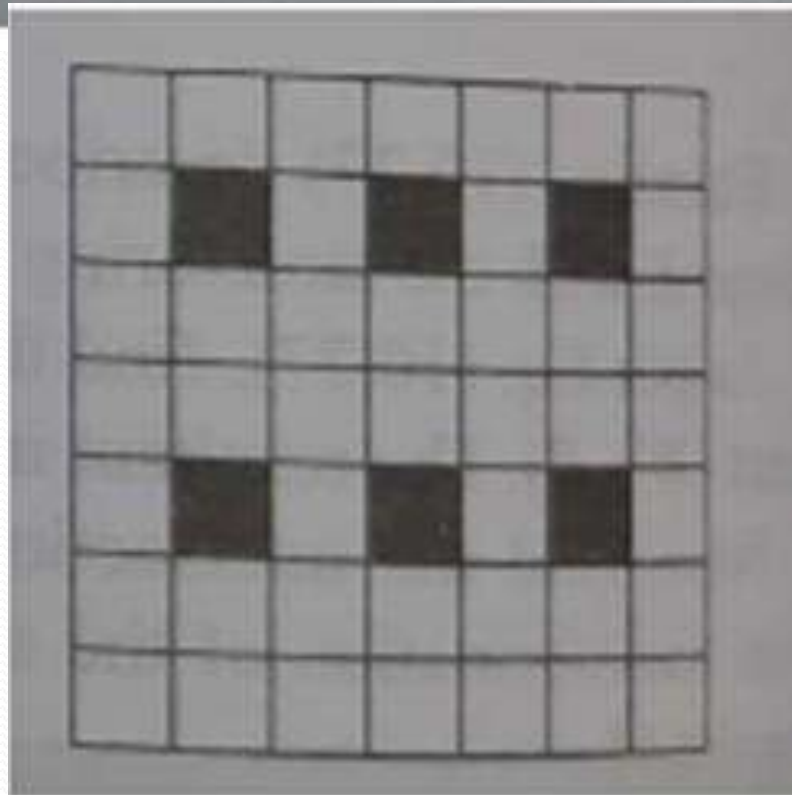
Step 1 From the definition of hit-or-miss transformation, we have to find the erosion of the input image with the structuring element B . The result of the erosion operation is shown below.



Step 2 Next, find the erosion of the complement of the input image with the structuring element $W-B$; we get the output image as shown below.



Step 3 From the result of steps 1 and 2, the hit-or-miss transformed input image is found from the intersection of the result of the image in steps 1 and 2. The resultant image is shown below.



9.5 Basic Morphological Algorithms

Some of the practical uses of morphology:

- 1 – Boundary Extraction
- 2 – Region Filling
- 3 – Extraction of Connected Components
- 4 – Convex Hull
- 5 – Thinning
- 6 – Thickening
- 7 – Skeletons

9.5.1 Boundary Extraction

- First, erode A by B, then make set difference between A and the erosion
- The thickness of the contour depends on the size of constructing object – B

$$\beta(A) = A - (A \ominus B)$$

$$Y = X - (X \ominus B)$$

$$Y = (X \ominus B) - X \quad \text{or}$$

$$Y = (X \ominus B) - (X \ominus B)$$

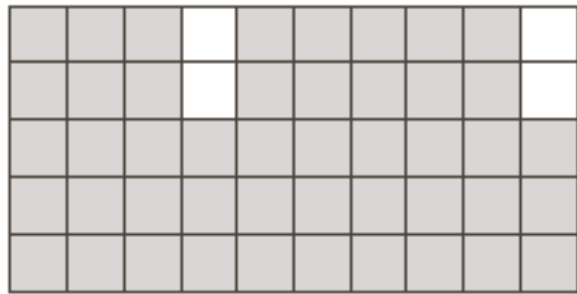
where ,

Y ---> boundary image

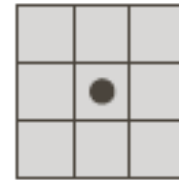
\ominus -----> erosion

\oplus ---> dilation

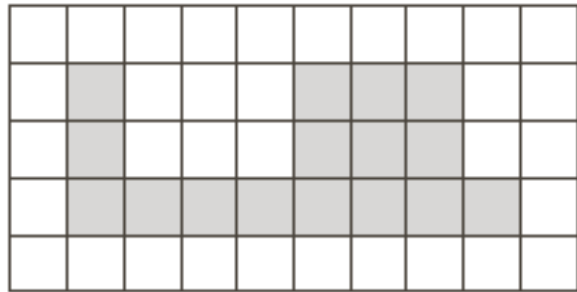
' - ' -----> subtraction



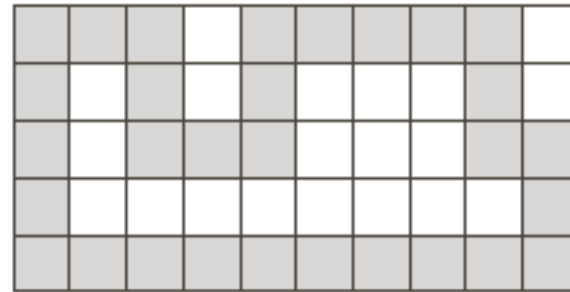
A



B



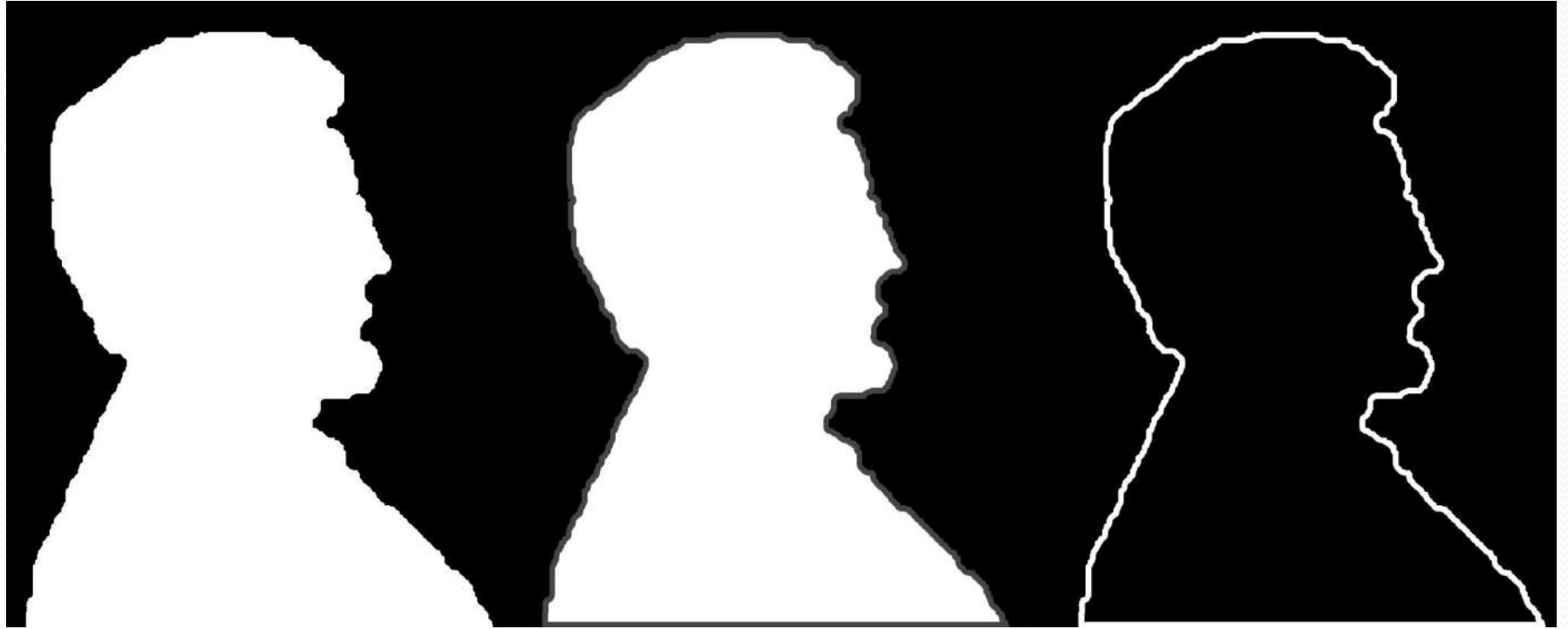
$A \ominus B$



$\beta(A)$

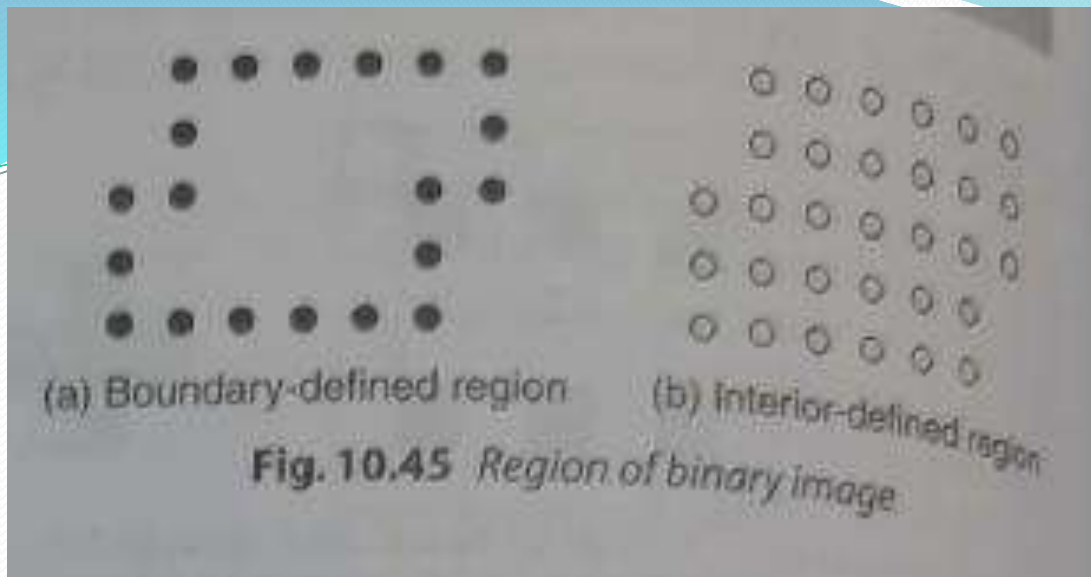
a	b
c	d

FIGURE 9.13 (a) Set A . (b) Structuring element B . (c) A eroded by B . (d) Boundary, given by the set difference between A and its erosion.



9.5.2 Region Filling

- Region /hole filling is the process of “coloring in “ a definite image area or region.
- Region may be defined at the pixel level or geometric level .
- at pixel level, we describe a region either in terms of the bounding pixels that outline it or as the totality of pixels that comprises it.
- In the first case, the region is called boundary-defined which is shown in fig below.
- The collection of algorithms used for such case are called as boundary filling algorithms.



The other type of region is called an interior define region and the accompanying algorithms are called as flood-fill algorithms.

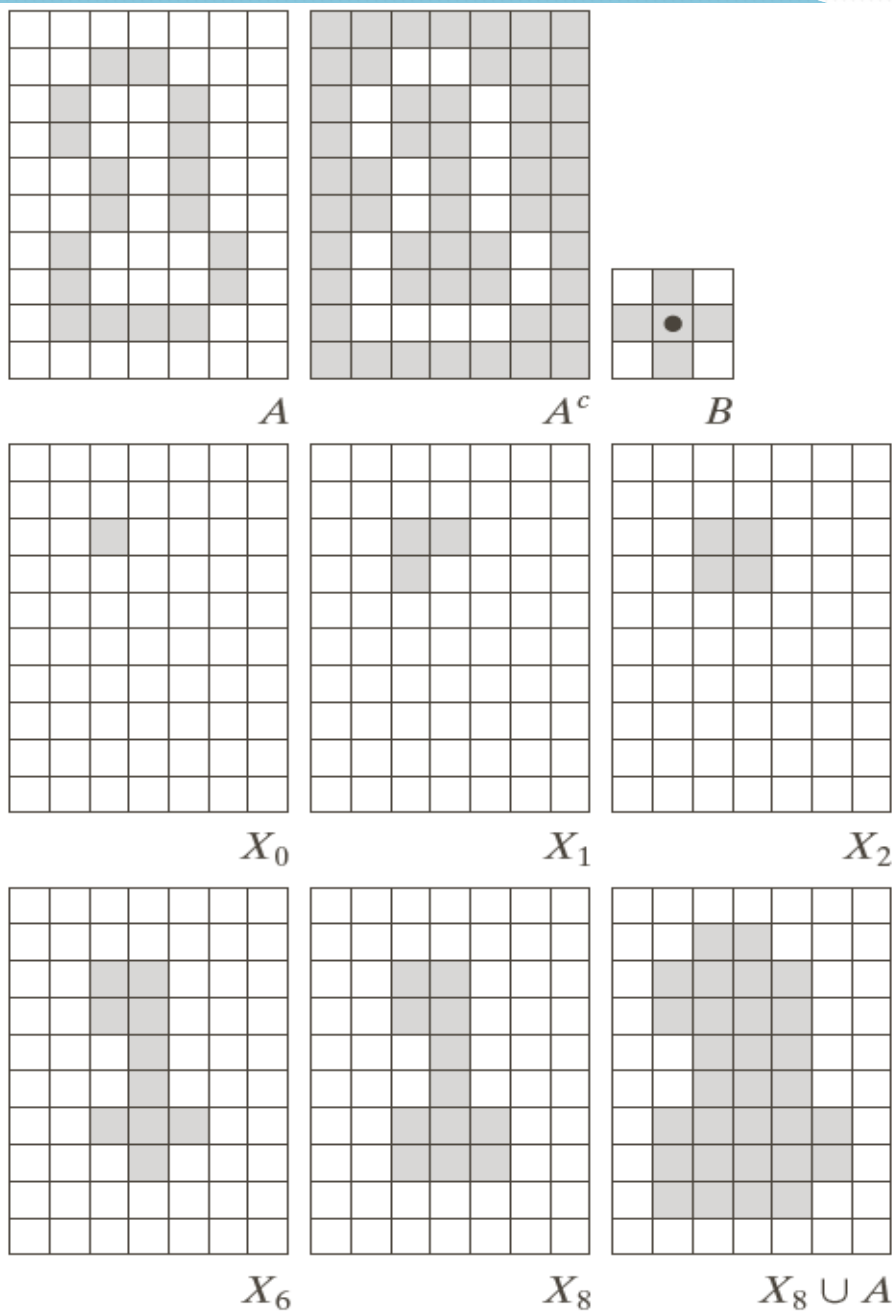
At geometric level, each region is defined or enclosed by such abstract contouring elements as connected as lines and curves.

The region filling is mathematically represented as

$$X_k = (X_{k-1} \oplus B) \cap A^c \quad k = 1, 2, 3, \dots$$

B is a structuring element; A denotes a set containing a subset whose elements are 8 connected boundary points of region. k ----number of iterations

- beginning with a point inside the boundary, the objective is to fill the entire region with 1s , by iteratively processing dilation.
- Region filing is based on dilation, complementation and intersections.
- There are two ways to terminate the iteration of algorithm,
- If the region is filled, then stop the iteration or fix the number of iterations to fill in the region.



a	b	c
d	e	f
g	h	i

FIGURE 9.15 Hole filling. (a) Set A (shown shaded). (b) Complement of A . (c) Structuring element B . (d) Initial point inside the boundary. (e)–(h) Various steps of Eq. (9.5-2). (i) Final result [union of (a) and (h)].



a b c

FIGURE 9.16 (a) Binary image (the white dot inside one of the regions is the starting point for the hole-filling algorithm). (b) Result of filling that region. (c) Result of filling all holes.

The input image and structuring elements are shown. Perform the region filing operation

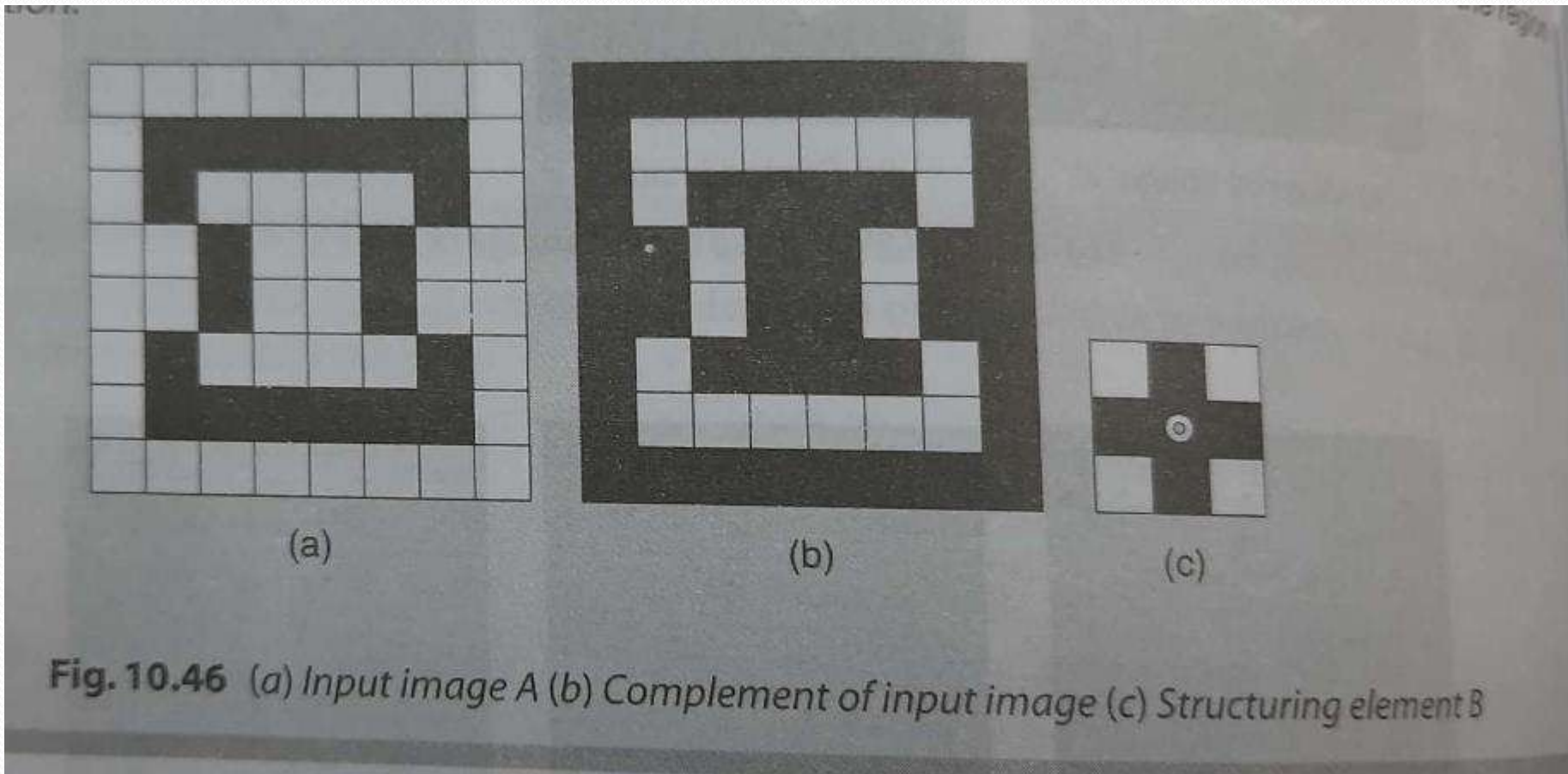
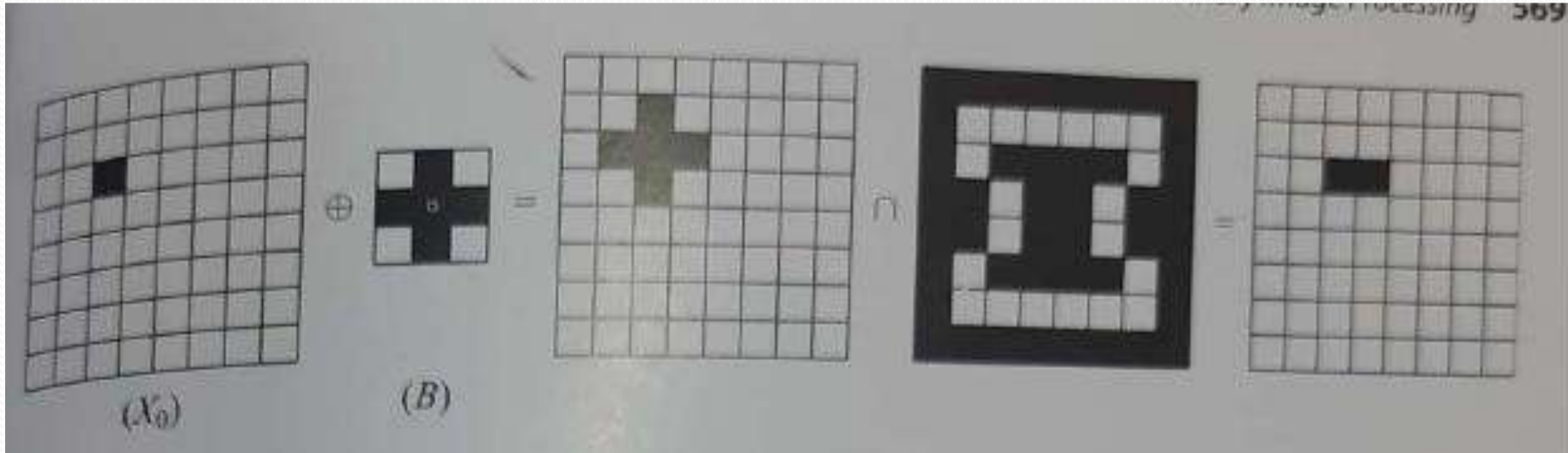
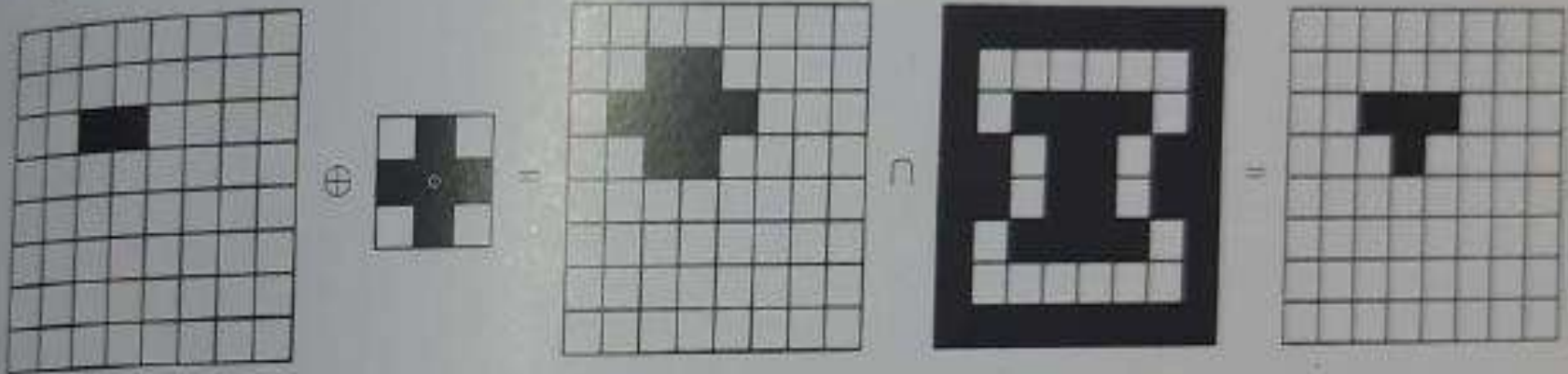


Fig. 10.46 (a) Input image A (b) Complement of input image (c) Structuring element B

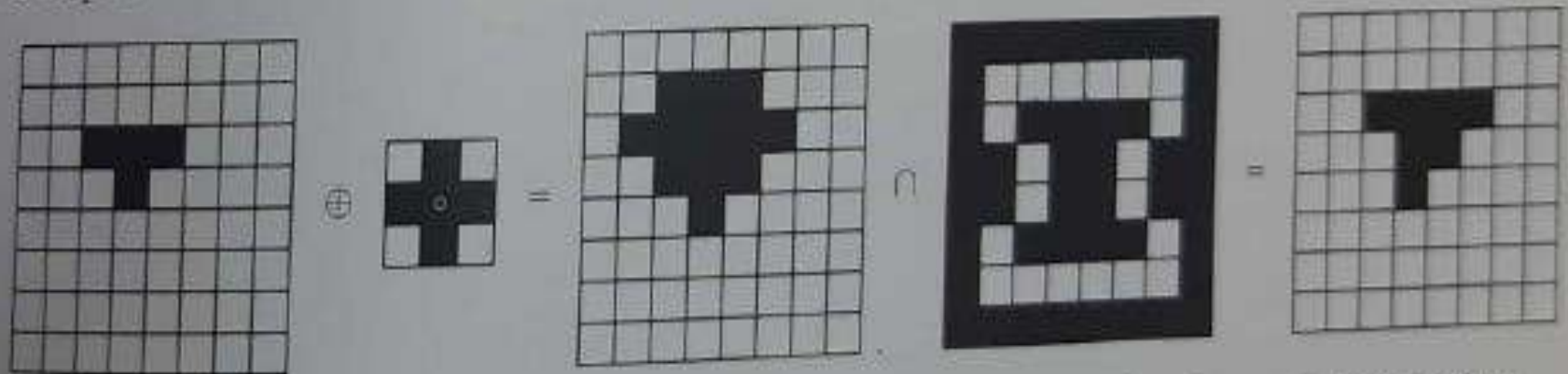
Step1 : initially take X_0 as shown below, now perform the dilation of X_0 with the structuring element B . The resulting image is then intersected with the complement of the input image. This completes first iteration



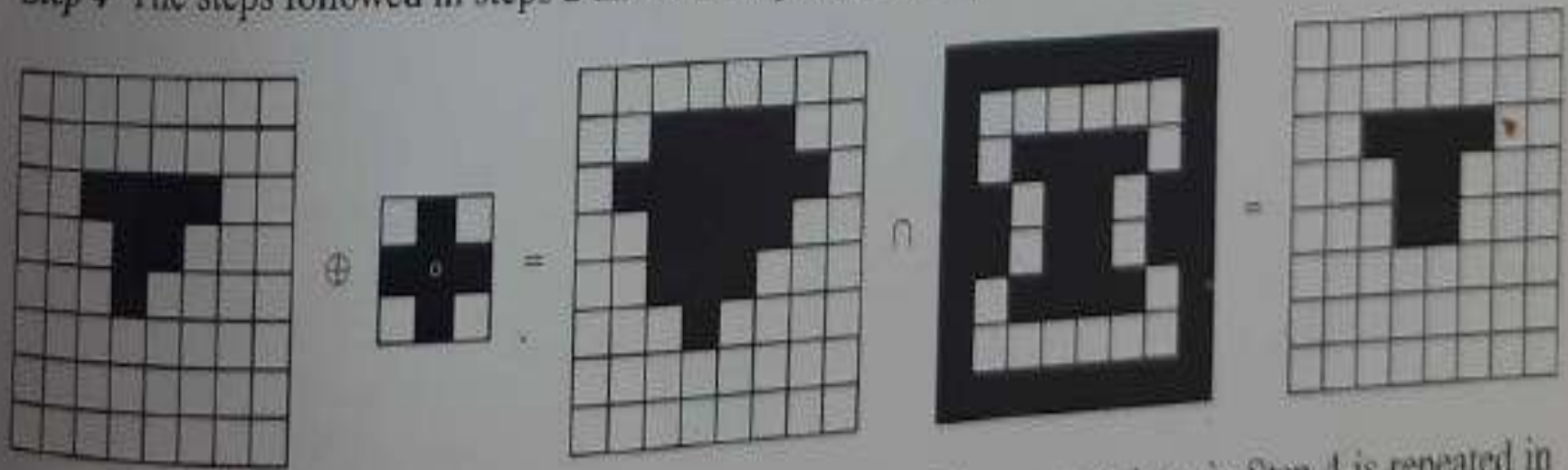
Step 2 Now, the input to the second step is the result of the first iteration. The process performed in Step 1 is repeated in Step 2.



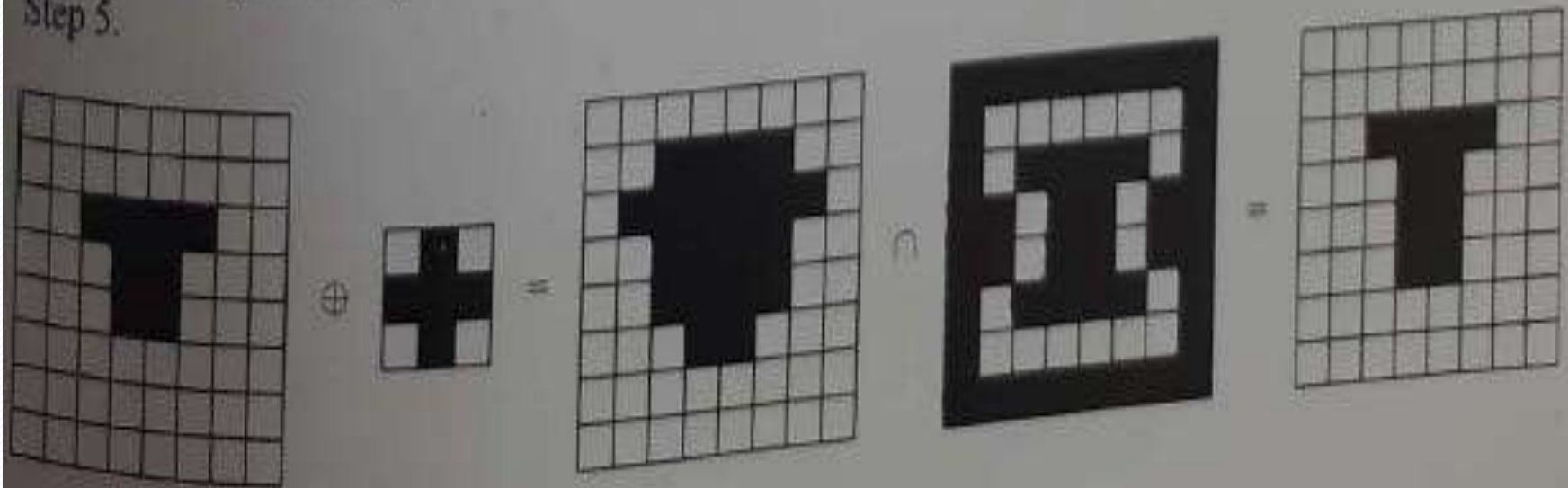
Step 3 The same process is repeated again but the input image to the third iteration is the output image of Step 2.



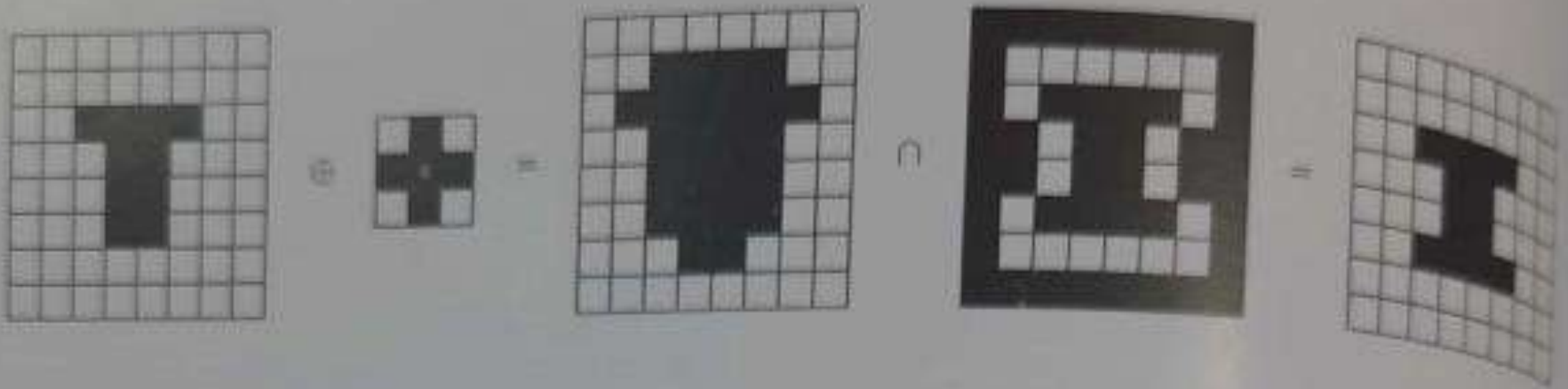
Step 4 The steps followed in steps 2 and 3 are repeated in Step 4. The resultant image is shown below.



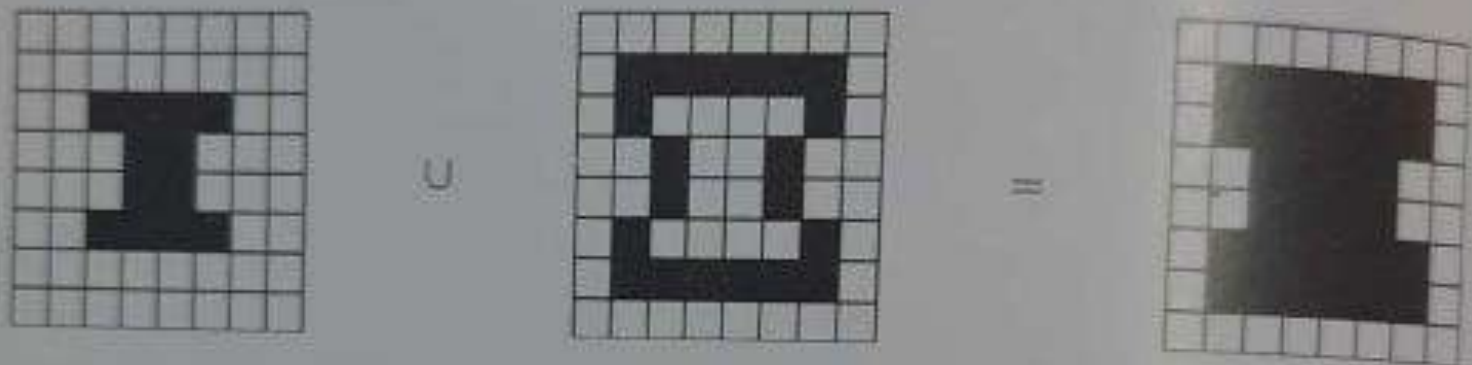
Step 5 The input to Step 5 is the output image of Step 4. The process done in Step 4 is repeated in Step 5.



Step 6 The input to Step 6 is the output image of Step 5. The process done in Step 5 is repeated in Step 6.



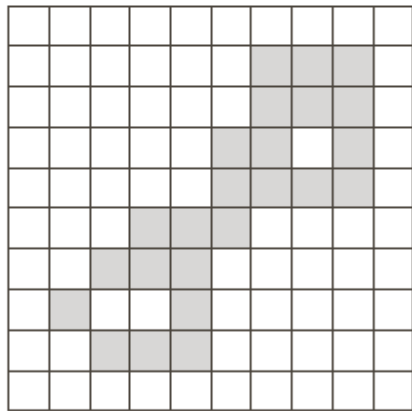
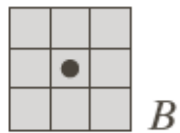
Step 7 Now, we perform the union of the result obtained in Step 7 with the original input image to get the region-filled image which is shown below.



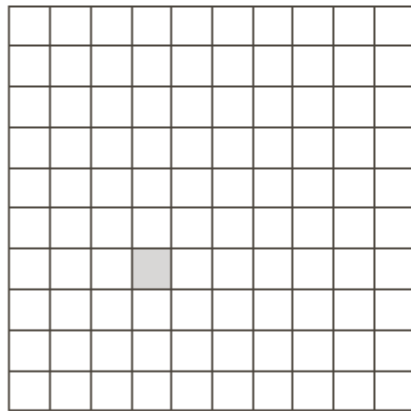
9.5.3 Extraction of Connected Components

- This algorithm extracts a component by selecting a point on a binary object A
- Works similar to region filling, but this time we use in the conjunction the object A , instead of its complement

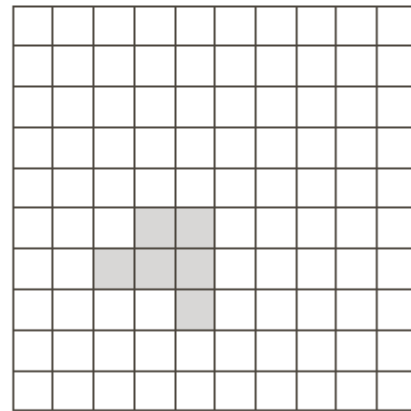
$$X_k = (X_{k-1} \oplus B) \cap A$$



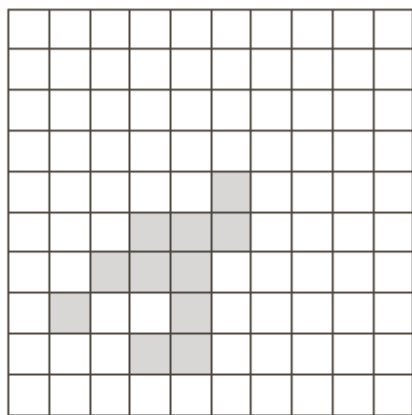
A



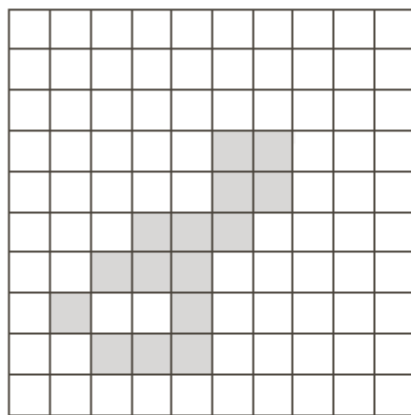
X_0



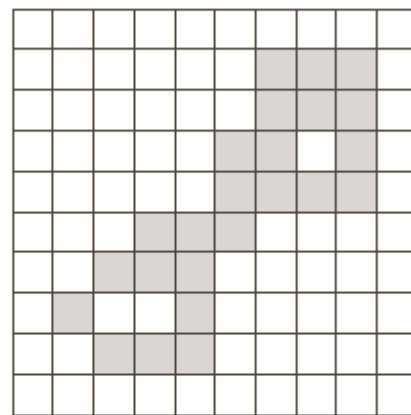
X_1



X_2



X_3



X_6

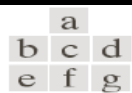


FIGURE 9.17 Extracting connected components. (a) Structuring element. (b) Array containing a set with one connected component. (c) Initial array containing a 1 in the region of the connected component. (d)–(g) Various steps in the iteration of Eq. (9.5-3).

a
b
c d

FIGURE 9.18

(a) X-ray image of chicken filet with bone fragments.

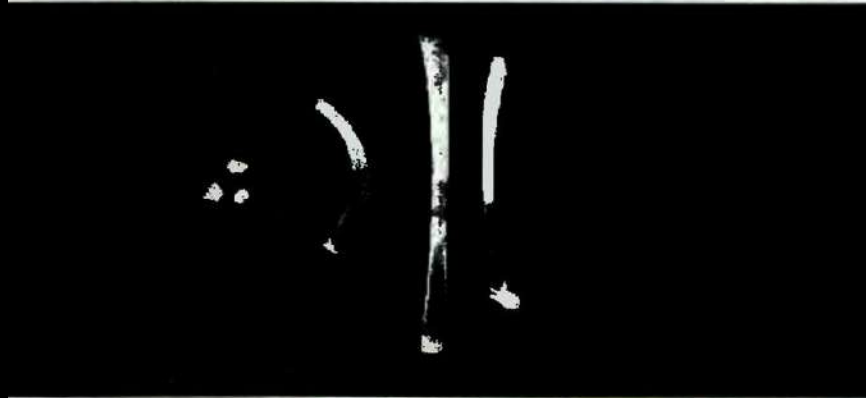
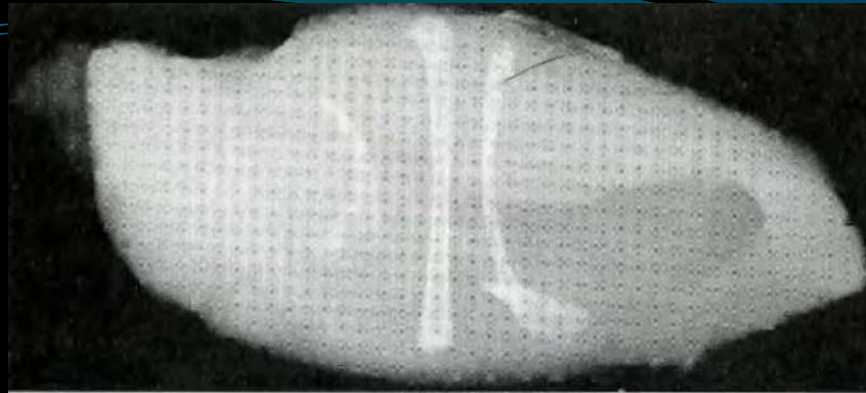
(b) Thresholded image.

(c) Image eroded with a 5×5 structuring element of 1s.

(d) Number of pixels in the connected components of (c).

(Image courtesy of NTB

Elektronische Geraete GmbH, Diepholz, Germany, www.ntbxray.com.)

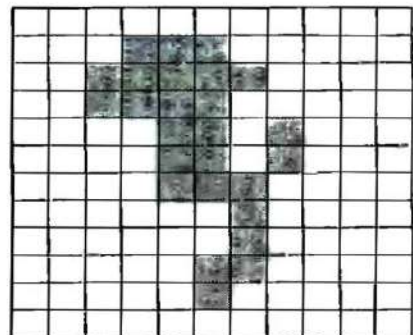
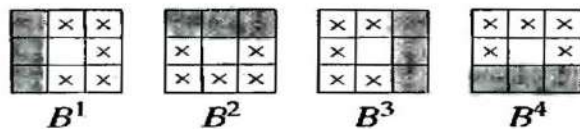


Connected component.	No. of pixels in connected comp
01	11
02	9
03	9
04	39
05	133
06	1
07	1
08	743
09	7
10	11
11	11
12	9
13	9
14	674
15	85

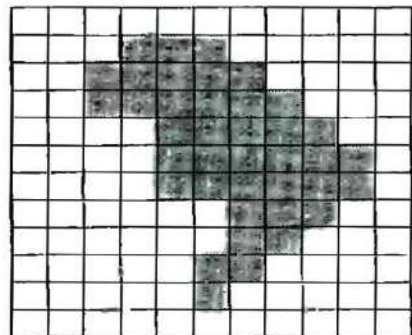
9.5.4 Convex Hull

- A is said to be convex if a straight line segment joining any two points in A lies entirely within A
- The convex hull H of set S is the smallest convex set containing S
- Convex deficiency is the set difference H-S
- Useful for object description
- This algorithm iteratively applying the hit-or-miss transform to A with the first of B element, unions it with A, and repeated with second element of B

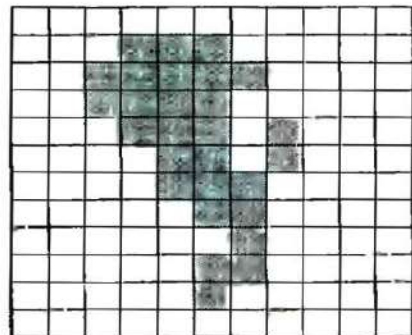
$$X_k^i = (X_{k-1} \otimes B^i) \cup A$$



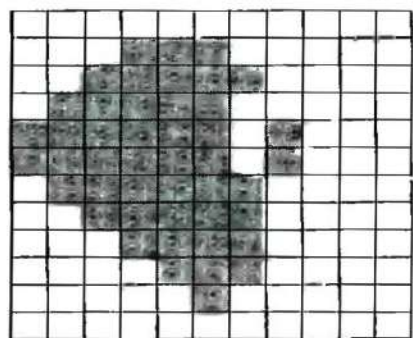
$X_0^1 = A$



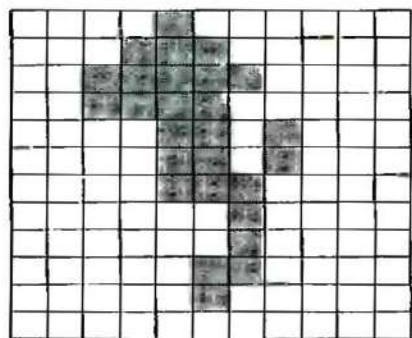
X_4^1



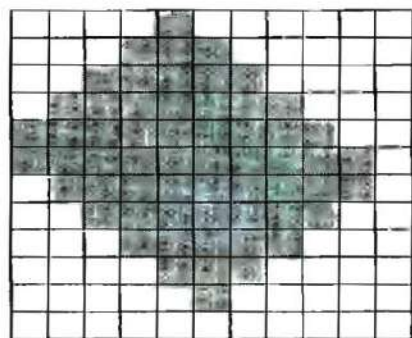
X_2^2



X_8^3



X_4^4



$C(A)$

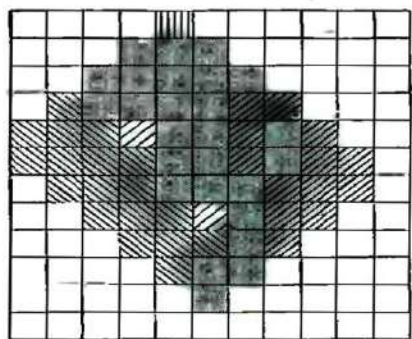


FIGURE 9.19

(a) Structuring elements. (b) Set A. (c)–(f) Results of convergence with the structuring elements shown in (a). (g) Convex hull. (h) Convex hull showing the contribution of each structuring element.

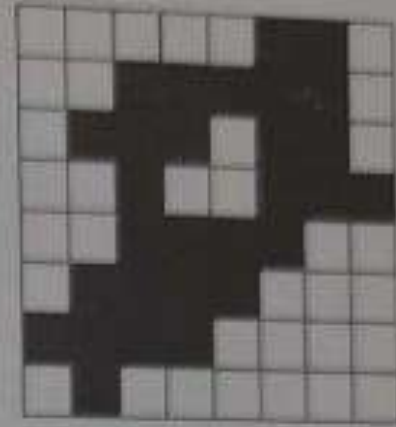
- The convex Hull method consists of iteratively applying the hit-or-miss transforms to A with B^1
- When no further change occurs, , we perform the union with A and call the result D^1 .
- The procedure is repeated for B^2 applied to A with no change occurs ...and so on..
- The union of 4 resulting Ds constitute the convex hull of A.

$$Y_k^i = \left(HM(Y_{k-1}^i, B^i) \cup X \right) \quad i=1, 2, 3, 4 \quad \text{and} \quad k=1, 2, 3, \dots$$

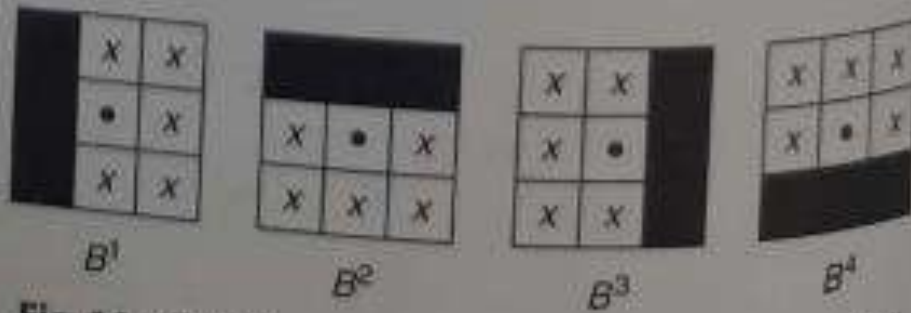
$$Y_0^i = X, \quad \text{and} \quad \text{let } D^i = Y_{\text{conv}}^i$$

Here, 'conv' means convergence, and the convex hull of X is $C(X) = \bigcup_{i=1}^4 D^i$.

Example 10.6 The input image X and the structuring element are shown below. Find the convex hull in the input image.



$$X = Y_0^1$$



X indicated
don'tcare

Fig. 10.47 Different structuring elements of convex hull

Solution The step-by-step approach to the determination of the convex hull of the input image is given below.

Step 1 The value of Y_1^1 is determined using $Y_1^1 = HM(Y_0^1, B^1) \sqcup X$.

Step 2 To find $HM(Y_0^1, B^1)$

$$HM(Y_0^1, B^1) = (Y_0^1 \ominus B^1) \cap \left((Y_0^1)^c \ominus (W - B^1) \right)$$

From the above definition, we have to find $Y_0^1 \ominus B^1$, the result of this operation is shown below.



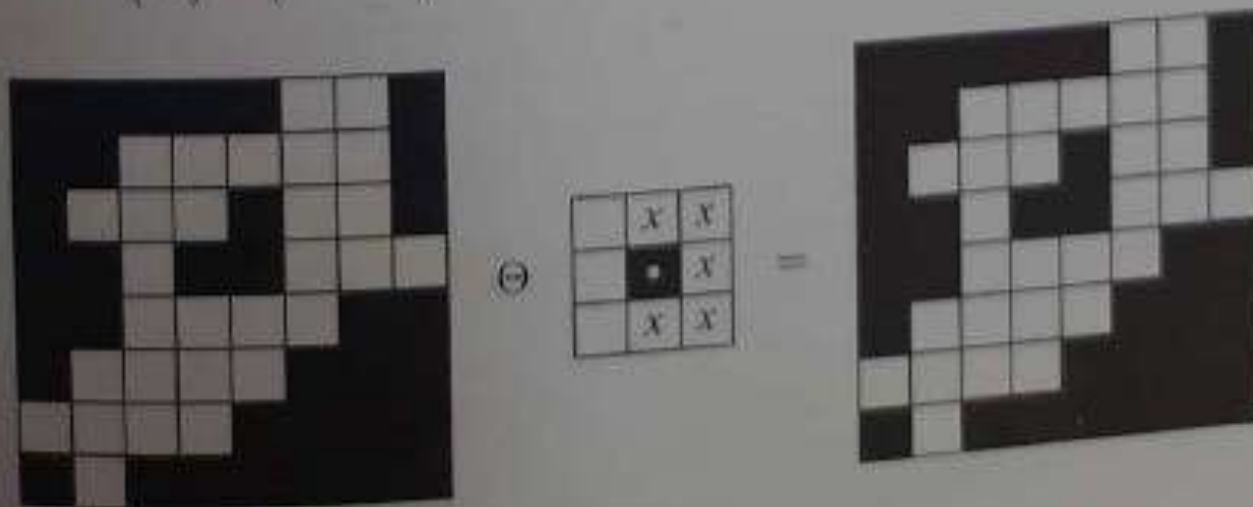
\ominus



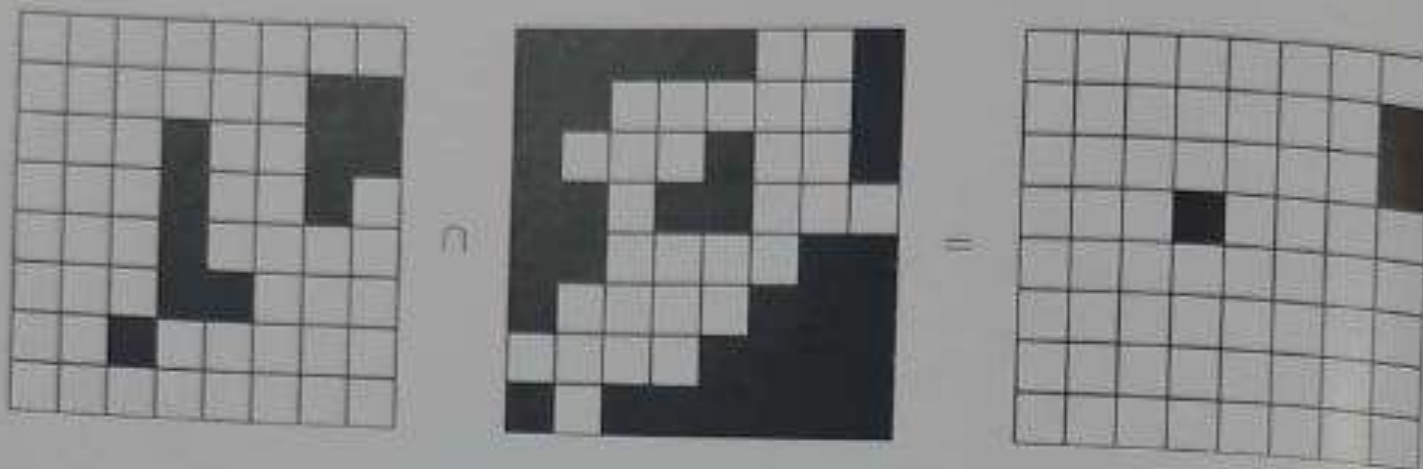
=



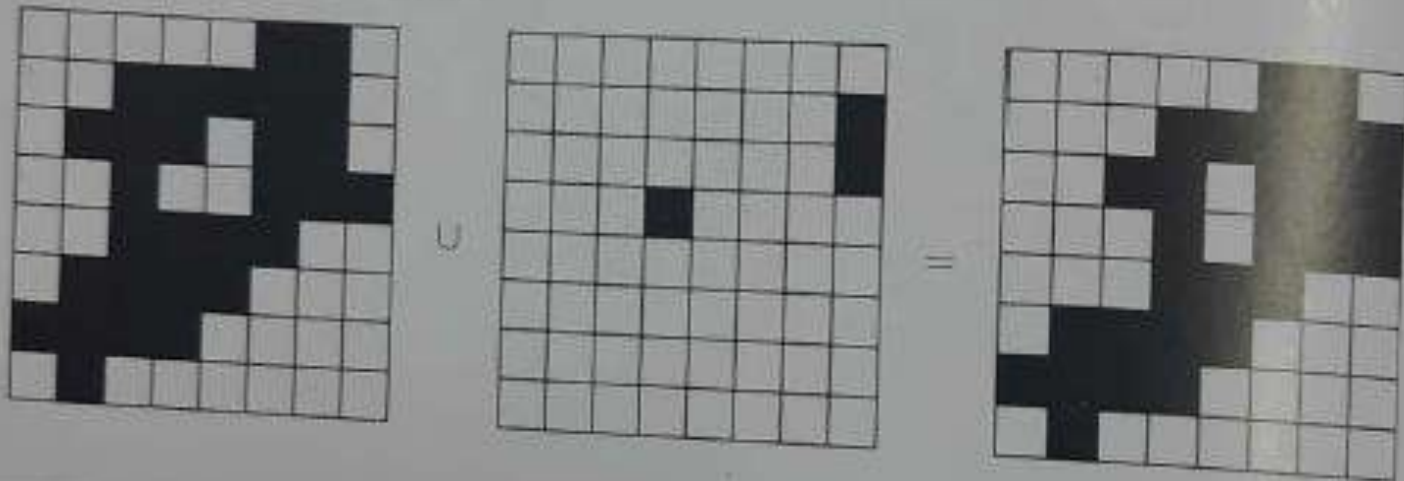
The next step is to find $(Y_0^1)^c \ominus (W - B^1)$. The result of $(Y_0^1)^c \ominus (W - B^1)$ is shown below.



Then we find the intersection of above two results which is illustrated below.



Step 3 The union of the input image with the result obtained in Step 2 will give the convex hull of the input image which is illustrated below.



9.5.5 Thinning

- The thinning of a set A by a structuring element B , can be defined by terms of the hit-and-miss transform:

$$A \otimes B = A - (A \circledast B) = A \cap (A \circledast B)^c$$

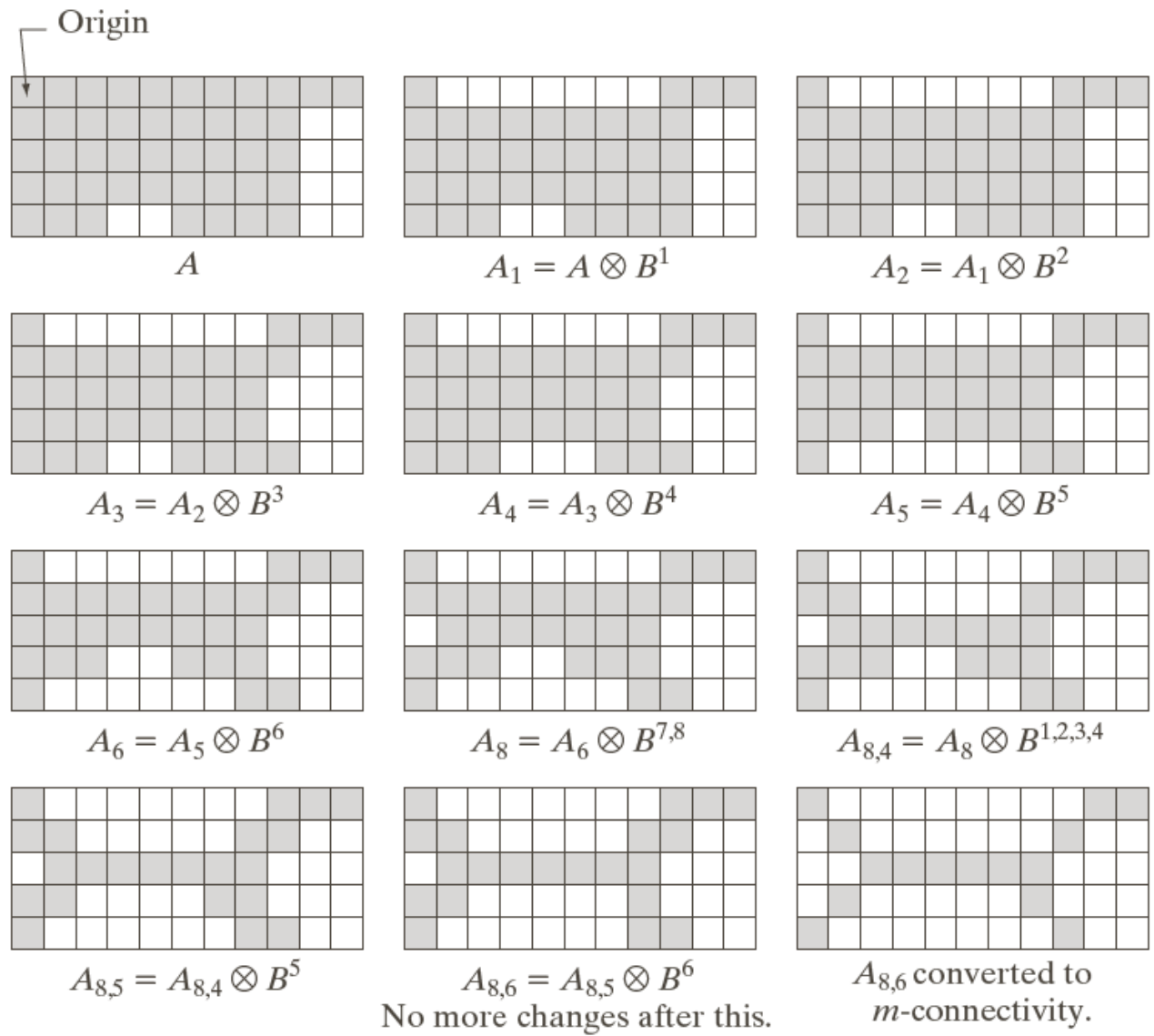
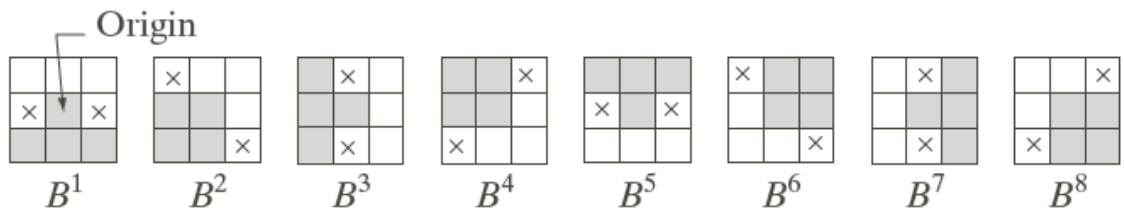
- A more useful expression for thinning A symmetrically is based on a sequence of structuring elements:

$$\{B\} = \{B^1, B^2, B^3, \dots, B^n\}$$

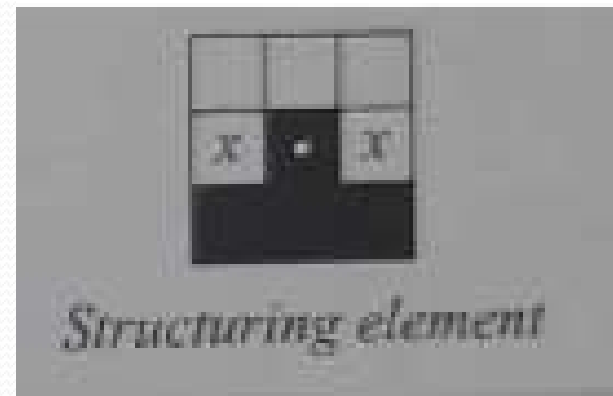
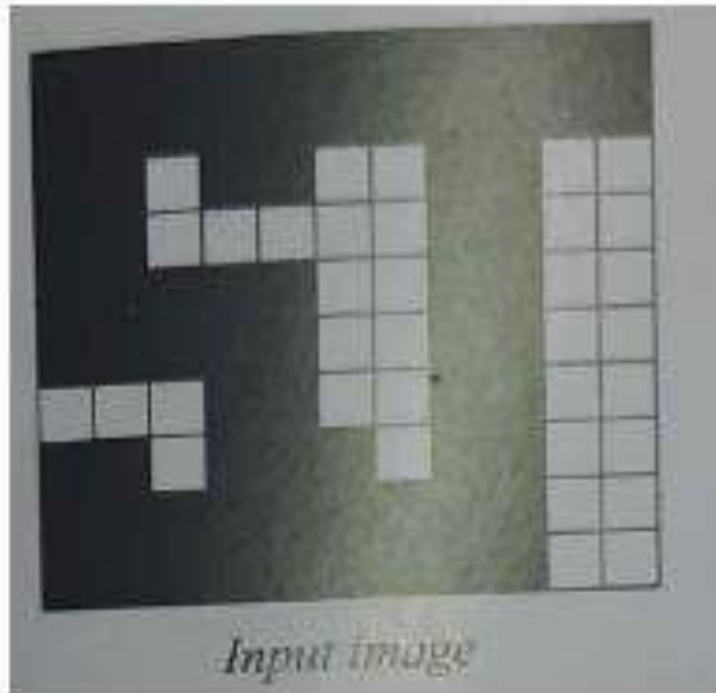
- Where B^i is a rotated version of B^{i-1} . Using this concept we define thinning by a sequence of structuring elements: $A \otimes \{B\} = ((\dots ((A \otimes B^1) \otimes B^2) \dots) \otimes B^n)$

- The process is to thin by one pass with B^1 , then thin the result with one pass with B^2 , and so on until A is thinned with one pass with B^n .
- The entire process is repeated until no further changes occur.
- Each pass is performed using the equation:

$$A \otimes B = A - (A * B) = A \cap (A * B)^c$$



- Apply the thinning process to the image using the structuring element shown below



Solution The step-by-step approach of the thinning process is given below.

Step 1 To perform the eroded operation of input image with structuring element

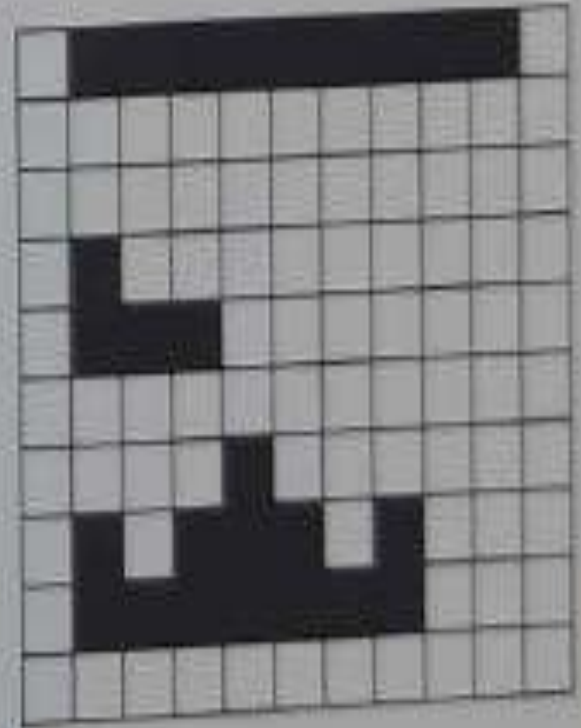
First, we find the eroded input image with the structuring element. The resultant image is shown below



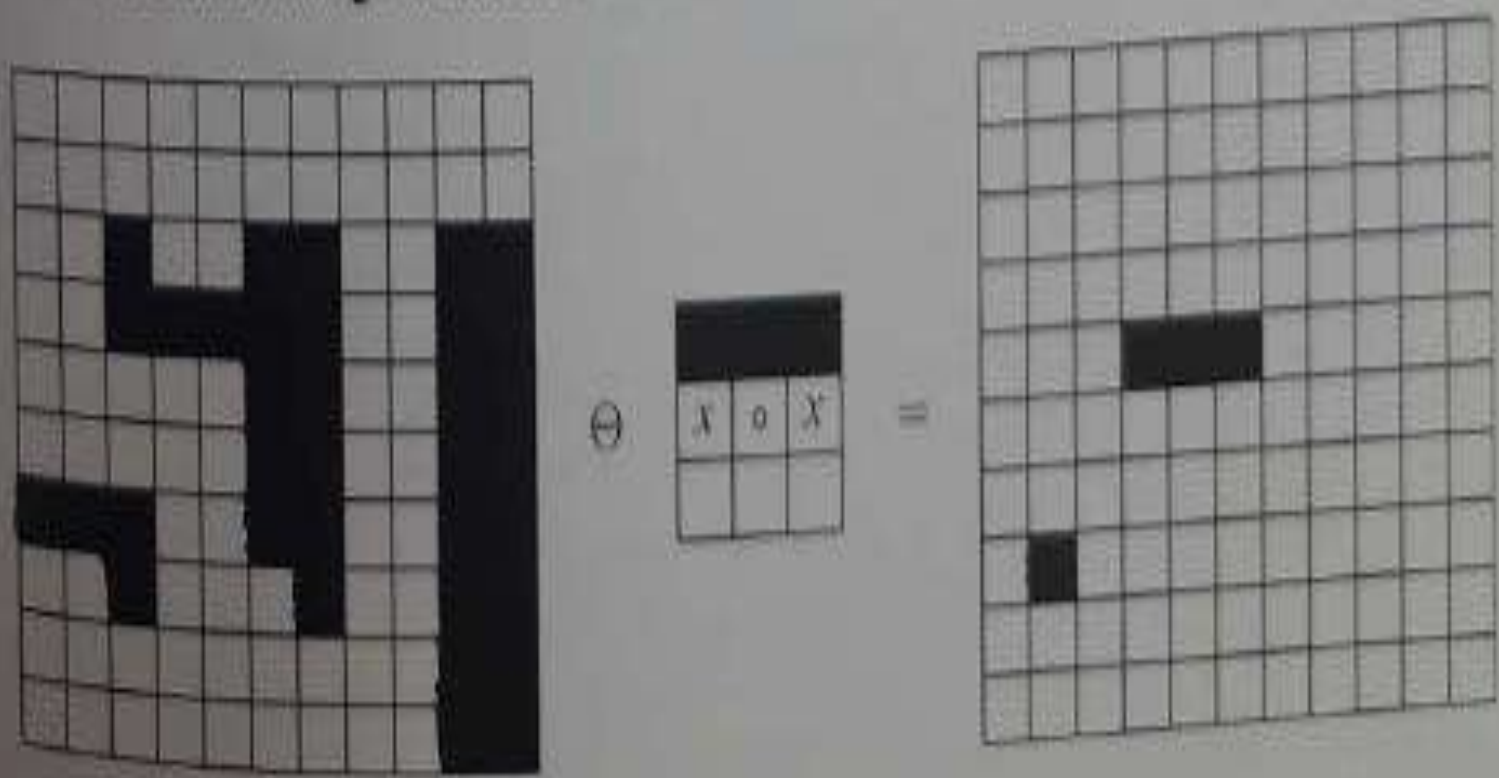
\ominus



=

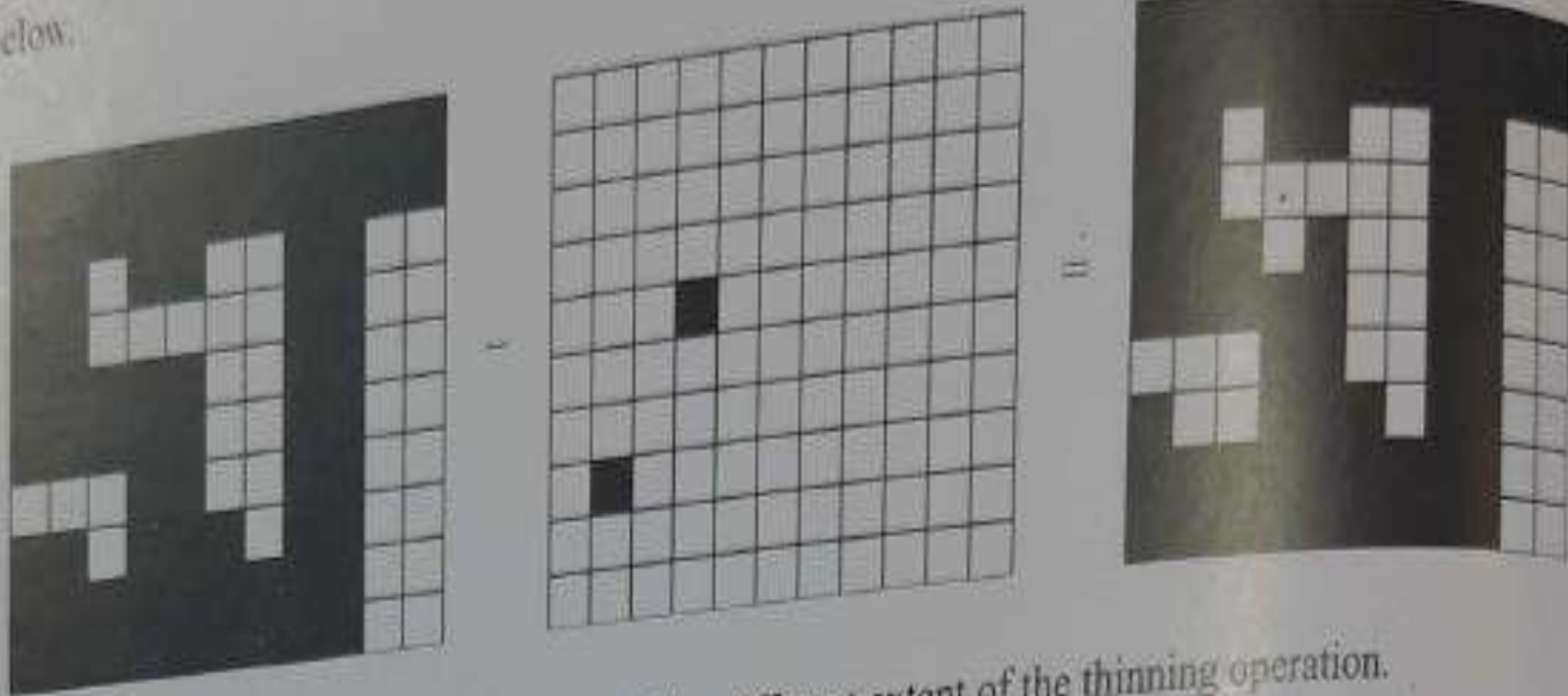


Step 2 To perform the eroded operation of the complement input image with the complement structuring element. The resultant image is illustrated below.



Step 3 To find the hit-or-miss transformation of the input image

The hit-or-miss transformation is the intersection of the above two results. This resultant image is subtracted from the original input image; and we get the thinned original image. This is illustrated below.



Note Changing the structuring element will yield a different extent of the thinning operation.

9.5.6 Thickening

- Thickening is a morphological dual of thinning.
- Definition of thickening $A \odot B = A \cup (A * B)$.
- As in thinning, thickening can be defined as a sequential operation:

$$A \odot \{B\} = ((\dots ((A \odot B^1) \odot B^2) \dots) \odot B^n)$$

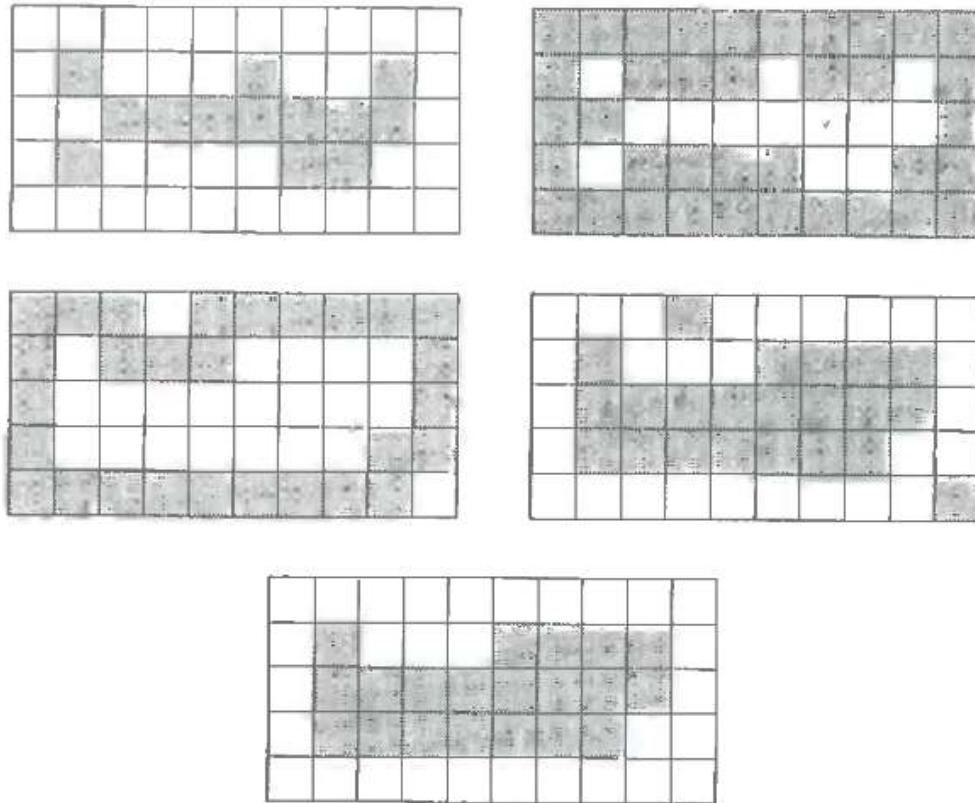
- the structuring elements used for thickening have the same form as in thinning, but with all 1's and 0's interchanged.

- A separate algorithm for thickening is often used in practice, Instead the usual procedure is to thin the background of the set in question and then complement the result.
- In other words, to thicken a set A , we form $C=A^c$, thin C and than form C^c .
- depending on the nature of A , this procedure may result in some disconnected points. Therefore thickening by this procedure usually require a simple post-processing step to remove disconnected points.

9.5.6 Thickening example preview

- We will notice in the next example 9.22(c) that the thinned background forms a boundary for the thickening process, this feature does not occur in the direct implementation of thickening
- This is one of the reasons for using background thinning to accomplish thickening.

9.5.6 Thickening example



a b
c d
e

FIGURE 9.22 (a) Set A . (b) Complement of A . (c) Result of thinning the complement of A . (d) Thickened set obtained by complementing (c). (e) Final result, with no disconnected points.

9.5.7 Skeleton

- The notion of a skeleton $S(A)$ of a set A is intuitively defined, we deduce from this figure that:
 - a) If z is a point of $S(A)$ and $(D)z$ is the largest disk centered in z and contained in A (one cannot find a larger disk that fulfils this terms) – this disk is called “maximum disk”.
 - b) The disk $(D)z$ touches the boundary of A at two or more different places.

9.5.7 Skeleton

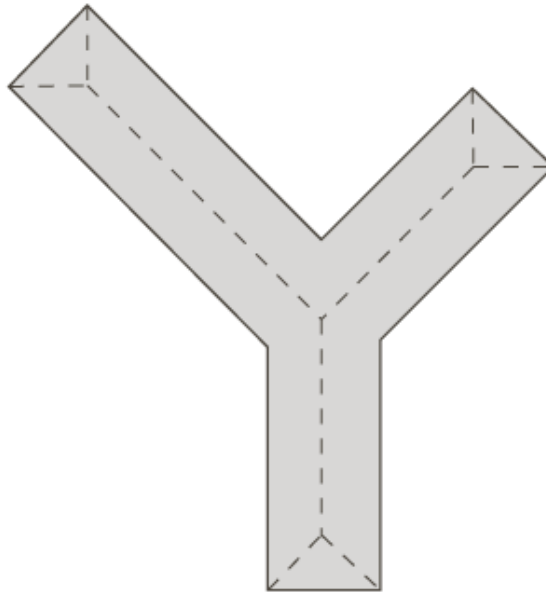
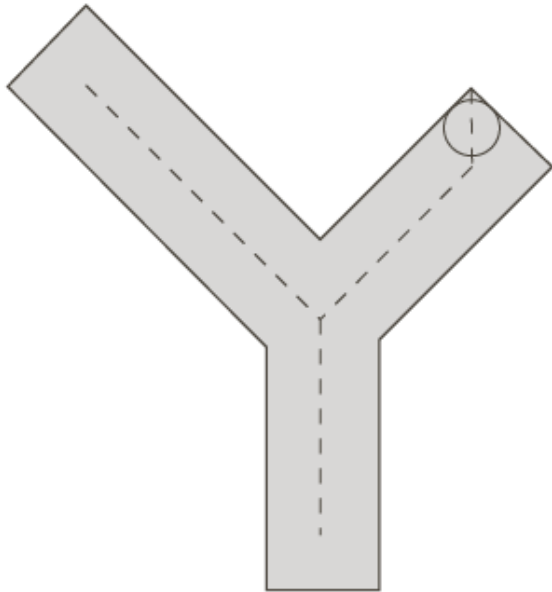
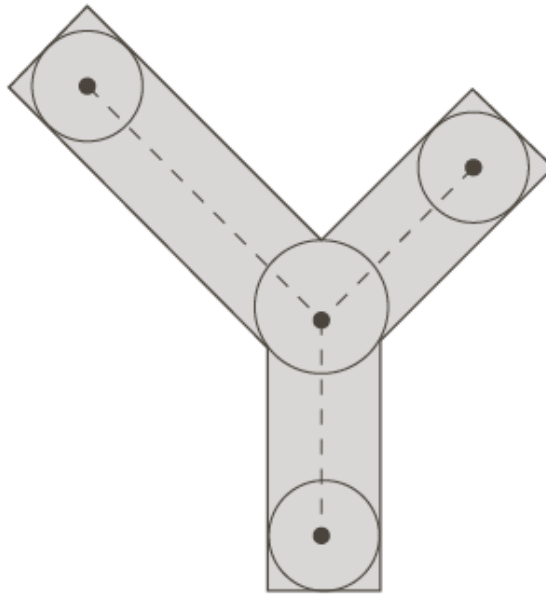
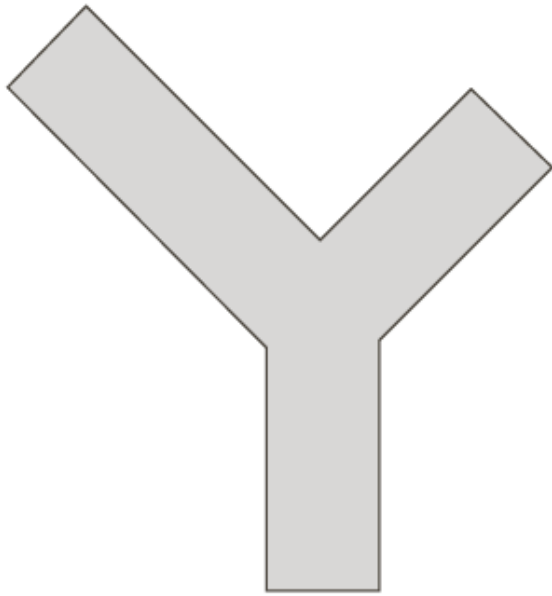
- The skeleton of A is defined by terms of erosions and openings:

$$S(A) = \bigcup_{k=0}^K S_k(A)$$

- with $S_k(A) = (A \ominus kB) - (A \ominus kB) \circ B$
- Where B is the structuring element and $(A \ominus kB)$ indicates k successive erosions of A:

$$(A \ominus kB) = (\dots ((A \ominus B) \ominus B) \ominus \dots) \ominus B$$

- k times, and K is the last iterative step before A erodes to an empty set, in other words: $K = \max \{k | (A \ominus kB) \neq \emptyset\}$
- in conclusion S(A) can be obtained as the union of skeleton subsets $S_k(A)$.



a	b
c	d

FIGURE 9.23

(a) Set A .

(b) Various positions of maximum disks with centers on the skeleton of A .

(c) Another maximum disk on a different segment of the skeleton of A .

(d) Complete skeleton.

9.5.7 Skeleton

- A can be also reconstructed from subsets $S_k(A)$ by using the equation:

$$A = \bigcup_{k=0}^K (S_k(A) \oplus kB)$$

- Where $(S_k(A) \oplus kB)$ denotes k successive dilations of $S_k(A)$ that is:

$$(S_k(A) \oplus kB) = ((\dots ((S_k(A) \oplus B) \oplus B) \oplus \dots) \oplus B)$$

9.5.8 Pruning

Syllabus

- ❖ Fundamentals, point, line and edge detection, detection of isolated point, line detection edge models, basic edge detection [10.1,10.2.2 to 10.2.5]

- Image segmentation divides an image into regions that are connected and have some similarity within the region and some difference between adjacent regions.
- The goal is usually to **find individual objects** in an image.

- For the most part there are fundamentally two kinds of approaches to segmentation: **discontinuity and similarity**.
 - Similarity may be due to pixel intensity, color or texture.
 - Differences are sudden changes (discontinuities) in any of these, but especially sudden changes in intensity along a boundary line, which is called an edge.
- Segmentation algorithms are area oriented instead of pixel oriented.
- The result of segmentation is the splitting up of image into connected areas.
- Thus segmentation is concerned with dividing an image into meaning regions.
- **Applications of image segmentation:**
Medical Imaging , Satellite imaging , Movement detection ,License plate recognition ,Robot navigation ...etc

10.2 Point, line and Edge Detection

- Segmentation methods are based on detecting sharp , local changes in intensity.
- Three types of image features in which we are interested are
 - ✓ **isolated points**
 - ✓ **lines**
 - and
 - ✓ **edges.**
- Edge pixels are pixels at which intensity of an image function changes abruptly , and edges (edge segments) are set of connected edge pixels .
- Edge detectors are local image processing methods designed to detect edge pixels.

- A line may be viewed as an edge segment in which intensity of the background on either side of the line is either much higher or lower than intensity of the line pixels. Lines give rises to so called roof edges.
- An isolated point may be viewed as a line whose length and width are equal to one pixel.

10.2.1 Background

- WKT the local changes in intensity can be detected using derivatives.
- Derivatives of the digital function are defined in terms of these differences.
- First order derivatives :
 1. must be nonzero in areas of constant intensity
 2. must be non zero at the onset of an intensity step or ramp
 3. must be nonzero at points along an intensity ramp.

- Second order derivative :
 1. must be zero in areas of constant intensity
 2. must be non zero at the onset and end of an intensity step or ramp
 3. must be zero along intensity ramps
- Because we are dealing with digital quantities whose values are finite, the maximum possible intensity change is also finite and the shortest distance over which a change can occur is between adjacent pixels.
- We obtain an approximation to the first-order derivatives at point x of a one-dimensional function $f(x)$ by expanding the function $f(x+\Delta x)$ into a Taylor series about x , letting $\Delta x=1$, and keeping only the linear terms
- The result is the digital difference

$$\frac{\partial f}{\partial x} = f'(x) = f(x + 1) - f(x) \quad (10.2-1)$$

- ✓ We used a partial derivative here for consistency in notation when consider an image function of two variables ($\frac{\partial f}{\partial x} = \frac{df}{dx}$), at which time we will be dealing with partial derivatives along the two spatial axes.

- ✓ Calculate the second-order partial derivative of a function $f(x)$ by

$$\begin{aligned} \frac{\partial^2 f}{\partial x^2} &= \frac{\partial f'(x)}{\partial x} = f'(x+1) - f'(x) \\ &= f(x+2) - f(x+1) - f(x+1) + f(x) \\ &= f(x+2) - 2f(x+1) + f(x) \end{aligned}$$

- Our interest is on the second derivative about point x , so we subtract 1 from the arguments in the preceding expression

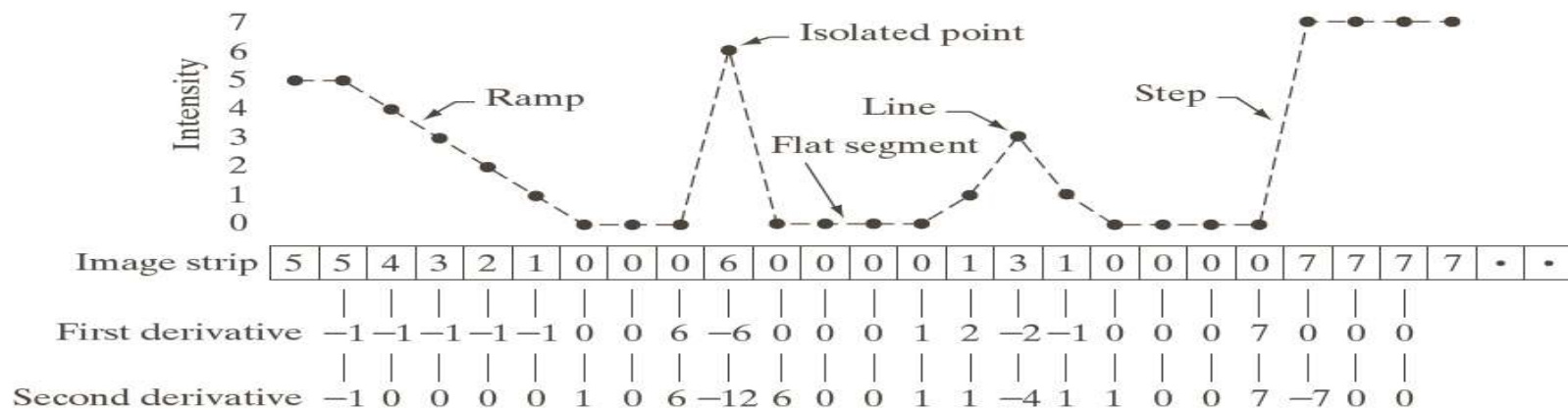
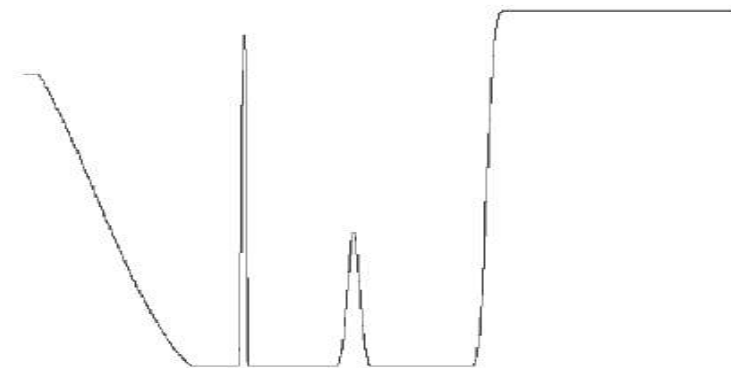
$$\frac{\partial^2 f}{\partial x^2} = f''(x) = f(x + 1) + f(x - 1) - 2f(x) \quad (10.2-2)$$

- The above two equations satisfy the conditions regarding derivatives of first and second order.

- To illustrate similar order considerations in a modular

FIGURE 10.2 (a) Image. (b) Horizontal intensity profile through the center of the image, including the isolated noise point. (c) Simplified profile (the points are joined by dashes for clarity). The image strip corresponds to the intensity profile, and the numbers in the boxes are the intensity values of the dots shown in the profile. The derivatives were obtained using Eqs. (10.2-1) and (10.2-2).

at the fundamental first and second image processing in previous



- Summary the following can be concluded:
- 1. First order derivatives generally produce thicker edges in an image.
- 2. Second order derivatives have a stronger response to fine detail, such as thin lines, isolated points and noise.
- 3. second -order derivatives produce a double-edge response at ramp and step transitions in intensity.
- 4. the sign of the second derivative can be used to determine whether a transition into edge is from light to dark or dark to light.

➤ $R = w_1 z_1 + w_2 z_2 + \dots + w_9 z_9 = \sum_{i=1}^9 w_i z_i$
 The most common way to apply a small mask over the image is to determine the kind of discontinuity to look for.

FIGURE 10.1 A
 general 3 × 3
 mask.

w_1	w_2	w_3
w_4	w_5	w_6
w_7	w_8	w_9

10.2.2 Detection of isolated points

- Point detection should be based on second derivative

$$\nabla^2 f(x, y) = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2} \quad (10.2-4)$$

where the partials are obtained using Eq. (10.2-2):

$$\frac{\partial^2 f(x, y)}{\partial x^2} = f(x + 1, y) + f(x - 1, y) - 2f(x, y) \quad (10.2-5)$$

and

$$\frac{\partial^2 f(x, y)}{\partial y^2} = f(x, y + 1) + f(x, y - 1) - 2f(x, y) \quad (10.2-6)$$

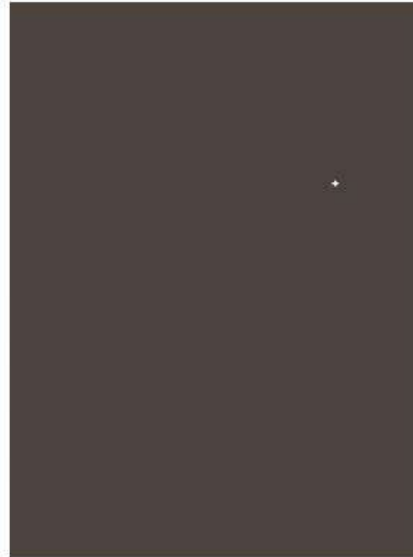
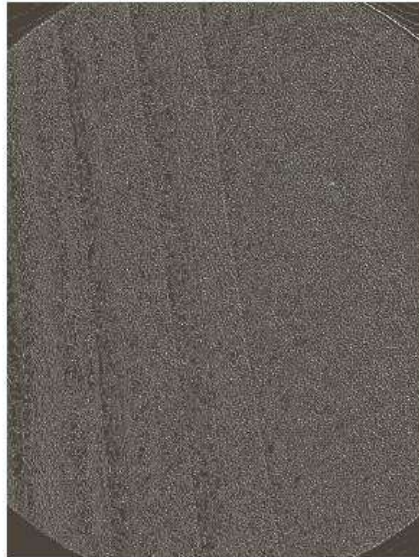
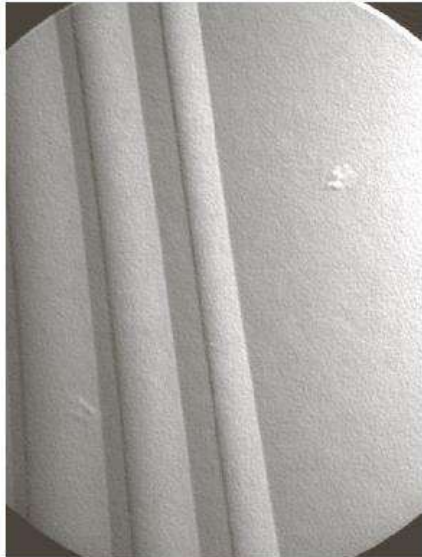
The Laplacian is then

$$\begin{aligned} \nabla^2 f(x, y) = & f(x + 1, y) + f(x - 1, y) + f(x, y + 1) \\ & + f(x, y - 1) - 4f(x, y) \end{aligned} \quad (10.2-7)$$

- Using Laplacian mask in below fig10.4, we say that the point has been detected as the location (x, y) on which the mask is centered, if the absolute value of the response of the mask $R(x, y)$ is greater than or equal to a threshold T .
- Such a point is called a Laplacian point and all other points are called non-Laplacian points.

$$g(x, y) = \begin{cases} 1 & \text{if } |R(x, y)| \geq T \\ 0 & \text{otherwise} \end{cases}$$

1	1	1
1	-8	1
1	1	1



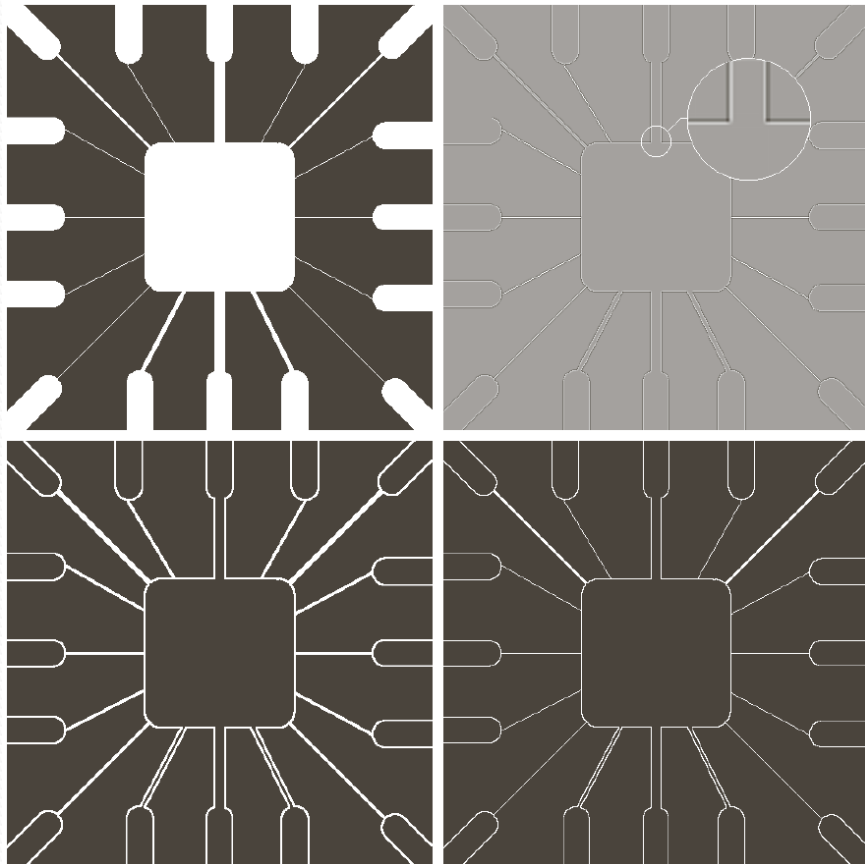
a
b c d

FIGURE 10.4

(a) Point detection (Laplacian) mask.
 (b) X-ray image of turbine blade with a porosity. The porosity contains a single black pixel.
 (c) Result of convolving the mask with the image.
 (d) Result of using Eq. (10.2-8) showing a single point (the point was enlarged to make it easier to see). (Original image courtesy of X-TEK Systems, Ltd.)

10.2.3 line detection

- Next level of complexity is line detection.
- For line detection we can expect second derivatives to result in a stronger response and to produce thinner lines than first derivatives.
- We can use the same laplacian mask shown above in fig 10.4(a) for line detection keeping in mind that the double line effect of the second derivative must be handled properly.
- This is illustrated in below example.



a	b
c	d

FIGURE 10.5

(a) Original image.

(b) Laplacian

image; the magnified section shows the

positive/negative

double-line effect

characteristic of the Laplacian.

(c) Absolute value of the Laplacian.

(d) Positive values of the Laplacian.

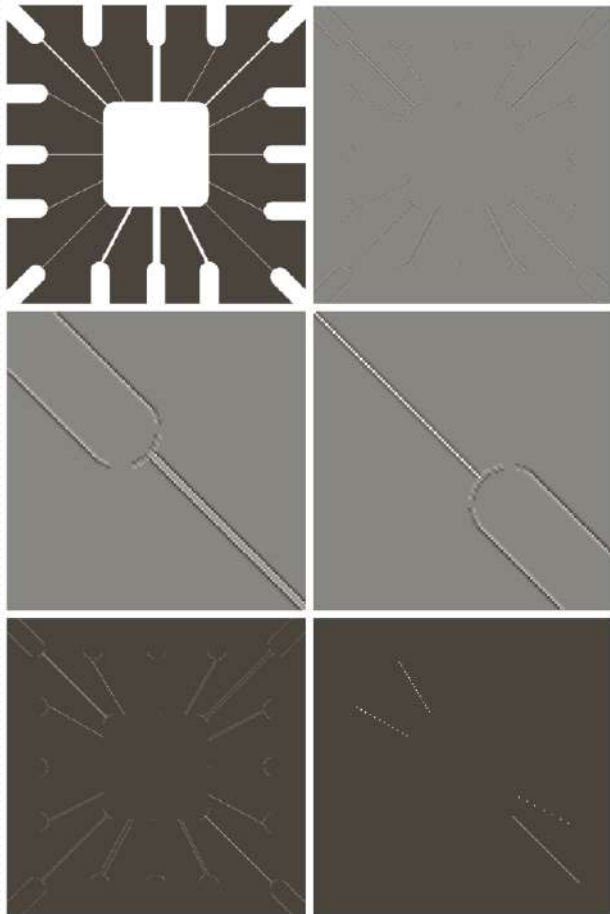
- The laplacian detector in fig 10.4, is isotropic, so its response is independent of direction,

- Often interest lies in detecting lines in specified

-1	-1	-1	2	-1	-1	-1	2	-1	-1	-1	2
2	2	2	-1	2	-1	-1	2	-1	-1	2	-1
-1	-1	-1	-1	-1	2	-1	2	-1	2	-1	-1
Horizontal			+45°			Vertical			-45°		

FIGURE 10.6 Line detection masks. Angles are with respect to the axis system in Fig. 2.18(b).

- Suppose that an image with constant background and containing various lines (oriented at 0° , $\pm 45^\circ$ and 90°) is filtered with the first mask .
- The maximum responses would occur at image locations in which a horizontal line passed through the middle of the row. The second mask responds best to lines oriented at $+45^\circ$. The third mask to vertical lines and forth to -45° .



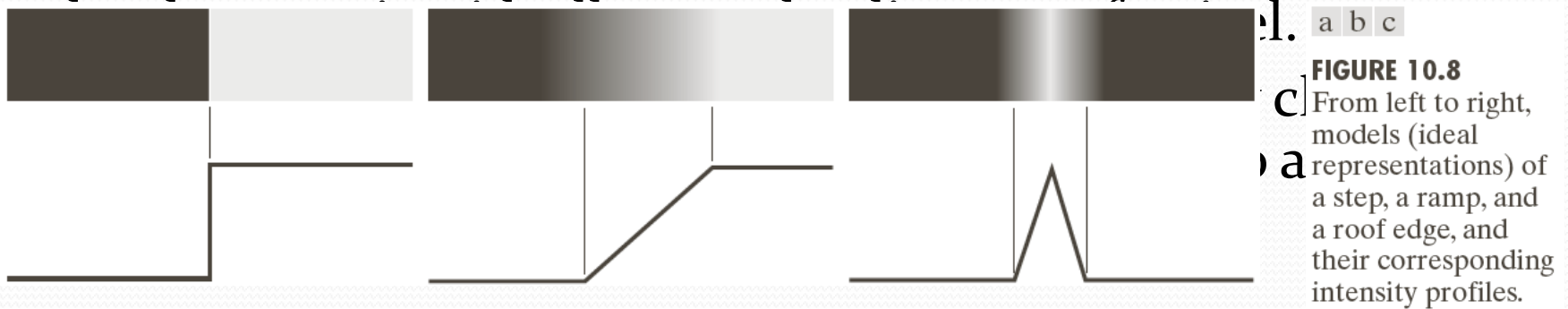
a	b
c	d
e	f

FIGURE 10.7

(a) Image of a wire-bond template.
 (b) Result of processing with the $+45^\circ$ line detector mask in Fig. 10.6.
 (c) Zoomed view of the top left region of (b).
 (d) Zoomed view of the bottom right region of (b).
 (e) The image in (b) with all negative values set to zero.
 (f) All points (in white) whose values satisfied the condition $g \geq T$, where g is the image in (e). (The points in (f) were enlarged to make them easier to see.)

10.2.4 Edge Models

- Edge detection is the approach used most frequently for segmentation images based on abrupt (local) changes in intensity.
- Edge models are classified accordingly to their intensity profiles.
- **A step edge** : involves a transition between two intensity



- In practice , digital images have edges that are blurred and noisy, with the degree of blurring determined principally by limitations in the focusing mechanisms (eg. Lenses in the case of optical image) and the noise level determined principally by the electronic components of the image system.
- In such cases , edges are closely modeled as having an intensity **ramp profile** . The slope of the ramp is proportional to the degree of blurring in the edges.
- In this model , we no longer have thin(1 pixel thick) path, instead, an edge point now is any point contained in the ramp and the edge segment would then be a set of such points that are connected.
- The third model of an edge is so called – **roof ed** s shown in fig above.
- Two nearby ramps edges in a line structure calle
- Basically two ways of roof convex roof edge shown above.
- Concave roof edge



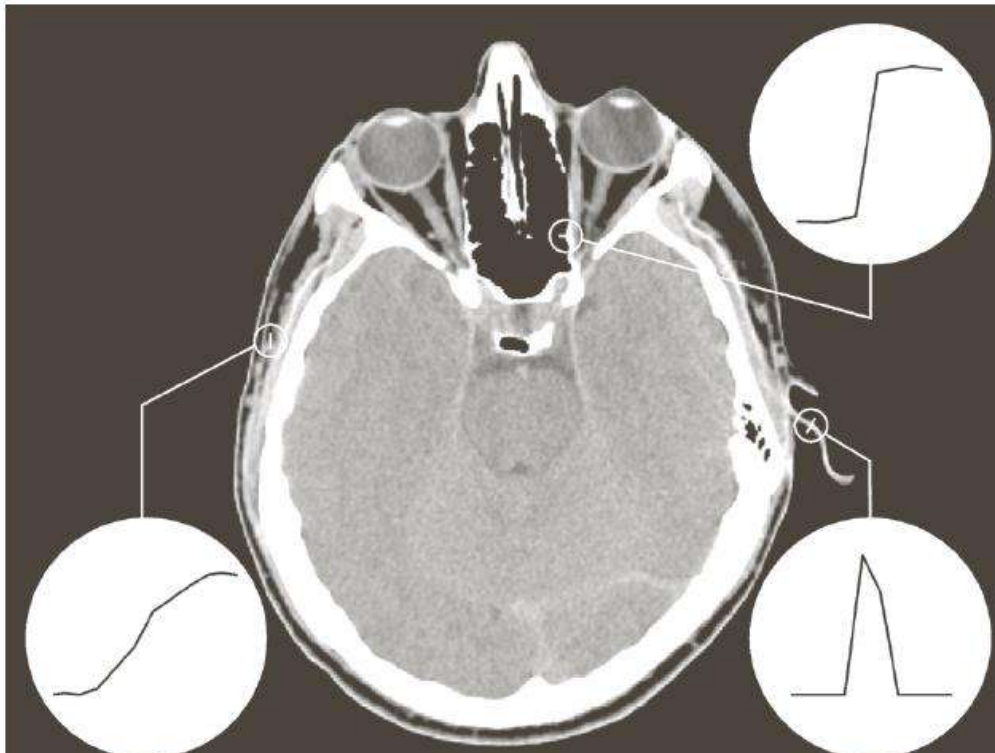
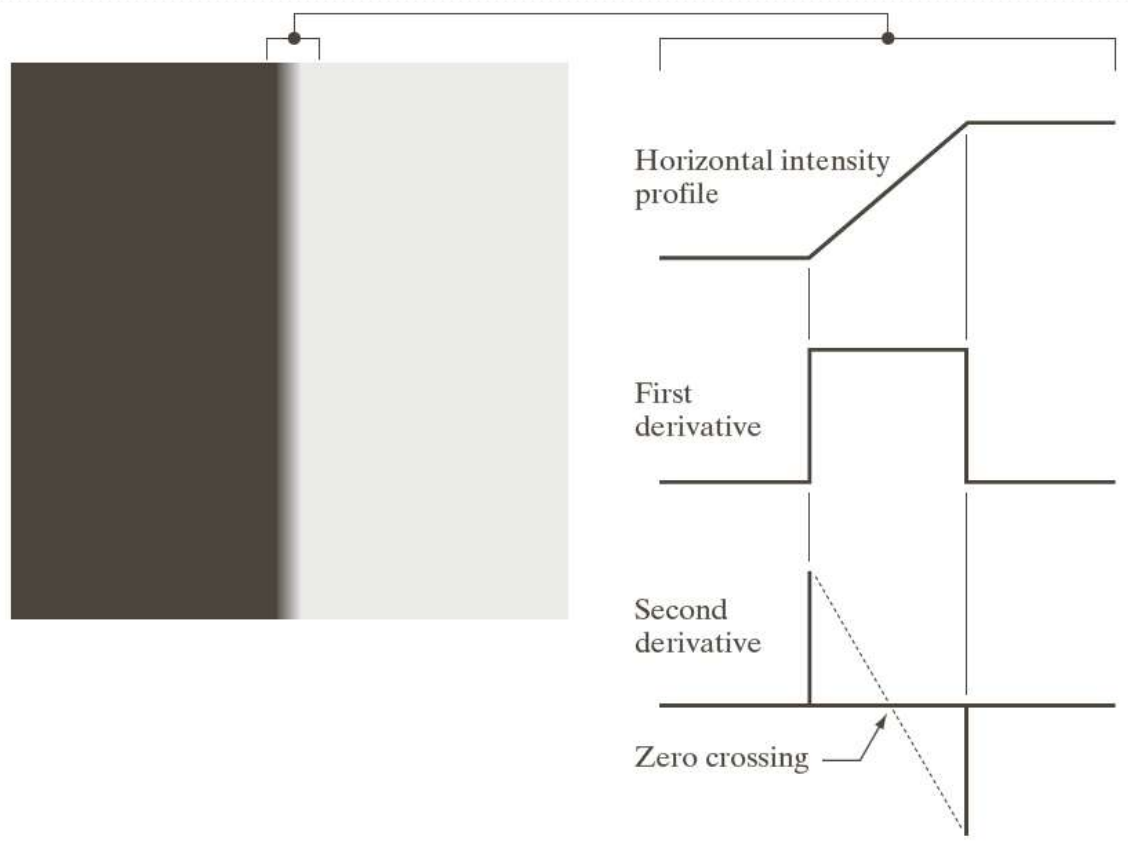


FIGURE 10.9 A 1508×1970 image showing (zoomed) actual ramp (bottom, left), step (top, right), and roof edge profiles. The profiles are from dark to light, in the areas indicated by the short line segments shown in the small circles. The ramp and “step” profiles span 9 pixels and 2 pixels, respectively. The base of the roof edge is 3 pixels. (Original image courtesy of Dr. David R. Pickens, Vanderbilt University.)



a b

FIGURE 10.10
 (a) Two regions of constant intensity separated by an ideal vertical ramp edge.
 (b) Detail near the edge, showing a horizontal intensity profile, together with its first and second derivatives.

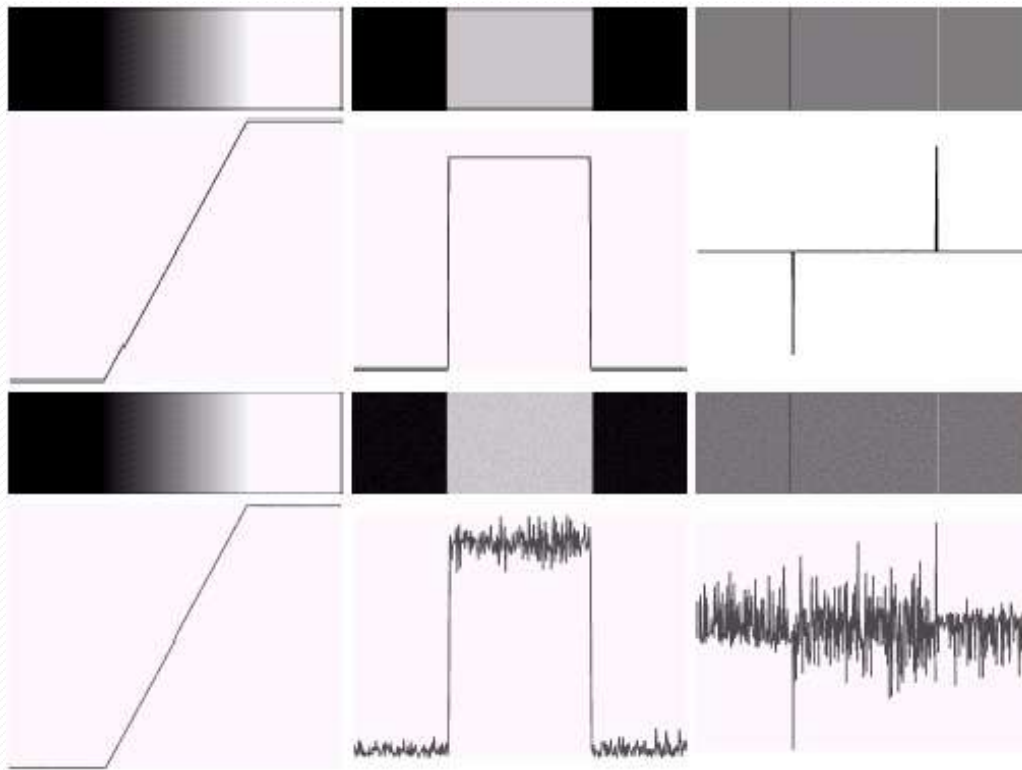


FIGURE 10.7 First column: images and gray-level profiles of a ramp edge corrupted by random Gaussian noise of mean 0 and $\sigma = 0.0, 0.1, 1.0,$ and $10.0,$ respectively. Second column: first-derivative images and gray-level profiles. Third column: second-derivative images and gray-level profiles.

a
b
c
d

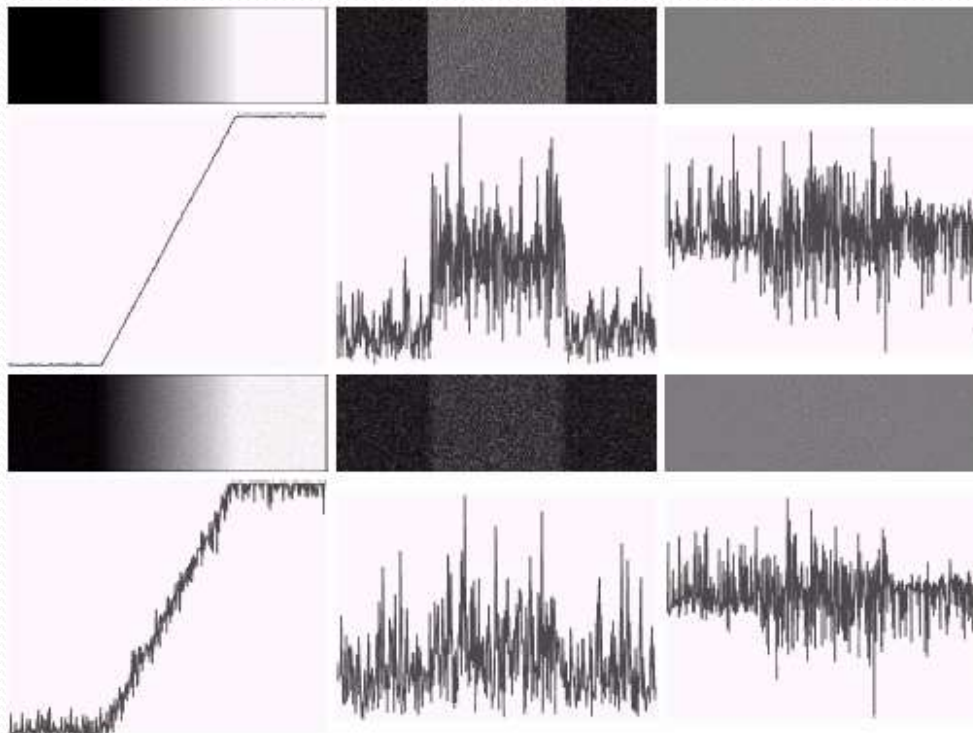


FIGURE 10.7 First column: images and gray-level profiles of a ramp edge corrupted by random Gaussian noise of mean 0 and $\sigma = 0.0, 0.1, 1.0,$ and $10.0,$ respectively. Second column: first-derivative images and gray-level profiles. Third column: second-derivative images and gray-level profiles.

a
b
c
d

- Three fundamental steps performed in edge detection
- 1. Image smoothing for noise reduction 2. detection of edge points
- 3. edge localization

- **10.2.5 basic edge detection**

- Detecting changes in intensity for the purpose of finding the edges can be accomplished using first or second order derivatives.

- **The image gradient and its properties**

- First-order derivatives: $\text{Grad}(f) = \begin{bmatrix} G_x \\ G_y \end{bmatrix} = \begin{bmatrix} \frac{\partial f}{\partial x} \\ \frac{\partial f}{\partial y} \end{bmatrix}$
 - The gradient of an image $f(x,y)$ at location (x,y) is defined as the **vector**:
 - $\text{Grad}(f) =$

The **magnitude** of this vector $\nabla f = \text{mag}(\nabla \mathbf{f}) = [G_x^2 + G_y^2]^{1/2}$

$$\alpha(x, y) = \tan^{-1} \left(\frac{G_x}{G_y} \right)$$

The **direction** of this vector:

- Gradient operators: obtaining gradient of an image requires computing the partial derivatives of



$$g_x = \frac{\partial f(x, y)}{\partial x} = f(x + 1, y) - f(x, y)$$

$$g_y = \frac{\partial f(x, y)}{\partial y} = f(x, y + 1) - f(x, y)$$

-1
1

-1	1
----	---

a b

FIGURE 10.13 One-dimensional masks used to implement Eqs. (10.2-12) and (10.2-13).

Detection of Discontinuities Gradient Operators

Roberts cross-gradient operators →

-1	0	0	-1
0	1	1	0

Roberts

Prewitt operators →

-1	-1	-1	-1	0	1
0	0	0	-1	0	1
1	1	1	-1	0	1

Prewitt

Sobel operators →

-1	-2	-1	-1	0	1
0	0	0	-2	0	2
1	2	1	-1	0	1

Sobel

$$g_x = \frac{\partial f}{\partial x} = (z_9 - z_5)$$

$$g_y = \frac{\partial f}{\partial y} = (z_8 - z_6)$$

Roberts operator

z_1	z_2	z_3
z_4	z_5	z_6
z_7	z_8	z_9

$$g_x = \frac{\partial f}{\partial x} = (z_7 + z_8 + z_9) - (z_1 + z_2 + z_3)$$

$$g_y = \frac{\partial f}{\partial y} = (z_3 + z_6 + z_9) - (z_1 + z_4 + z_7)$$

Prewitt operator

$$g_x = \frac{\partial f}{\partial x} = (z_7 + 2z_8 + z_9) - (z_1 + 2z_2 + z_3)$$

$$g_y = \frac{\partial f}{\partial y} = (z_3 + 2z_6 + z_9) - (z_1 + 2z_4 + z_7)$$

Sobel operator

- ✓ Prewitt masks are simpler to implement than sobel masks .
- ✓ The sobel masks have better noise suppression (smoothing characteristics which makes them preferable).

Prewitt masks for detecting diagonal edges



0	1	1	-1	-1	0
-1	0	1	-1	0	1
-1	-1	0	0	1	1

Prewitt

Sobel masks for detecting diagonal edges



0	1	2	-2	-1	0
-1	0	1	-1	0	1
-2	-1	0	0	1	2

Sobel

a	b
c	d

FIGURE 10.9 Prewitt and Sobel masks for detecting diagonal edges.

Detection of Discontinuities Gradient Operators: Example

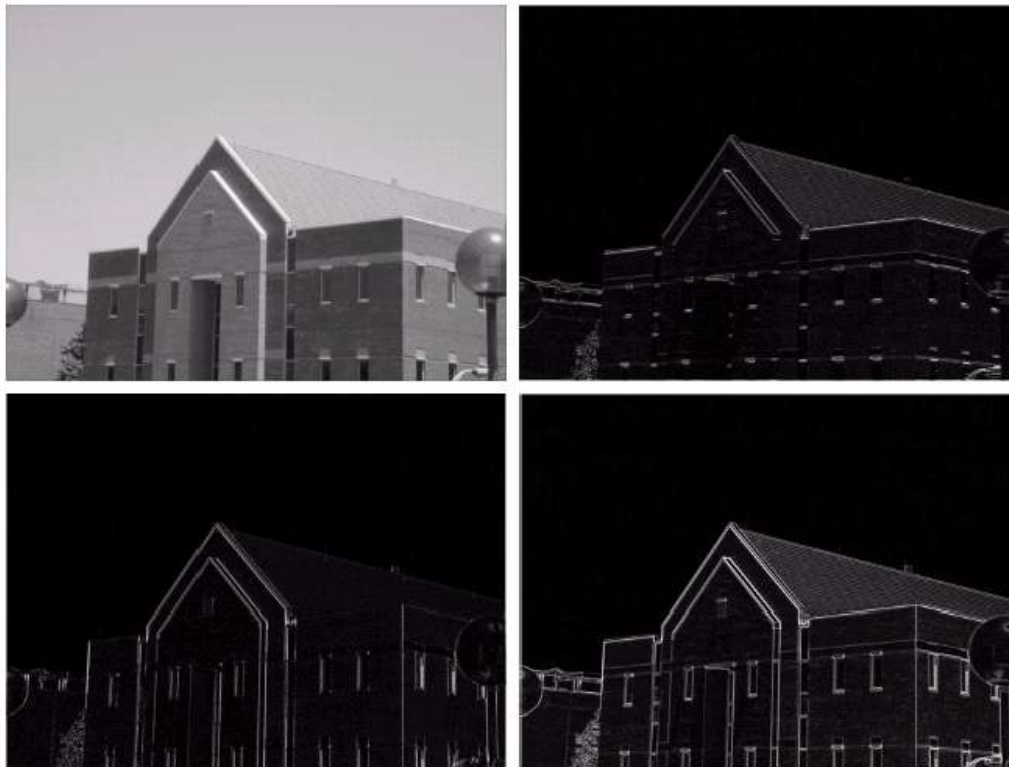
a b
c d

FIGURE 10.10
(a) Original image. (b) $|G_x|$, component of the gradient in the x -direction. (c) $|G_y|$, component in the y -direction. (d) Gradient image, $|G_x| + |G_y|$.

$$\nabla f \approx |G_x| + |G_y|$$



Detection of Discontinuities Gradient Operators: Example



a b
c d

FIGURE 10.11
Same sequence as in Fig. 10.10, but with the original image smoothed with a 5×5 averaging filter.

Detection of Discontinuities Gradient Operators: Example



a b

FIGURE 10.12
Diagonal edge detection.
(a) Result of using the mask in Fig. 10.9(c).
(b) Result of using the mask in Fig. 10.9(d). The input in both cases was Fig. 10.11(a).

0	1	2
-1	0	1
-2	-1	0

-2	-1	0
-1	0	1
0	1	2

The End!

Module 4 &5: Image Segmentation & Representation

Prepared By

Thejaswini S

Assistant Professor,

Dept. Of ETE,

BMSIT&M

Syllabus

Module 4 :

- ❖ Fundamentals, point, line and edge detection, detection of isolated point, line detection edge models, basic edge detection [10.1,10.2.2 to 10.2.5]

Module 5:

- ❖ Thresholding , Region-based segmentation : [10.3, 10.4]

- ❖ Representation : 11.1 {11.1.1 to 11.1.6}

➤ Image segmentation divides an image into regions that are connected and have some similarity within the region and some difference between adjacent regions.

➤ The goal is usually to **find individual objects** in an image.

➤ For the most part there are fundamentally two kinds of approaches to segmentation: **discontinuity and similarity**.

- Similarity may be due to pixel intensity, color or texture.
- Differences are sudden changes (discontinuities) in any of these, but especially sudden changes in intensity along a boundary line, which is called an edge.

➤ Segmentation algorithms are area oriented instead of pixel oriented.

➤ The result of segmentation is the splitting up of image into connected areas.

➤ Thus segmentation is concerned with dividing an image into meaning regions.

➤ **Applications of image segmentation:**

Medical Imaging , Satellite imaging , Movement detection ,License plate recognition ,Robot navigation ...etc

10.2 Point, line and Edge Detection

Segmentation methods are based on detecting sharp , local changes in intensity.

Three types of image features in which we are interested are

- ✓ isolated points
- ✓ lines
- and
- ✓ edges.

Edge pixels are pixels at which intensity of an image function changes abruptly , and edges (edge segments) are set of connected edge pixels .

Edge detectors are local image processing methods designed to detect edge pixels.

- A line may be viewed as an edge segment in which intensity of the background on either side of the line is either much higher or lower than intensity of the line pixels. Lines give rises to so called roof edges.
 - An isolated point may be viewed as a line whose length and width are equal to one pixel.
-

10.2.1 Background

- WKT the local changes in intensity can be detected using derivatives.
- Derivatives of the digital function are defined in terms of these differences.
- First order derivatives :
 1. must be nonzero in areas of constant intensity
 2. must be non zero at the onset of an intensity step or ramp
 3. must be nonzero at points along an intensity ramp.

➤ Second order derivative :

1. must be zero in areas of constant intensity

2. must be non zero at the onset and end of an intensity step or ramp

3. must be zero along intensity ramps

➤ Because we are dealing with digital quantities whose values are finite, the maximum possible intensity change is also finite and the shortest distance over which a change can occur is between adjacent pixels.

➤ We obtain an approximation to the first-order derivatives at point x of a one-dimensional function $f(x)$ by expanding the function $f(x+\Delta x)$ into a Taylor series about x , letting $\Delta x=1$, and keeping only the linear terms

➤ The result is the digital difference.

$$\frac{\partial f}{\partial x} = f'(x) = f(x + 1) - f(x) \quad (10.2-1)$$

✓ We used a partial derivative here for consistency in notation when consider an image function of two variables , $f(x , y)$, at which time we will be dealing with partial derivatives along the two spatial axes.

✓ Clearly $\partial f / \partial x = df / dx$ when f is a function of only one variable.

✓ We obtain an expression for the second derivative by differentiating above equation with respect to x

$$\begin{aligned}\frac{\partial^2 f}{\partial x^2} &= \frac{\partial f'(x)}{\partial x} = f'(x+1) - f'(x) \\ &= f(x+2) - f(x+1) - f(x+1) + f(x) \\ &= f(x+2) - 2f(x+1) + f(x)\end{aligned}$$

- Our interest is on the second derivative about point x , so we subtract 1 from the arguments in the preceding expression and obtain the result.

$$\frac{\partial^2 f}{\partial x^2} = f''(x) = f(x+1) + f(x-1) - 2f(x) \quad (10.2-2)$$

- The above two equations satisfy the conditions regarding derivatives of first and second order.
- To illustrate this and also to highlight the fundamental similarities and differences between first and second order derivatives in the context of image processing consider the fig below. As discussed in previous module.

a b
c

FIGURE 10.2 (a) Image. (b) Horizontal intensity profile through the center of the image, including the isolated noise point. (c) Simplified profile (the points are joined by dashes for clarity). The image strip corresponds to the intensity profile, and the numbers in the boxes are the intensity values of the dots shown in the profile. The derivatives were obtained using Eqs. (10.2-1) and (10.2-2).

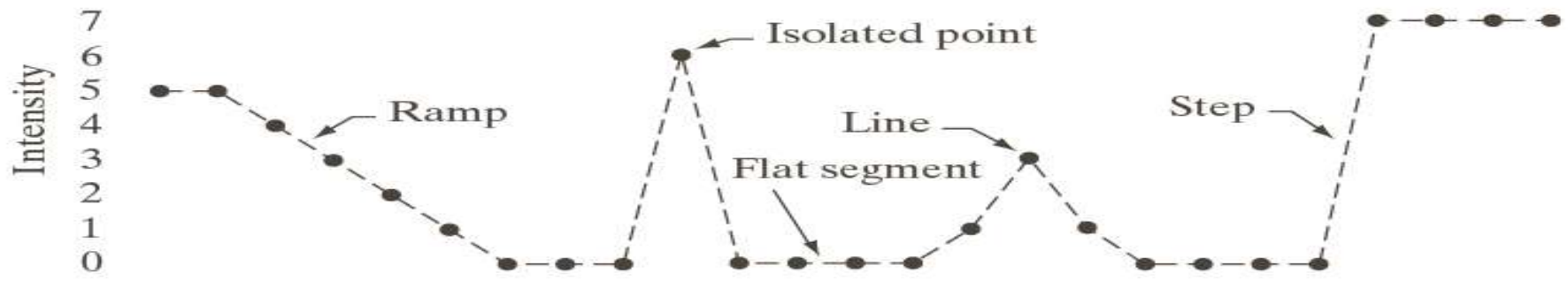
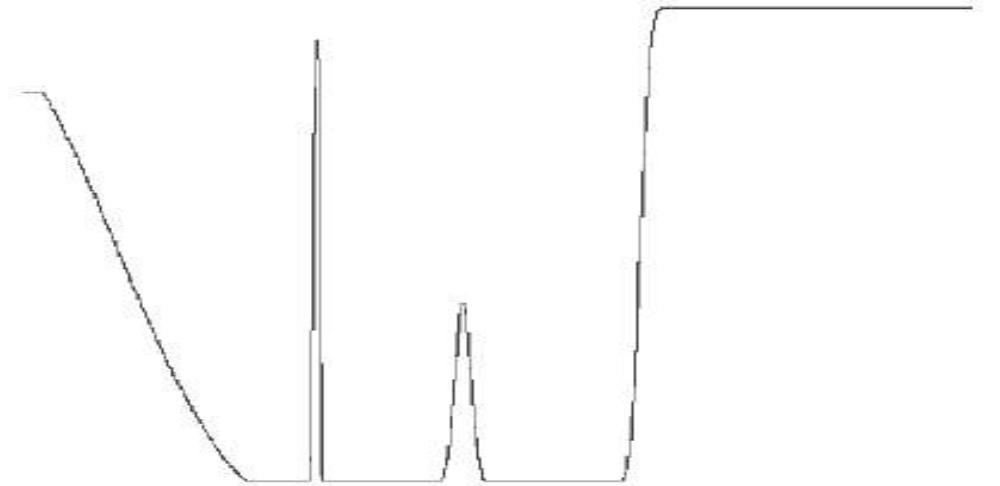


Image strip	5	5	4	3	2	1	0	0	0	6	0	0	0	0	1	3	1	0	0	0	0	7	7	7	7	.	.
-------------	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

First derivative	-1	-1	-1	-1	-1	0	0	6	-6	0	0	0	0	1	2	-2	-1	0	0	0	7	0	0	0		
------------------	----	----	----	----	----	---	---	---	----	---	---	---	---	---	---	----	----	---	---	---	---	---	---	---	--	--

Second derivative	-1	0	0	0	0	1	0	6	-12	6	0	0	0	1	1	-4	1	1	0	0	7	-7	0	0		
-------------------	----	---	---	---	---	---	---	---	-----	---	---	---	---	---	---	----	---	---	---	---	---	----	---	---	--	--

Summary the following can be concluded:

1. First order derivatives generally produce thicker edges in an image.
 2. Second order derivatives have a stronger response to fine detail, such as thin lines, isolated points and noise.
 3. second –order derivatives produce a double-edge response at ramp and step transitions in intensity.
 4. the sign of the second derivative can be used to determine whether a transition into edge is from light to dark or dark to light.
- The most common way to look for discontinuities is to scan a small mask over the image. The mask determines which kind of discontinuity to look for.

$$R = w_1 z_1 + w_2 z_2 + \dots + w_9 z_9 = \sum_{i=1}^9 w_i z_i$$

FIGURE 10.1 A general 3×3 mask.

w_1	w_2	w_3
w_4	w_5	w_6
w_7	w_8	w_9

10.2.2 Detection of isolated points

Point detection should be based on second derivative : Laplacian

$$\nabla^2 f(x, y) = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2} \quad (10.2-4)$$

where the partials are obtained using Eq. (10.2-2):

$$\frac{\partial^2 f(x, y)}{\partial x^2} = f(x + 1, y) + f(x - 1, y) - 2f(x, y) \quad (10.2-5)$$

and

$$\frac{\partial^2 f(x, y)}{\partial y^2} = f(x, y + 1) + f(x, y - 1) - 2f(x, y) \quad (10.2-6)$$

The Laplacian is then

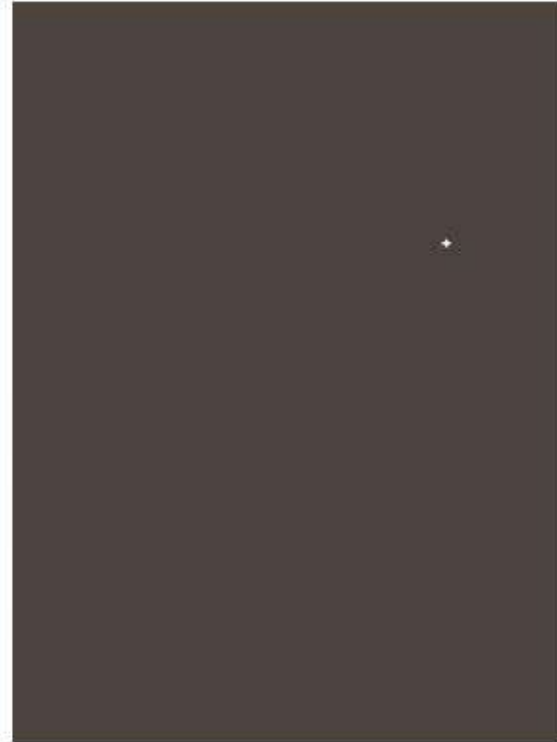
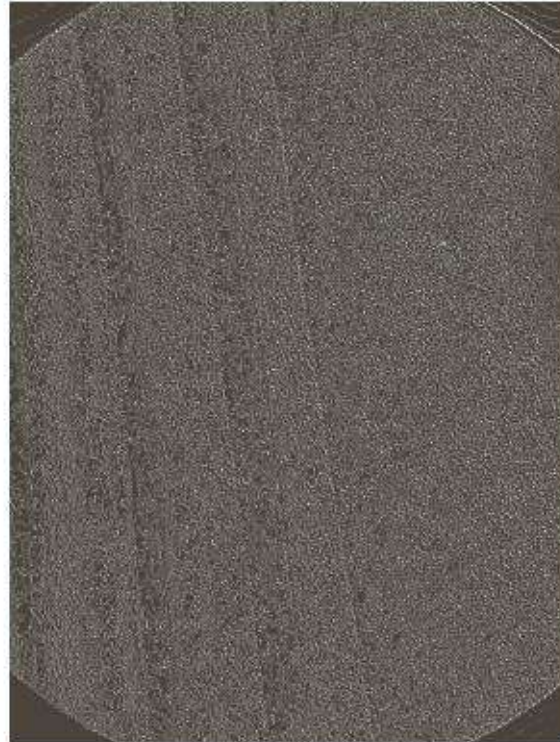
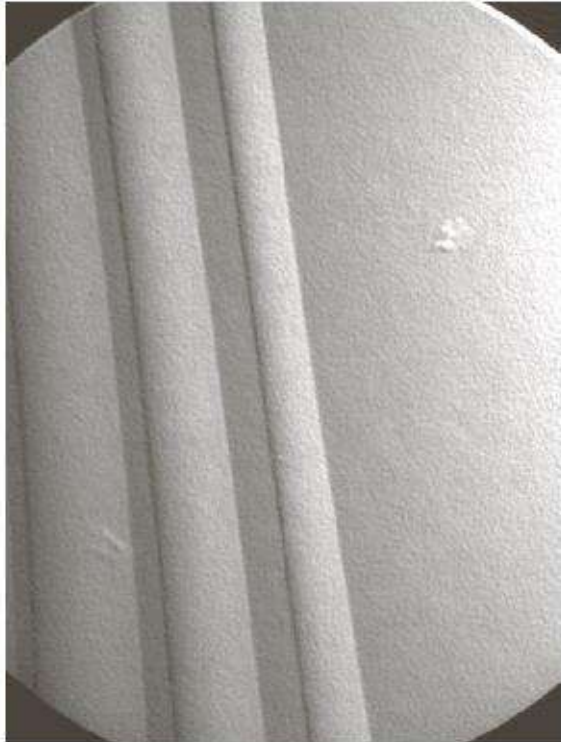
$$\begin{aligned} \nabla^2 f(x, y) = & f(x + 1, y) + f(x - 1, y) + f(x, y + 1) \\ & + f(x, y - 1) - 4f(x, y) \end{aligned} \quad (10.2-7)$$

Using Laplacian mask in below fig10.4, we say that the point has been detected as the location (x, y) on which the mask is centered, if the absolute value of the response of the mask at that point exceeds a specific threshold.

Such a point is labeled as 1 in the output image and all others are labeled as 0, thus producing a binary image.

$$g(x, y) = \begin{cases} 1 & \text{if } |R(x, y)| \geq T \\ 0 & \text{otherwise} \end{cases}$$

1	1	1
1	-8	1
1	1	1



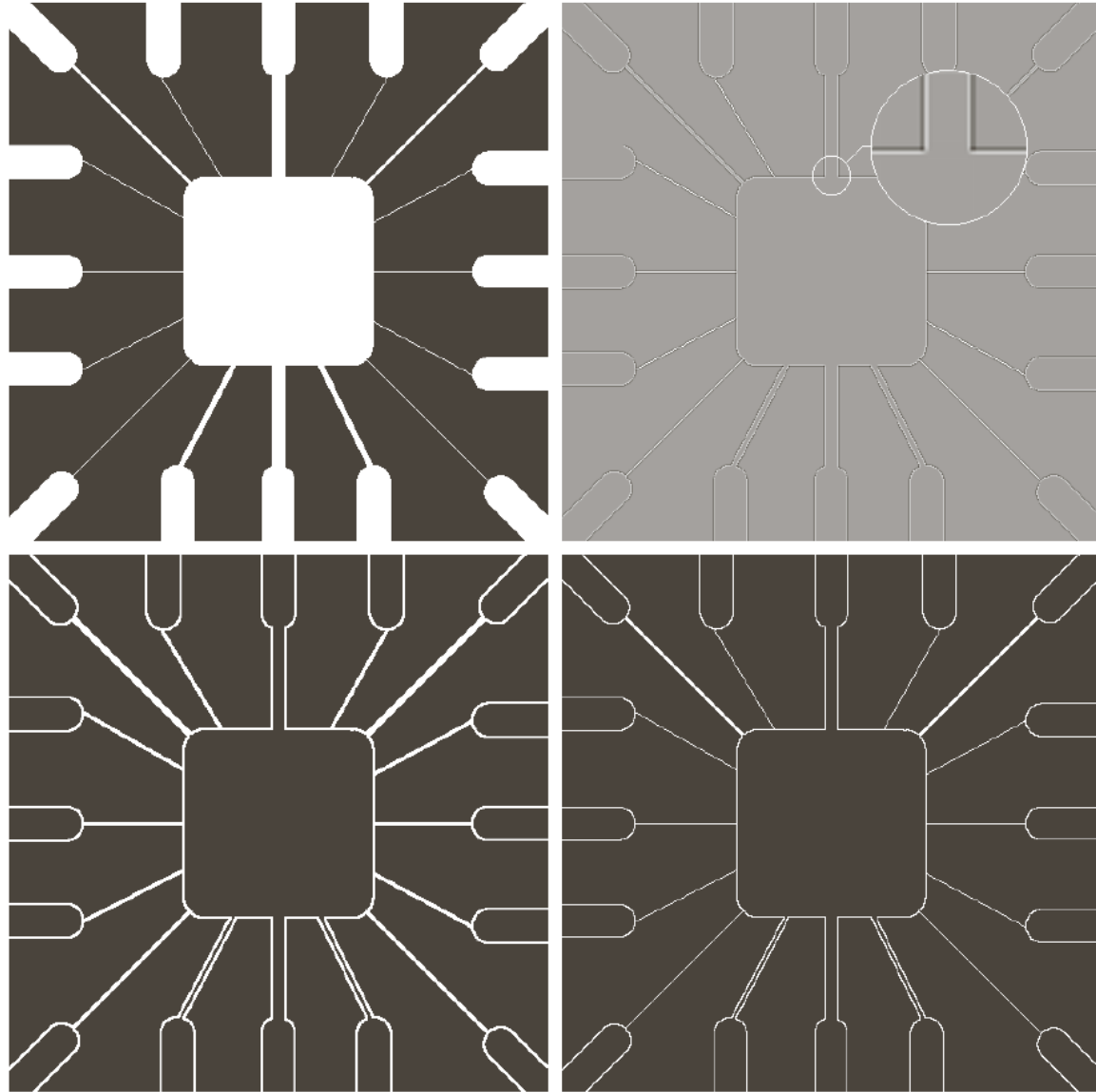
a
b c d

FIGURE 10.4

(a) Point detection (Laplacian) mask.
 (b) X-ray image of turbine blade with a porosity. The porosity contains a single black pixel.
 (c) Result of convolving the mask with the image.
 (d) Result of using Eq. (10.2-8) showing a single point (the point was enlarged to make it easier to see). (Original image courtesy of X-TEK Systems, Ltd.)

10.2.3 line detection

- Next level of complexity is line detection.
- For line detection we can expect second derivatives to result in a stronger response and to produce thinner lines than first derivatives.
- We can use the same laplacian mask shown above in fig 10.4(a) for line detection keeping in mind that the double line effect of the second derivative must be handled properly.
- This is illustrated in below example.



a	b
c	d

FIGURE 10.5

(a) Original image.

(b) Laplacian

image; the magnified section shows the

positive/negative

double-line effect

characteristic of the Laplacian.

(c) Absolute value of the Laplacian.

(d) Positive values of the Laplacian.

The laplacian detector in fig 10.4, is isotropic, so its response is independent of direction,
Often interest lies in detecting line sin specified directions.

Consider the mask shown in below fig 10.6

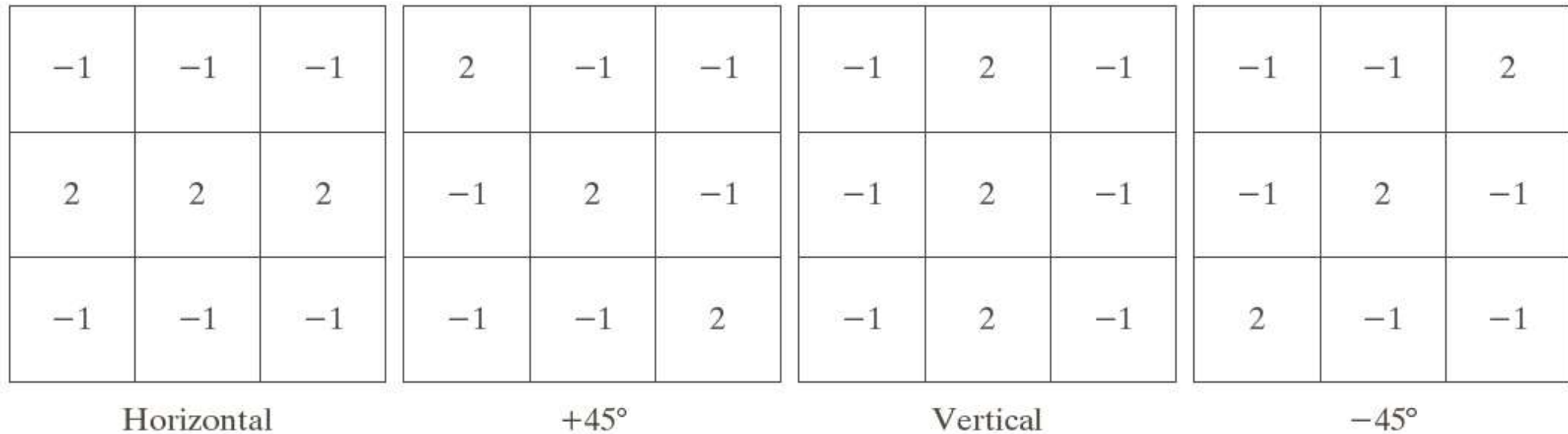
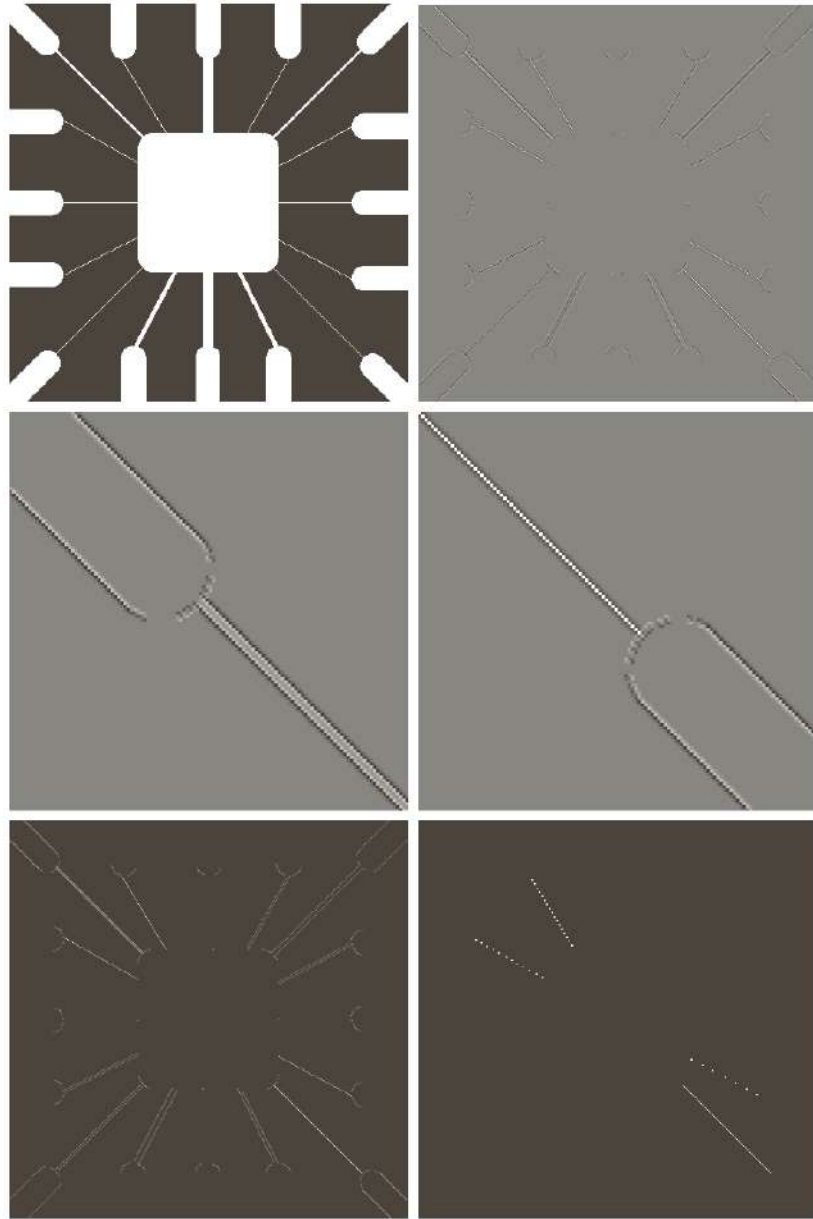


FIGURE 10.6 Line detection masks. Angles are with respect to the axis system in Fig. 2.18(b).

Suppose that an image with constant background and containing various lines (oriented at 0° , ± 45 and 90) is filtered with the first mask .

The maximum responses would occur at image locations in which a horizontal line passed through the middle of the row. The second mask responds best to lines oriented at $+45$. The third mask to vertical lines and forth to -45 .



a	b
c	d
e	f

FIGURE 10.7

(a) Image of a wire-bond template.

(b) Result of processing with the $+45^\circ$ line detector mask in Fig. 10.6.

(c) Zoomed view of the top left region of (b).

(d) Zoomed view of the bottom right region of (b).

(e) The image in (b) with all negative values set to zero.

(f) All points (in white) whose values satisfied the condition $g \geq T$, where g is the image in (e). (The points in (f) were enlarged to make them easier to see.)

10.2.4 Edge Models

Edge detection is the approach used most frequently for segmentation images based on abrupt (local) changes in intensity.

Edge models are classified accordingly to their intensity profiles.

A step edge : involves a transition between two intensity levels occurring ideally over the distance of 1 pixel.

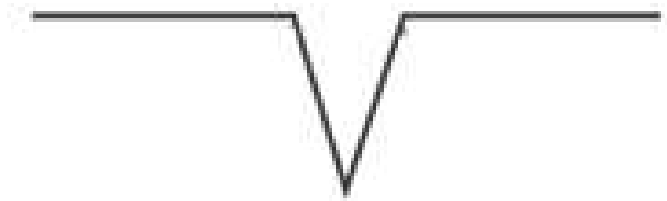
In case of step edge, the image intensity abruptly changes from one value to one side of the discontinuity to a different value on the opposite side.



a b c

FIGURE 10.8
From left to right, models (ideal representations) of a step, a ramp, and a roof edge, and their corresponding intensity profiles.

- In practice , digital images have edges that are blurred and noisy, with the degree of blurring determined principally by limitations in the focusing mechanisms (eg. Lenses in the case of optical image) and the noise level determined principally by the electronic components of the image system.
- In such cases , edges are closely modeled as having an intensity **ramp profile** . The slope of the ramp is proportional to the degree of blurring in the edges.
- In this model , we no longer have thin(1 pixel thick) path, instead, an edge point now is any point contained in the ramp and the edge segment would then be a set of such points that are connected.
- The third model of an edge is so called – **roof edge**, having characteristics shown in fig above.
- Two nearby ramps edges in a line structure called a roof.
- Basically two ways of roof convex roof edge shown above.
- Concave roof edge



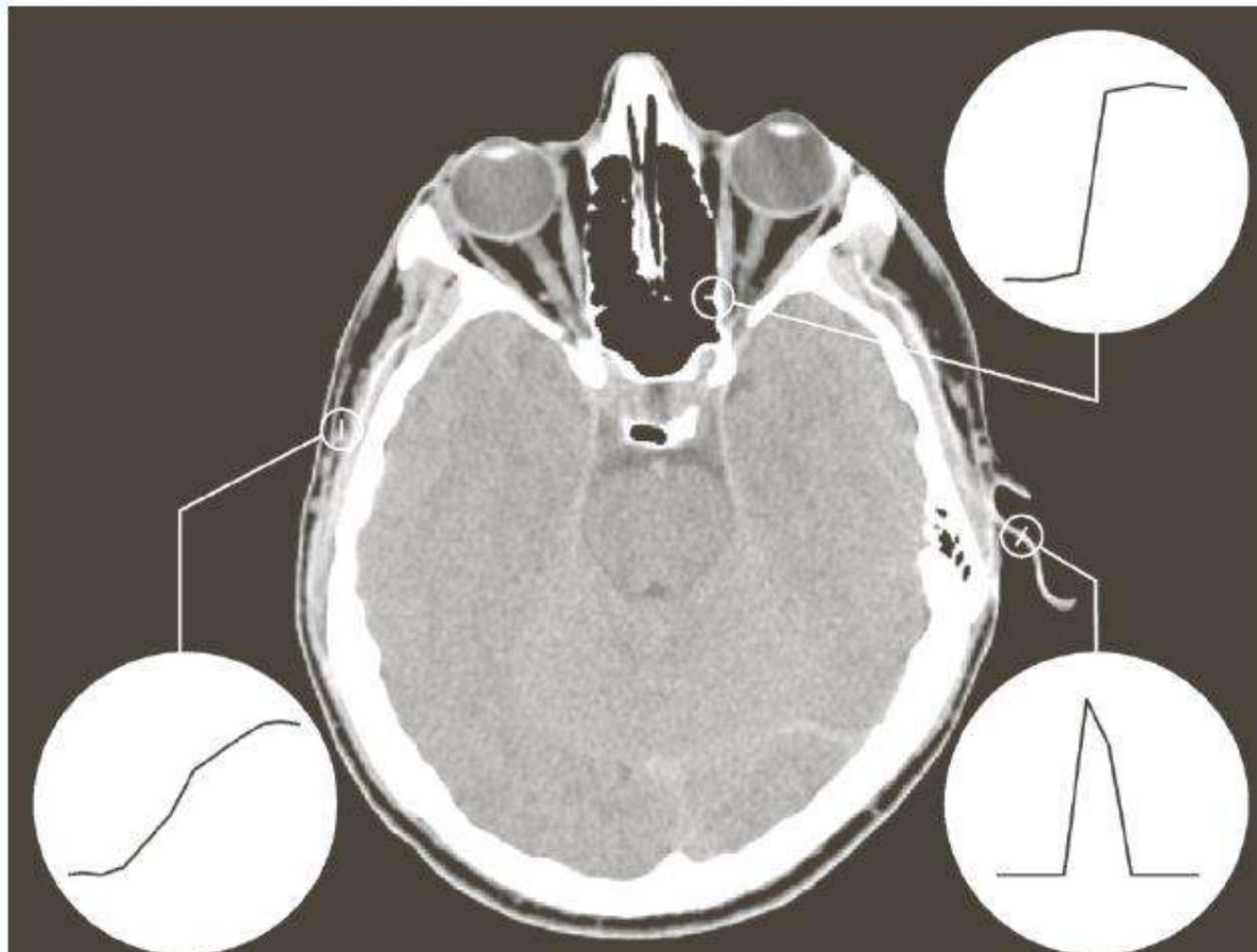
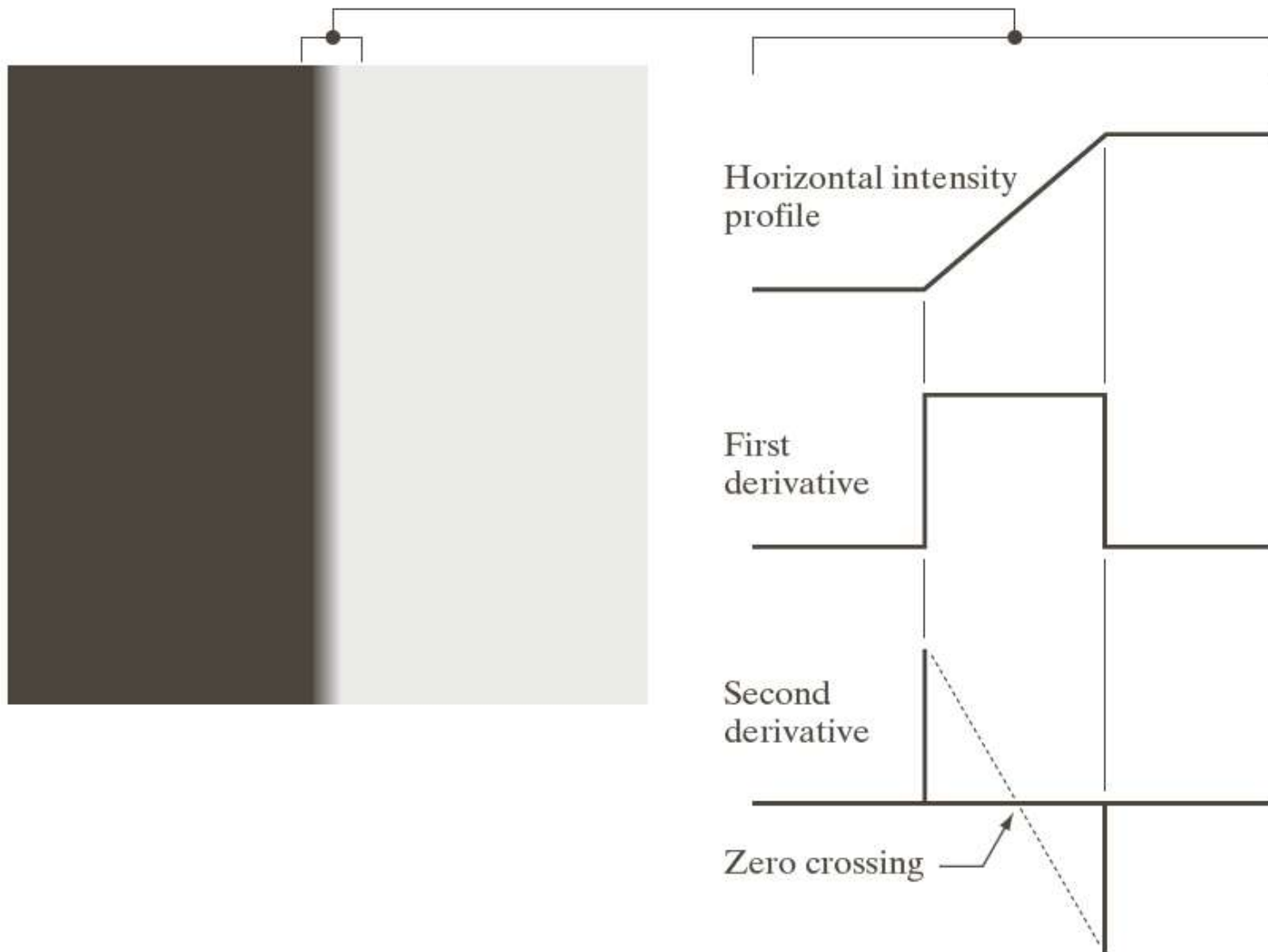


FIGURE 10.9 A 1508×1970 image showing (zoomed) actual ramp (bottom, left), step (top, right), and roof edge profiles. The profiles are from dark to light, in the areas indicated by the short line segments shown in the small circles. The ramp and “step” profiles span 9 pixels and 2 pixels, respectively. The base of the roof edge is 3 pixels. (Original image courtesy of Dr. David R. Pickens, Vanderbilt University.)



a b

FIGURE 10.10

(a) Two regions of constant intensity separated by an ideal vertical ramp edge.
 (b) Detail near the edge, showing a horizontal intensity profile, together with its first and second derivatives.

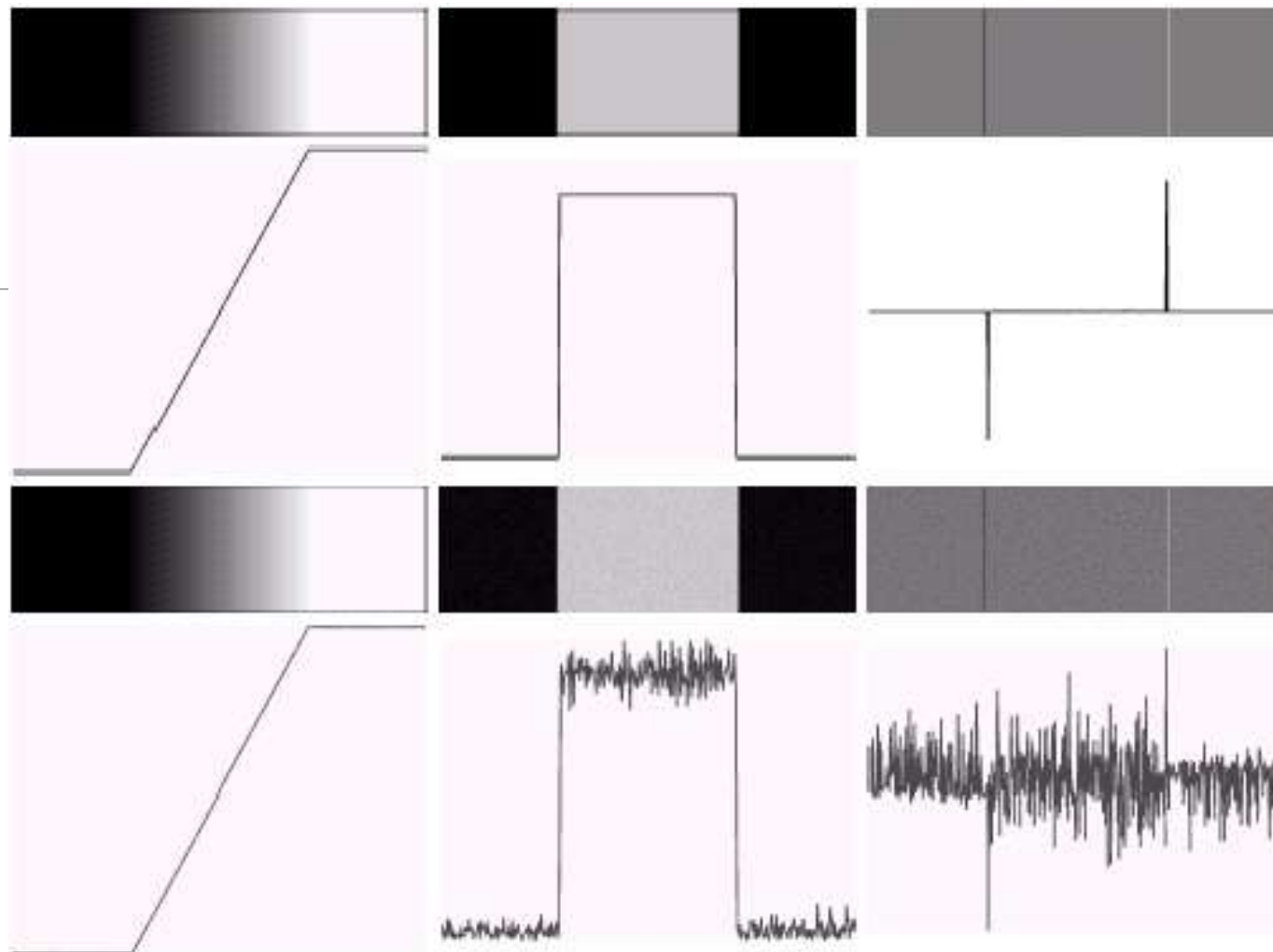


FIGURE 10.7 First column: images and gray-level profiles of a ramp edge corrupted by random Gaussian noise of mean 0 and $\sigma = 0.0, 0.1, 1.0,$ and $10.0,$ respectively. Second column: first-derivative images and gray-level profiles. Third column: second-derivative images and gray-level profiles.

a
b
c
d

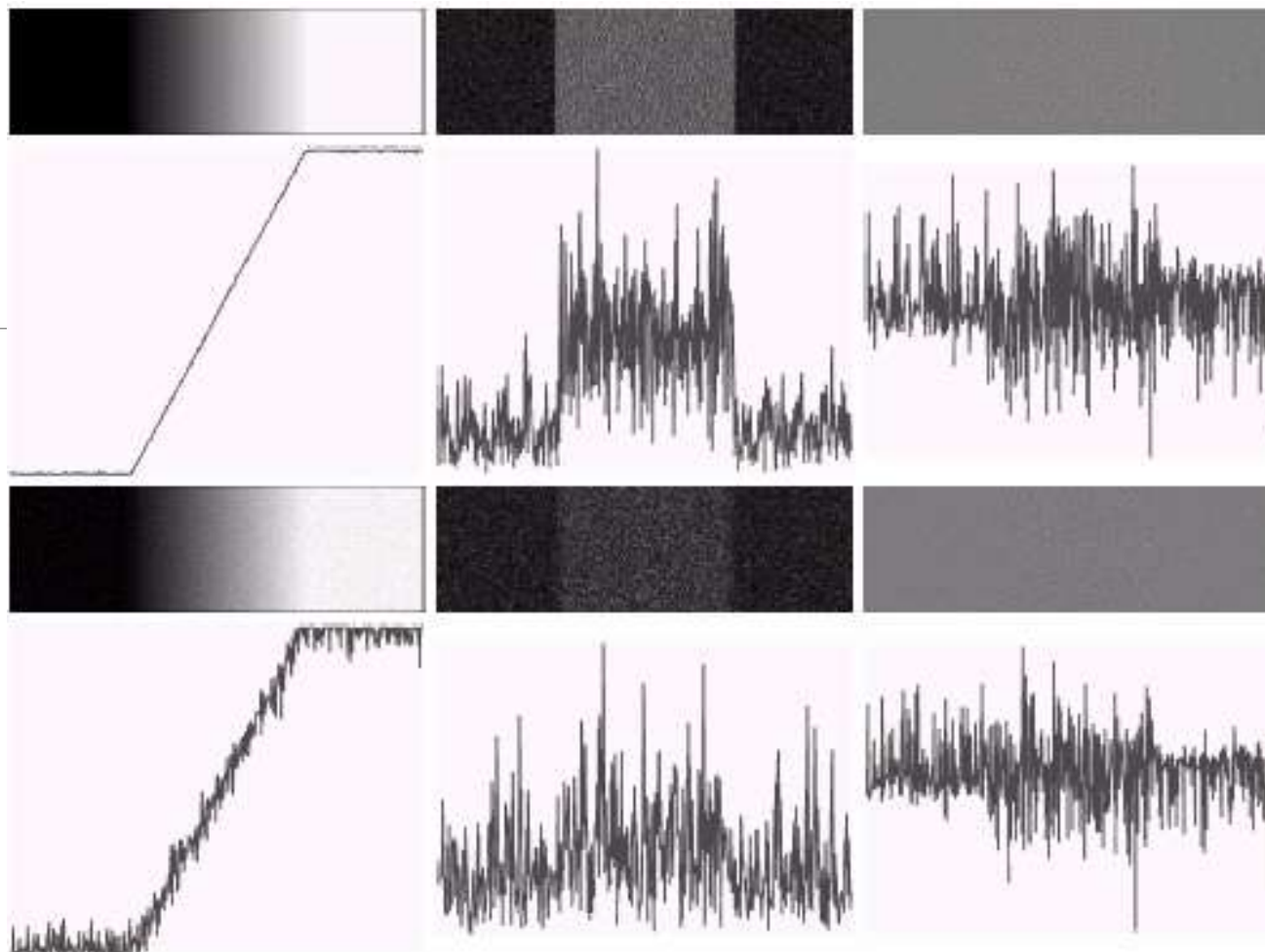


FIGURE 10.7 First column: images and gray-level profiles of a ramp edge corrupted by random Gaussian noise of mean 0 and $\sigma = 0.0, 0.1, 1.0,$ and $10.0,$ respectively. Second column: first-derivative images and gray-level profiles. Third column: second-derivative images and gray-level profiles.

a
b
c
d

Three fundamental steps performed in edge detection

1. Image smoothing for noise reduction
 2. detection of edge points
 3. edge localization
-

10.2.5 basic edge detection

Detecting changes in intensity for the purpose of finding the edges can be accomplished using first or second order derivatives.

The image gradient and its properties

First-order derivatives:

- The gradient of an image $f(x,y)$ at location (x,y) is defined as the **vector**:

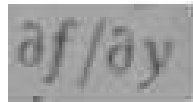
- $\text{Grad}(f) =$

$$\nabla \mathbf{f} = \begin{bmatrix} G_x \\ G_y \end{bmatrix} = \begin{bmatrix} \frac{\partial f}{\partial x} \\ \frac{\partial f}{\partial y} \end{bmatrix}$$

The **magnitude** of this vector: $\nabla f = \text{mag}(\nabla \mathbf{f}) = [G_x^2 + G_y^2]^{1/2}$

The **direction** of this vector: $\alpha(x, y) = \tan^{-1}\left(\frac{G_x}{G_y}\right)$

Gradient operators: obtaining gradient of an image requires computing the partial derivatives of



$$g_x = \frac{\partial f(x, y)}{\partial x} = f(x + 1, y) - f(x, y)$$
$$g_y = \frac{\partial f(x, y)}{\partial y} = f(x, y + 1) - f(x, y)$$

-1
1

-1	1
----	---

a b

FIGURE 10.13
One-dimensional masks used to implement Eqs. (10.2-12) and (10.2-13).

Detection of Discontinuities

Gradient Operators

Roberts cross-gradient operators →

-1	0	0	-1
0	1	1	0

Roberts

Prewitt operators →

-1	-1	-1	-1	0	1
0	0	0	-1	0	1
1	1	1	-1	0	1

Prewitt

Sobel operators →

-1	-2	-1	-1	0	1
0	0	0	-2	0	2
1	2	1	-1	0	1

Sobel

$$g_x = \frac{\partial f}{\partial x} = (z_9 - z_5)$$

$$g_y = \frac{\partial f}{\partial y} = (z_8 - z_6)$$

Roberts operator

z_1	z_2	z_3
z_4	z_5	z_6
z_7	z_8	z_9

$$g_x = \frac{\partial f}{\partial x} = (z_7 + z_8 + z_9) - (z_1 + z_2 + z_3)$$

$$g_y = \frac{\partial f}{\partial y} = (z_3 + z_6 + z_9) - (z_1 + z_4 + z_7)$$

Prewitt operator

$$g_x = \frac{\partial f}{\partial x} = (z_7 + 2z_8 + z_9) - (z_1 + 2z_2 + z_3)$$

$$g_y = \frac{\partial f}{\partial y} = (z_3 + 2z_6 + z_9) - (z_1 + 2z_4 + z_7)$$

Sobel operator

- ✓ Prewitt masks are simpler to implement than sobel masks .
- ✓ The sobel masks have better noise suppression (smoothing characteristics which makes them preferable).

Prewitt masks for
detecting diagonal edges



0	1	1	-1	-1	0
-1	0	1	-1	0	1
-1	-1	0	0	1	1

Prewitt

Sobel masks for
detecting diagonal edges



0	1	2	-2	-1	0
-1	0	1	-1	0	1
-2	-1	0	0	1	2

Sobel

a	b
c	d

FIGURE 10.9 Prewitt and Sobel masks for detecting diagonal edges.

Detection of Discontinuities Gradient Operators: Example

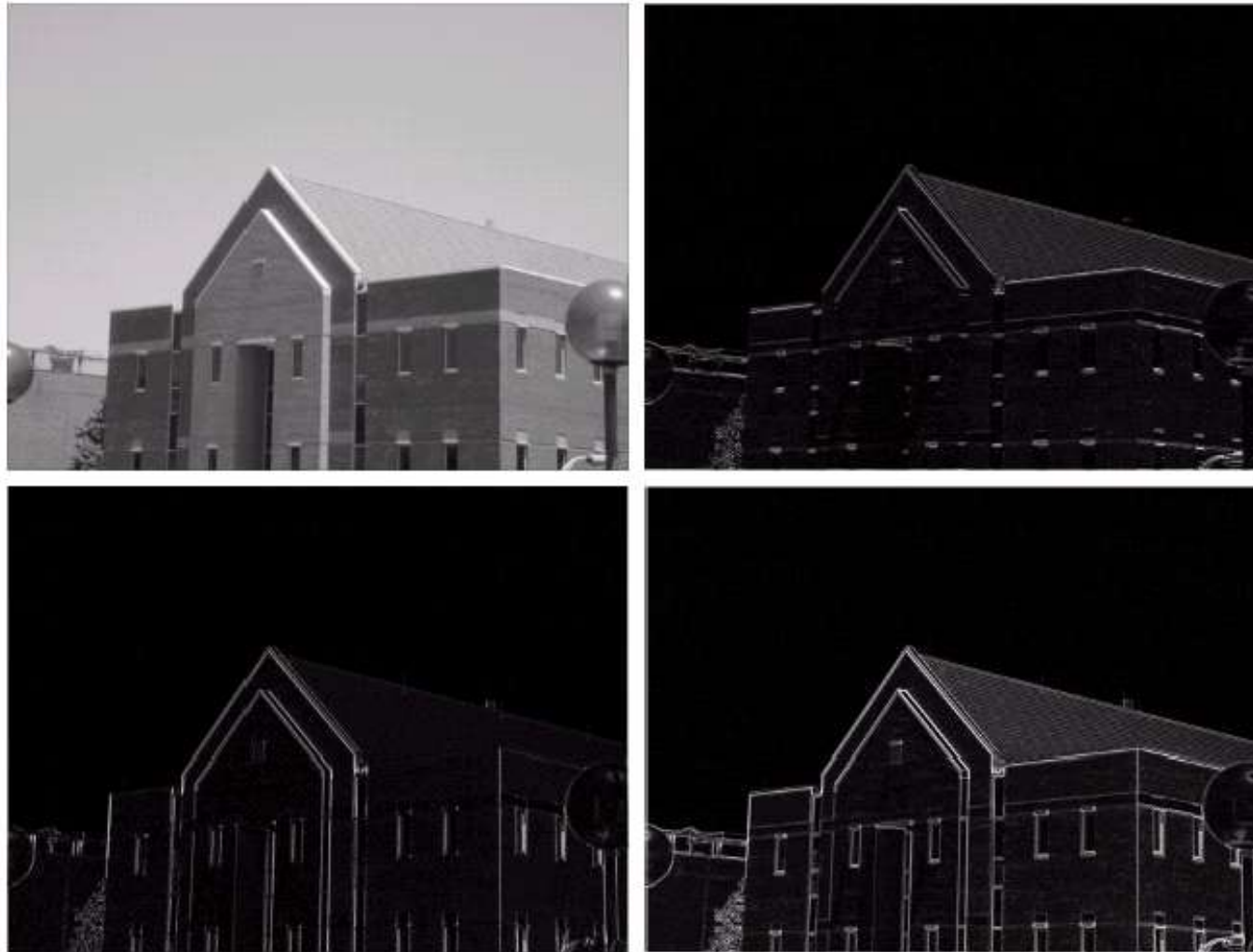
a b
c d

FIGURE 10.10
(a) Original image. (b) $|G_x|$, component of the gradient in the x -direction.
(c) $|G_y|$, component in the y -direction.
(d) Gradient image, $|G_x| + |G_y|$.

$$\nabla f \approx |G_x| + |G_y|$$



Detection of Discontinuities Gradient Operators: Example



a	b
c	d

FIGURE 10.11
Same sequence as in Fig. 10.10, but with the original image smoothed with a 5×5 averaging filter.

Detection of Discontinuities Gradient Operators: Example



a b

FIGURE 10.12

Diagonal edge
detection.

(a) Result of using
the mask in
Fig. 10.9(c).

(b) Result of using
the mask in
Fig. 10.9(d). The
input in both cases
was Fig. 10.11(a).

0	1	2
-1	0	1
-2	-1	0

-2	-1	0
-1	0	1
0	1	2

Module 5:

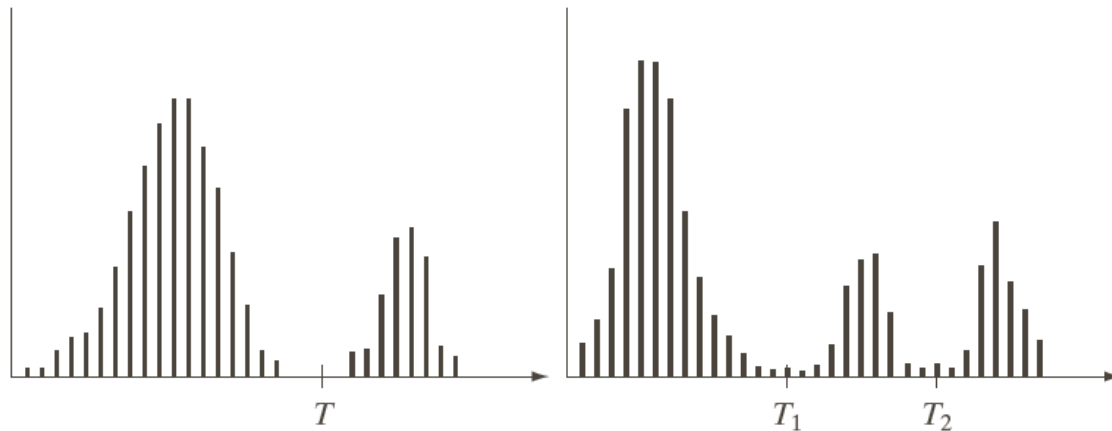
- ❖ Thresholding , Region-based segmentation : [10.3, 10.4]
- ❖ Representation : 11.1 {11.1.1 to 11.1.6}

10.3 Threshold

10.3.1 Foundation :

The basics of intensity thresholding

- Suppose the intensity histogram shown below fig a corresponds to an image $f(x,y)$, composed of light objects on dark background, in such a way that object and background pixels have intensity values grouped into 2 dominant modes.



a b

FIGURE 10.35
Intensity histograms that can be partitioned (a) by a single threshold, and (b) by dual thresholds.

- One way to extract the objects from the background is to select the threshold, T , that separates these modes.
 - Then any point (x,y) in the image at which $f(x,y) > T$ is called an **object point**
 - otherwise, the point is called **background point**.
 - The segmented image $g(x,y)$ is given by
-

$$g(x, y) = \begin{cases} 1 & \text{if } f(x, y) > T \\ 0 & \text{if } f(x, y) \leq T \end{cases}$$

- Where T ----constant applicable over an entire image,
- The process given in this equation is referred as **global thresholding**.
- When the value of T changes over an image, we can use the **term variable (local) thresholding**.
- This local thresholding in which the values of T at any point (x,y) in an image depends on properties of a neighborhood of (x,y)
- If T depends on the spatial coordinates (x, y) themselves, then variable thresholding is often referred as **dynamic or adaptive thresholding**.

- Fig b shows a more difficult thresholding problems involving a histogram with three dominant modes corresponding, for example to two types of light objects on a dark background.
- Here multiple thresholding classifies a point (x,y) as belonging to the background if $f(x,y) \leq T1$, to one object class if $T1 < f(x,y) \leq T2$, and to other object class if $f(x,y) > T2$.

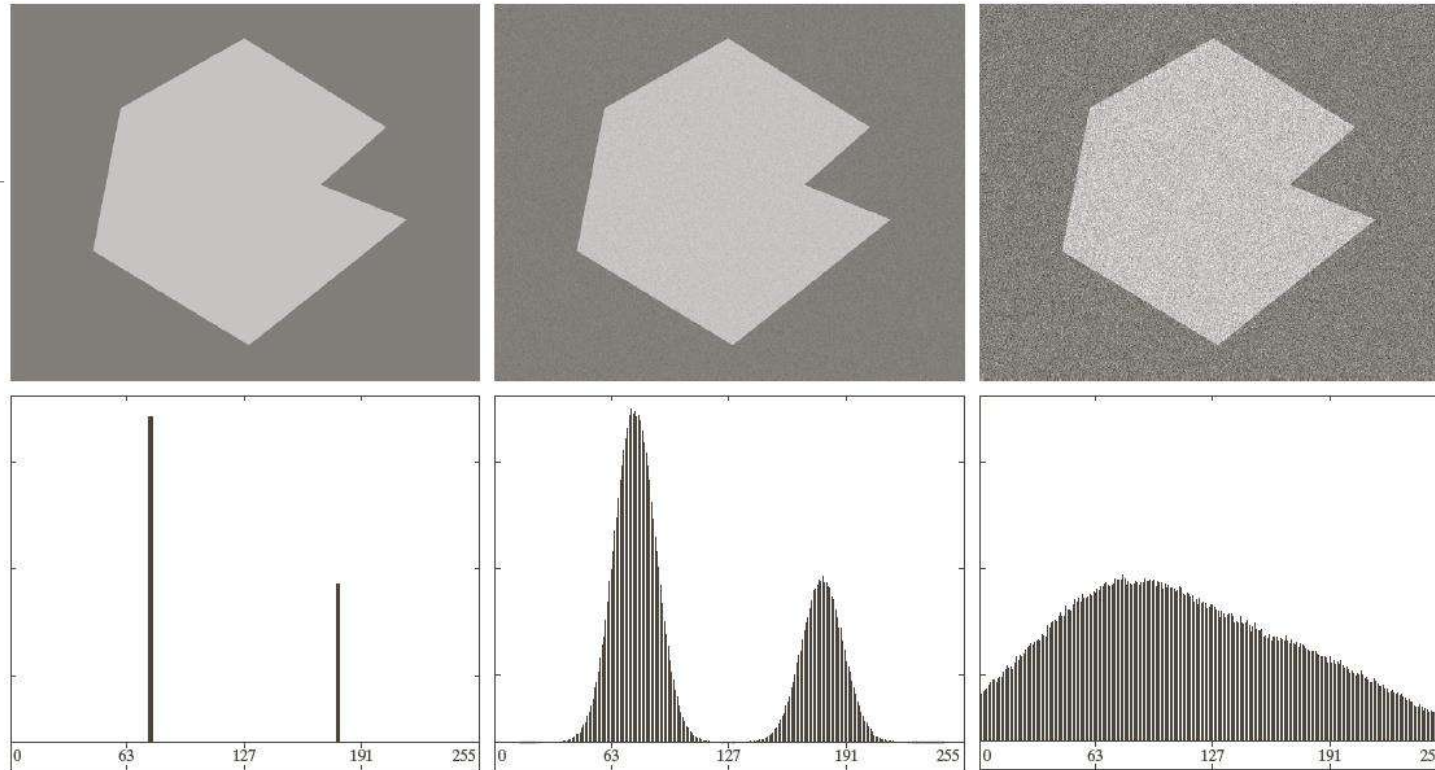
$$\text{➤ } G(x,y) = \begin{cases} a, & \text{if } f(x,y) > T2 \\ b, & \text{if } T1 < f(x,y) \leq T2 \\ c, & \text{if } f(x,y) \leq T1 \end{cases}$$

Where a, b, c are three distinct intensity levels.

- The success of intensity thresholding is directly related to the width and depth of the valley(s) separating the histogram modes.
- The key factors affecting the affecting the properties of the valley(s) are
 - separation between the peaks
 - The noise contents in the image
 - The relative size of the objects and background
 - The uniformity of the illumination source
 - The uniformity of the reflectance properties of the image

The role of noise in imaging threshold

Wkt how noise affects the histogram of an image.

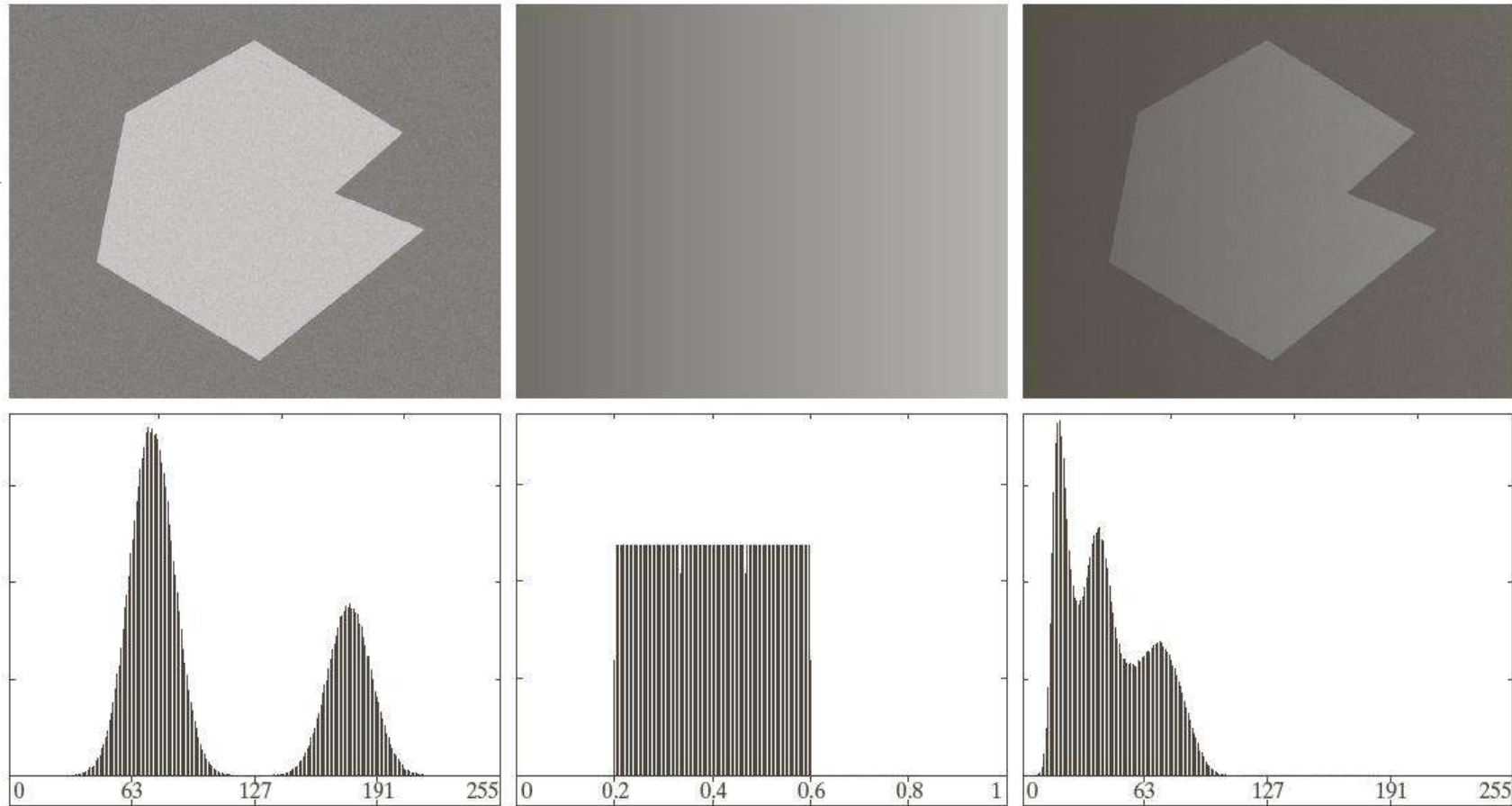


a	b	c
d	e	f

FIGURE 10.36 (a) Noiseless 8-bit image. (b) Image with additive Gaussian noise of mean 0 and standard deviation of 10 intensity levels. (c) Image with additive Gaussian noise of mean 0 and standard deviation of 50 intensity levels. (d)–(f) Corresponding histograms.

- Fig 10.36(a) shows a noise free image so its histogram consists of two spikes modes as shown in fog 10.36(d).
 - Segmenting this image into two regions is a trivial task involving a threshold placed anywhere between the two modes.
-
- Fig 10.36(b) shows the original image corrupted by Gaussian noise of zero mean and a standard deviation of 10 intensity level.
 - Although the corresponding histogram modes are now broader, their separation is large enough so that the depth of the valley between them is sufficient to make the modes easy to separate.
 - A threshold placed midway between the two peaks would do a nice job of segmenting the image.
 - Figure 10.36© shows the image corrupted by Gaussian noise of zero mean and a standard deviation of 50 intensity level.
 - From histogram it shows the situation is much more serious as there is no way to differentiate between two modes .
 - Little hope of Finding a suitable threshold for segmenting this image

The role of illumination and reflectance



a	b	c
d	e	f

FIGURE 10.37 (a) Noisy image. (b) Intensity ramp in the range $[0.2, 0.6]$. (c) Product of (a) and (b). (d)–(f) Corresponding histograms.

- Figure 10.37(a) is the noisy image and from (d) shows its histogram. This image is easily segmentable with a single threshold.
- The effects of non-uniform illumination is illustrated by multiplying the image in fig 10.37(a) by a variable intensity function such as the intensity ramp fig (b) the result is shown in fig 10.37(c) and the respective histogram fig (e-f) .

- As in fig 10.37(f) the deep valley between peaks was corrupted to the point where separation of the modes without additional processing is no longer possible.
- Illumination and reflectance play a central role in the success of image segmentation using thresholding or other segmentation techniques.
- Before image segmentation, these factors need to be controlled by the following approaches.
- First Correct the shading pattern directly. Eg.: non-uniform illumination can be corrected by multiplying the image by the inverse of the pattern, which can be obtained by imaging a flat surface of constant intensity.
- The second approach is to attempt to correct the global shading pattern via processing . Eg: the top-hat transformation .
- The third is to work-around non-uniformities using variable thresholding .

10.3.2 Basic Global Thresholding

- When the intensity distributions of the objects and background pixels are sufficiently distinct, it is possible to use a single (global) threshold applicable over the entire image.

- In most of the applications, there is usually enough variability between the images, that even if global thresholding is suitable approach an algorithm capable of estimating automatically the threshold value for each image is required.
- The following iterative algorithm can be used for this purpose

$$g(x, y) = \begin{cases} 1 & \text{if } f(x, y) > T \\ 0 & \text{if } f(x, y) \leq T \end{cases} \quad (10.3-1)$$

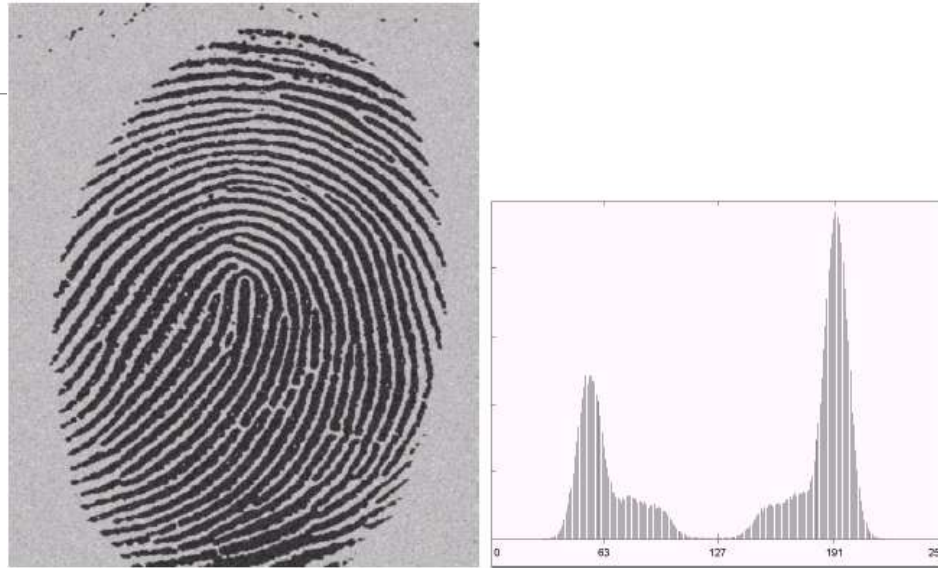
1. Select an initial estimate for the global threshold, T .
2. Segment the image using T in Eq. (10.3-1). This will produce two groups of pixels: G_1 consisting of all pixels with intensity values $> T$, and G_2 consisting of pixels with values $\leq T$.
3. Compute the average (mean) intensity values m_1 and m_2 for the pixels in G_1 and G_2 , respectively.
4. Compute a new threshold value:

$$T = \frac{1}{2}(m_1 + m_2)$$

5. Repeat Steps 2 through 4 until the difference between values of T in successive iterations is smaller than a predefined parameter ΔT .

➤ This simple algorithm works well in situation where there is reasonably clear valley between the modes of the histogram related to the objects and background.

➤ ΔT is used to control the number of iteration.



a b
c

FIGURE 10.29

(a) Original image. (b) Image histogram.

(c) Result of segmentation with the threshold estimated by iteration.

(Original courtesy of the National Institute of Standards and Technology.)

10.3.3 Optimum Global Thresholding using Otsu's method

Thresholding may be viewed as a statistical-decision theory problem whose objective is to minimize the average error incurred in assigning pixels to two or more groups (also called *classes*).

This problem is known to have an elegant closed-form solution known as the *Bayes decision rule*

The solution is based on only two parameters: the probability density function (PDF) of the intensity levels of each class and the probability that each class occurs in a given application.

The approach discussed in this section, called *Otsu's method* (Otsu [1979]),

The method is optimum in the sense that it maximizes **the *between-class variance***, a well-known measure used in statistical **discriminant analysis**.

The basic idea is that well-thresholded classes should be distinct **with respect** to the intensity values of their pixels and, conversely, that a **threshold giving the best separation** between classes in terms of their intensity values would be the best (optimum) threshold.

In addition to its optimality, Otsu's **method** has the important property that it is based entirely on computations **performed** on the histogram of an image, an easily obtainable 1-D array.

Let $\{0, 1, 2, \dots, L - 1\}$ denote the L distinct intensity levels in a digital image of size $M \times N$ pixels, and let n_i denote the number of pixels with intensity i . The total number, MN , of pixels in the image is $MN = n_0 + n_1 + n_2 + \dots + n_{L-1}$. The normalized histogram (see Section 3.3) has components $p_i = n_i/MN$, from which it follows that

$$\sum_{i=0}^{L-1} p_i = 1, \quad p_i \geq 0 \quad (10.3-3)$$

Now, suppose that we select a threshold $T(k) = k$, $0 < k < L - 1$, and use it to threshold the input image into two classes, C_1 and C_2 , where C_1 consists of all the pixels in the image with intensity values in the range $[0, k]$ and C_2 consists of the pixels with values in the range $[k+1, L-1]$. Using this threshold, the probability, $P_1(k)$, that a pixel is assigned to (i.e., thresholded into) class C_1 is given by the cumulative sum

$$P_1(k) = \sum_{i=0}^k p_i \quad (10.3-4)$$

Viewed another way, this is the probability of class C_1 occurring. For example, if we set $k = 0$, the probability of class C_1 having any pixels assigned to it is zero. Similarly, the probability of class C_2 occurring is

$$P_2(k) = \sum_{i=k+1}^{L-1} p_i = 1 - P_1(k) \quad (10.3-5)$$

From Eq. (3.3-18), the mean intensity value of the pixels assigned to class C_1 is

$$\begin{aligned} m_1(k) &= \sum_{i=0}^k iP(i/C_1) \\ &= \sum_{i=0}^k iP(C_1/i)P(i)/P(C_1) \\ &= \frac{1}{P_1(k)} \sum_{i=0}^k ip_i \end{aligned} \quad (10.3-6)$$

where $P_1(k)$ is given in Eq. (10.3-4). The term $P(i/C_1)$ in the first line of Eq. (10.3-6) is the probability of value i , given that i comes from class C_1 . The second line in the equation follows from Bayes' formula:

$$P(A/B) = P(B/A)P(A)/P(B)$$

The third line follows from the fact that $P(C_1/i)$, the probability of C_1 given i , is 1 because we are dealing only with values of i from class C_1 . Also, $P(i)$ is the probability of the i th value, which is simply the i th component of the histogram, p_i . Finally, $P(C_1)$ is the probability of class C_1 , which we know from Eq. (10.3-4) is equal to $P_1(k)$.

Similarly, the mean intensity value of the pixels assigned to class C_2 is

$$\begin{aligned} m_2(k) &= \sum_{i=k+1}^{L-1} iP(i/C_2) \\ &= \frac{1}{P_2(k)} \sum_{i=k+1}^{L-1} ip_i \end{aligned} \tag{10.3-7}$$

The cumulative mean (average intensity) up to level k is given by

$$m(k) = \sum_{i=0}^k i p_i \quad (10.3-8)$$

and the average intensity of the entire image (i.e., the *global* mean) is given by

$$m_G = \sum_{i=0}^{L-1} i p_i \quad (10.3-9)$$

The validity of the following two equations can be verified by direct substitution of the preceding results:

$$P_1 m_1 + P_2 m_2 = m_G \quad (10.3-10)$$

and

$$P_1 + P_2 = 1 \quad (10.3-11)$$

where we have omitted the k s temporarily in favor of notational clarity.

In order to evaluate the “goodness” of the threshold at level k we use the normalized, dimensionless metric

$$\eta = \frac{\sigma_B^2}{\sigma_G^2} \quad (10.3-12)$$

where σ_G^2 is the *global variance* [i.e., the intensity variance of all the pixels in the image, as given in Eq. (3.3-19)],

$$\sigma_G^2 = \sum_{i=0}^{L-1} (i - m_G)^2 p_i \quad (10.3-13)$$

and σ_B^2 is the *between-class variance*, defined as

$$\sigma_B^2 = P_1(m_1 - m_G)^2 + P_2(m_2 - m_G)^2 \quad (10.3-14)$$

This expression can be written also as

$$\begin{aligned} \sigma_B^2 &= P_1 P_2 (m_1 - m_2)^2 \\ &= \frac{(m_G P_1 - m)^2}{P_1(1 - P_1)} \end{aligned} \quad (10.3-15)$$

Reintroducing k , we have the final results:

$$\eta(k) = \frac{\sigma_B^2(k)}{\sigma_G^2} \quad (10.3-16)$$

and

$$\sigma_B^2(k) = \frac{[m_G P_1(k) - m(k)]^2}{P_1(k)[1 - P_1(k)]} \quad (10.3-17)$$

Then, the optimum threshold is the value, k^* , that maximizes $\sigma_B^2(k)$:

$$\sigma_B^2(k^*) = \max_{0 \leq k \leq L-1} \sigma_B^2(k) \quad (10.3-18)$$

Once k^* has been obtained, the input image $f(x, y)$ is segmented as before:

$$g(x, y) = \begin{cases} 1 & \text{if } f(x, y) > k^* \\ 0 & \text{if } f(x, y) \leq k^* \end{cases} \quad (10.3-19)$$

for $x = 0, 1, 2, \dots, M - 1$ and $y = 0, 1, 2, \dots, N - 1$. Note that all the quantities needed to evaluate Eq. (10.3-17) are obtained using only the histogram of $f(x, y)$. In addition to the optimum threshold, other information regarding the segmented image can be extracted from the histogram.

The normalized metric η , evaluated at the optimum threshold value, $\eta(k^*)$, can be used to obtain a quantitative estimate of the separability of classes, which in turn gives an idea of the ease of thresholding a given image. This measure has values in the range

$$0 \leq \eta(k^*) \leq 1 \quad (10.3-20)$$

The lower bound is attainable only by images with a single, constant intensity level, as mentioned earlier. The upper bound is attainable only by 2-valued images with intensities equal to 0 and $L - 1$.

Otsu's algorithm may be summarized as follows:

1. Compute the normalized histogram of the input image. Denote the components of the histogram by $p_i, i = 0, 1, 2, \dots, L - 1$.
2. Compute the cumulative sums, $P_1(k)$, for $k = 0, 1, 2, \dots, L - 1$, using Eq. (10.3-4).
3. Compute the cumulative means, $m(k)$, for $k = 0, 1, 2, \dots, L - 1$, using Eq. (10.3-8).
4. Compute the global intensity mean, m_G , using (10.3-9).
5. Compute the between-class variance, $\sigma_B^2(k)$, for $k = 0, 1, 2, \dots, L - 1$, using Eq. (10.3-17).
6. Obtain the Otsu threshold, k^* , as the value of k for which $\sigma_B^2(k)$ is maximum. If the maximum is not unique, obtain k^* by averaging the values of k corresponding to the various maxima detected.
7. Obtain the separability measure, η^* , by evaluating Eq. (10.3-16) at $k = k^*$.

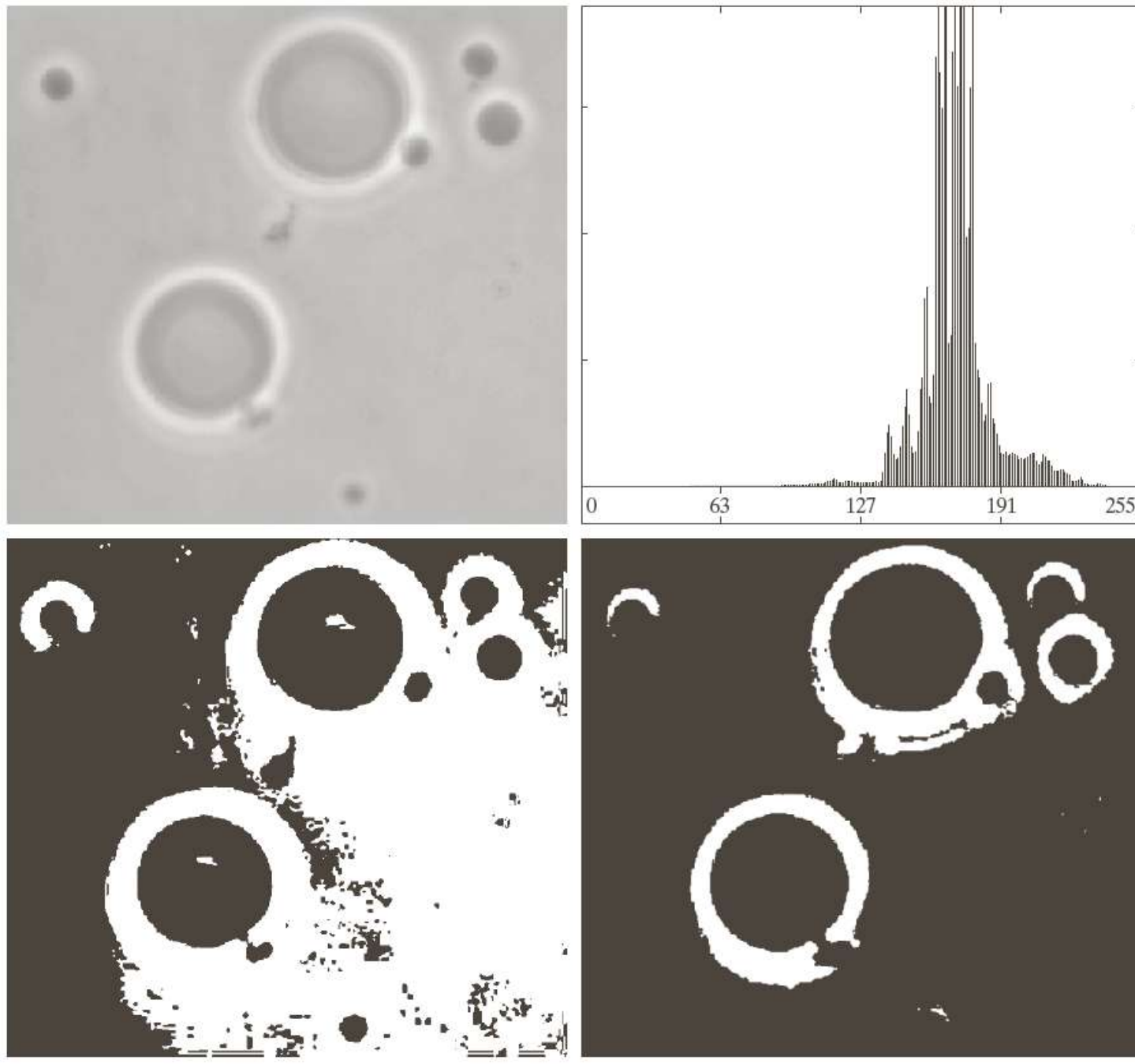
10.3.4 Using Image smoothing to improve Global Thresholding

As noted in Fig. 10.36, noise can turn a simple thresholding problem into an unsolvable one. When noise cannot be reduced at the source, and thresholding is the segmentation method of choice, a technique that often enhances performance is to smooth the image prior to thresholding. We illustrate the approach with an example.

Figure 10.40(a) is the image from Fig. 10.36(c), Fig. 10.40(b) shows its histogram, and Fig. 10.40(c) is the image thresholded using Otsu's method.

Every black point in the white region and every white point in the black region is a thresholding error, so the segmentation was highly unsuccessful.

Figure 10.40(d) shows the result of smoothing the noisy image with an averaging mask of size 5×5 (the image is of size 651×814 pixels), and Fig. 10.40(e) is its histogram.



a	b
c	d

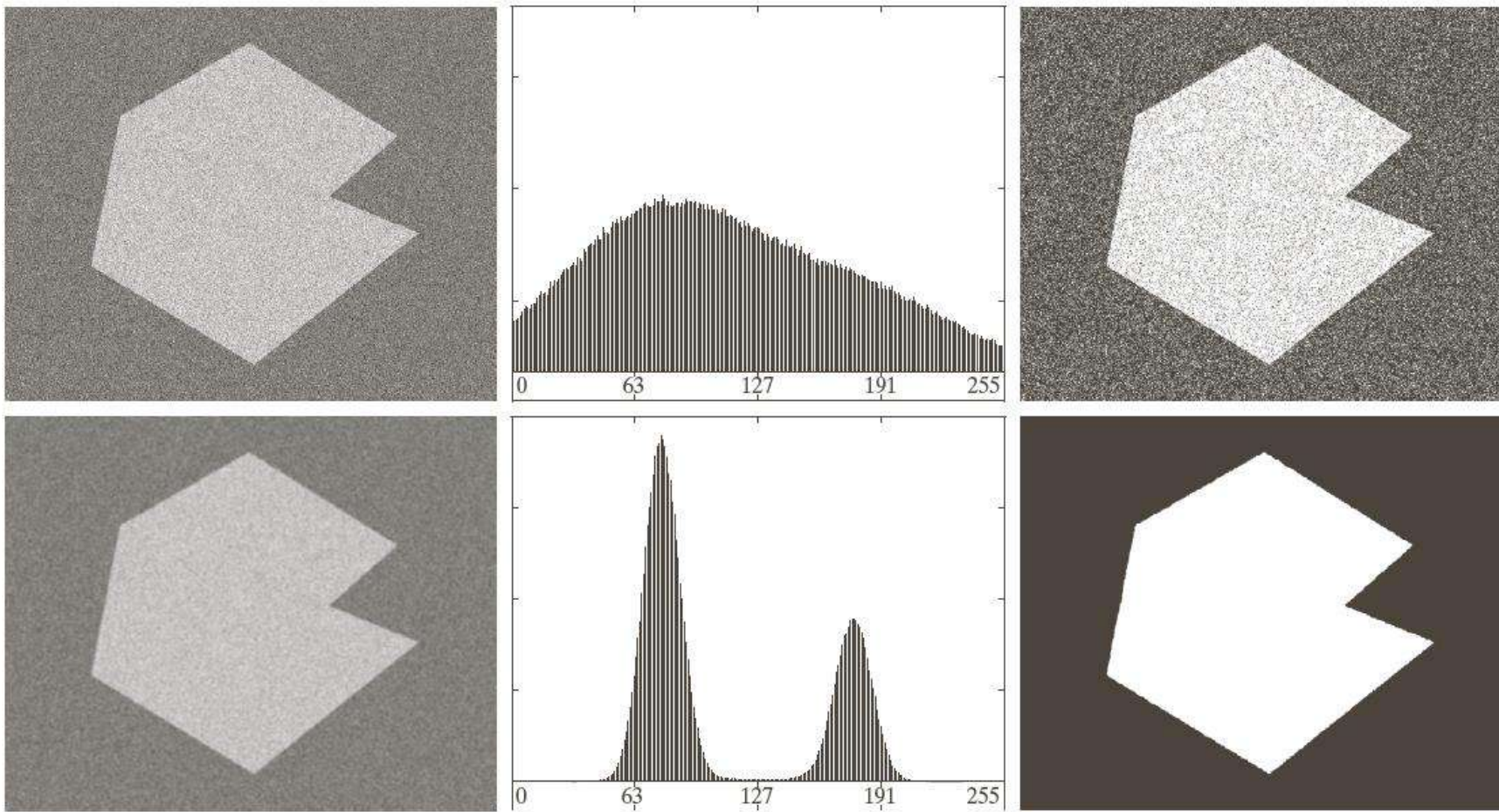
FIGURE 10.39

(a) Original image.

(b) Histogram (high peaks were clipped to highlight details in the lower values).

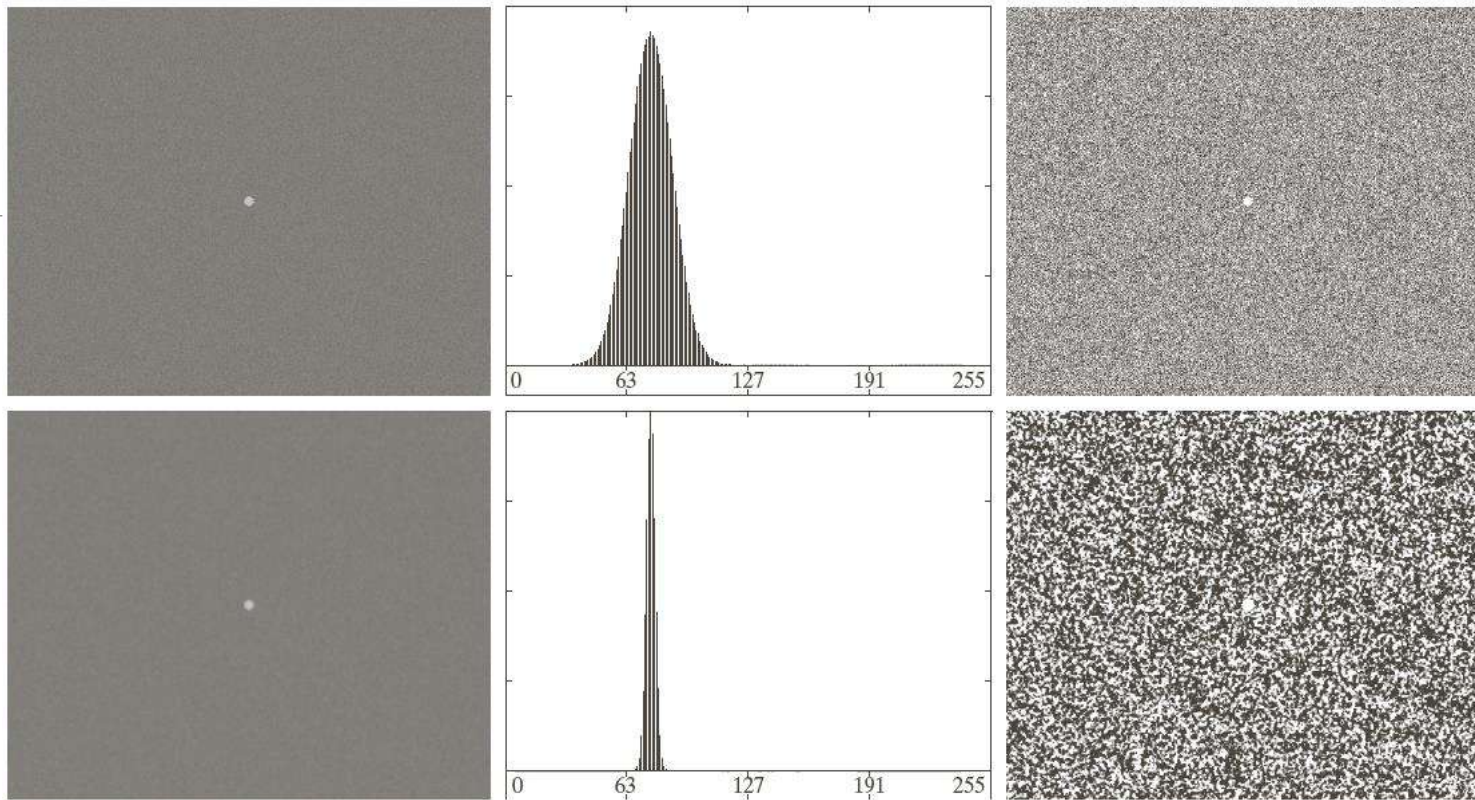
(c) Segmentation result using the basic global algorithm from Section 10.3.2.

(d) Result obtained using Otsu's method. (Original image courtesy of Professor Daniel A. Hammer, the University of Pennsylvania.)



a	b	c
d	e	f

FIGURE 10.40 (a) Noisy image from Fig. 10.36 and (b) its histogram. (c) Result obtained using Otsu's method. (d) Noisy image smoothed using a 5×5 averaging mask and (e) its histogram. (f) Result of thresholding using Otsu's method.



a	b	c
d	e	f

FIGURE 10.41 (a) Noisy image and (b) its histogram. (c) Result obtained using Otsu's method. (d) Noisy image smoothed using a 5×5 averaging mask and (e) its histogram. (f) Result of thresholding using Otsu's method. Thresholding failed in both cases.

10.3.5 Using Edges to improve Global Thresholding

Based on the discussion in the previous four sections, we conclude that the **chances** of selecting a “good” threshold are **enhanced** considerably if the **histogram peaks** are tall, narrow, symmetric, and **separated** by deep valleys.

One approach for improving the shape of histograms is to consider only those pixels that **lie on or near the edges** between objects and the background.

An immediate and **obvious improvement** is that histograms would be less dependent on the relative **sizes** of objects and the background. For instance, the histogram of an image **composed of a small object** on a large background area (or vice versa) would be **dominated by a large peak** because of the high concentration of one type of pixels.

We saw in the previous section that this can lead to failure in thresholding.

If only the pixels on or near the edges between objects and background were used, the resulting histogram would have peaks of approximately the same height. In addition, the probability that any of those pixels lies on an object would be approximately equal to the probability that it lies on the background, thus improving the symmetry of the histogram modes. Finally, as indicated in the following paragraph, using pixels that satisfy some simple measures based on gradient and Laplacian operators has a tendency to deepen the valley between histogram peaks.